

**Congressional Submission**

U. S. Department of Justice

FY 2010 Performance Budget

**Justice Information Sharing Technology  
(JIST)**

## Table of Contents

|  | Page No. |
|--|----------|
| <b>I. Overview.....</b>  | <b>3</b> |
| <b>II. Summary of Program Changes.....</b>                                       | <b>5</b> |
| <b>III. Appropriations Language and Analysis of Appropriations Language.....</b> | <b>6</b> |
| <b>IV. Decision Unit Justification</b>   |          |
| A. Justice Information Sharing Technology.....                                   | 7        |
| 1. Program Description.....  | 7        |
| 2. Performance Tables.....   | 12       |
| 3. Performance, Resources, and Strategies.....                                   | 14       |
| a. Performance Plan and Report for Outcomes.....                                 | 14       |
| b. Strategies to Accomplish Outcomes.....  | 15       |
| c. Results of Program Assessment.....  | 16       |
| <b>V. Program Increases by Item</b>  |          |
| A. Cyber Security Program (CSP) .....  | 17       |
| B. Unified Financial Management System (UFMS) .....                              | 24       |
| <b>VI. Exhibit</b>   |          |
| A. Organizational Chart  |          |
| B. Summary of Requirements   |          |
| C. Program Increases by Decision Unit  |          |
| D. Resources by DOJ Strategic Goal/Objective                                     |          |
| E. Justification for Base Adjustments  |          |
| F. Crosswalk of 2008 Availability  |          |
| G. Crosswalk of 2009 Availability  |          |
| H. Summary of Reimbursable Resources   |          |
| I. Detail of Permanent Positions by Category                                     |          |
| J. Financial Analysis of Program Increases/Offsets                               |          |
| K. Summary of Requirements by Grade  |          |
| L. Summary of Requirements by Object Class                                       |          |
| M. Status of Congressionally Requested Studies, Reports, and Evaluations         |          |

## **I. Overview for Justice Information Sharing Technology (JIST)**

The FY 2010 JIST request includes \$123,617,000 and a total of 72 positions. JIST funds the Department's enterprise investments in Information Technology (IT). As a centralized fund under the control of the DOJ Chief Information Officer, it ensures that investments in information sharing technology and infrastructure enhancements are well planned and aligned with the Department's overall IT strategy and enterprise architecture. It provides enabling and administrative technologies that assist in the pursuit of the Department's strategic goals and objectives. Electronic copies of the Department of Justice's Congressional Budget Justification and Capital Asset Plan and Business Case exhibits can be viewed or downloaded from the Internet using the Internet address: <http://www.usdoj.gov/jmd/2010justification/>.

### **Summary**

The Department's staff depends on its IT environments (systems, networks, and data archives) to conduct their legal, investigative, and administrative functions. The FY 2010 JIST appropriation will fund system development projects, IT component support programs, enterprise-wide security infrastructure, and cyber security monitoring to facilitate the availability of modern and secure IT environments for the Department's agents, attorney, analysts, and administrative staff to support their daily activities. The Department could not operate at its current level of productivity and effectiveness without the ready availability of the systems and data within these environments.

The FY 2010 JIST appropriation will fund the continuing development and implementation of the Litigation Case Management System (LCMS), Unified Financial Management System (UFMS), Public Key Infrastructure (PKI), and Law Enforcement Information Sharing Program (LEISP). In addition, JIST resources will directly support components through funding the Justice Consolidated Office Network (JCON), the Joint Automated Booking System (JABS), and JCON-Secret and JCON-Top Secret (JCON-S/TS) programs.

The JIST account includes increases in FY 2010 to enhance the cyber security of the Department's IT systems and to restore the FY 2009 base requirements for the UFMS funding.

The JIST budget includes \$21,132,000 funding for the Unified Financial Management System (UFMS). The UFMS will allow the DOJ to streamline and standardize business processes and procedures across all components, providing secure, accurate, timely, and useful financial and procurement data to program managers across the Department, and produce component and Department level financial statements.

### **Linkage to Strategic Goals**

Several JIST supported programs directly relate to the primary DOJ Strategic Goal 1, Prevent Terrorism and Promote the Nation's Security (1.1 Prevent, disrupt, and defeat terrorist operations before they occur and 1.2 Strengthen partnerships to prevent, deter, and respond to terrorist incidents), by enabling information sharing amongst DOJ components and between DOJ partners.

The Department's LEISP represents a strategic approach to sharing data amongst DOJ components, and between DOJ and other federal agencies and partners at the state, local and tribal level. The program aims to reduce crime and to prevent terrorism by providing an overall framework and the technological means for law enforcement entities to share information quickly and effectively. In addition, the JIST appropriation will support the development and implementation of LEISP-related database application systems that will enable state, local, and Federal law enforcement agencies nationwide to collect, share, and analyze law enforcement information on criminal activities and separately, in a more tightly controlled environment, to share and analyze sensitive intelligence data. JIST resources are also integral to the implementation of technology that will secure data communications, including an enterprise-wide seamless infrastructure for electronically sharing, processing, and storing information classified at the Secret (S), Top Secret (TS), and Sensitive Compartmented Information (SCI) levels. The Cyber Security Program (CPS) will enhance the protection of the DOJ's IT environments from cyber attack and facilitate defensive actions, when necessary, to ensure the ready availability of the systems so agents, attorneys, analysts, and administrative staff can efficiently and effectively continue their work.

Other JIST programs support the Overall Mission of the Department and contribute to the achievement of all three DOJ strategic goals (1. Prevent Terrorism and Promote the Nation's Security, 2. Prevent Crime, Enforce Federal Laws and Represent the Right and Interests of the American People, and 3. Ensure the Fair and Efficient Administration of Justice). JIST will provide for ongoing infrastructure investments including JCON, JABS, and PKI.

The JCON Program provides architecture guidance and funding to build reliable, secure office automation systems and component infrastructure (desktops, laptops, servers, local area networks, and data storage) upon which 16 DOJ litigation, management, and law enforcement components operate their mission-critical applications.

JABS is a nationwide system that has automated the booking process for DOJ law enforcement agencies and serves as the Department's "front end" to the FBI's Integrated Automated Fingerprint Identification System (IAFIS), and the automated information sharing system that eliminates redundant successive bookings, and established a federal offender tracking system by enabling agencies to share and exchange booking information.

The PKI program develops the enterprise architecture policies, best practices and standards for HSPD-12 investment, and IT improvements across DOJ and provides planning, training, operational support and oversight of the Personal Identification Verification card (PIVCard) deployment process for DOJ component employees and contractors.

The LCMS Program is developing the architecture and implementing an infrastructure to effectively capture case related information once and to share the information within and between Department Components, partners, agencies, and the public.

The UFMS is a major Department-wide initiative that will significantly improve the efficiency and integrity of financial and performance management and accounting functions.

## II. Summary of Program Changes

| Item Name                     | Description  |          |                 |                 | Page      |
|-------------------------------|--|----------|-----------------|-----------------|-----------|
|                               | Pos  | FTE      | Dollars (\$000) |                 |           |
| <b>Cyber Security Program</b> | <p><b>Base funding to protect the Department of Justice’s IT environments (systems, networks and sensitive data) from cyber attack. The program’s primary objectives are:</b></p> <ul style="list-style-type: none"> <li>▪ <b>Implement and maintain an enterprise-wide security architecture to reduce the number of Internet access points and deploy a cyber attack defense-in-depth strategy.</b></li> <li>▪ <b>Expand the Justice Security Operations Center (JSOC) operations to a 24x7 schedule, with the capability to conduct end-to-end monitoring of all traffic flows and take defensive actions when and where necessary.</b></li> <li>▪ <b>Support DEA to monitor internal network activity on critical assets and detect against insider and advanced cyber adversaries.</b></li> </ul> | <b>1</b> | <b>1</b>        | <b>\$27,439</b> | <b>17</b> |
| <b>UFMS</b>                   | <b>Restore base funding requirements to fully support the UFMS implementation schedule for FY 2010</b>   | <b>0</b> | <b>0</b>        | <b>\$14,000</b> | <b>24</b> |

### **III. Appropriations Language and Analysis of Appropriations Language**

#### **Appropriations Language**

For necessary expenses for information sharing technology, including planning, development, deployment and departmental direction, \$123,617,000, to remain available until expended, of which not less than \$21,132,000 is for the unified financial management system.

#### **Analysis of Appropriations Language**

The UFMS allocation is increased from \$7,132,000 to \$21,132,000 to restore \$14,000,000 base funding requirements according to the project's current schedule.

#### IV. Decision Unit Justification

##### A. Justice Information Sharing Technology – (JIST)

| <b>JIST - TOTAL</b>                           | <b>Perm. Pos.</b> | <b>FTE</b> | <b>Amount</b> |
|---|-------------------|------------|---------------|
|   |                   |            |               |
| 2008 Enacted with Rescissions                 | 71                | 71         | 80,540        |
| 2009 Enacted                                  | 71                | 71         | 80,000        |
| Adjustments to Base and Technical Adjustments |                   |            | 2,178         |
| 2010 Current Services                         | 71                | 71         | 82,178        |
| 2010 Program Increases                        | 1                 | 1          | 41,439        |
| 2010 President's Request                      | 72                | 72         | 123,617       |
| <b>Total Change 2009-2010</b>                 | <b>1</b>          | <b>1</b>   | <b>43,617</b> |

| <b>JIST – Information Technology Breakout (of Decision Unit Total)</b> | <b>Perm. Pos.</b> | <b>FTE</b> | <b>Amount</b> |
|--|-------------------|------------|---------------|
|  |                   |            |               |
| 2008 Enacted with Rescissions  | 71                | 71         | 80,540        |
| 2009 Enacted   | 71                | 71         | 80,000        |
| Adjustments to Base and Technical Adjustments                          |                   |            | 2,178         |
| 2010 Current Services  | 71                | 71         | 82,178        |
| 2010 Program Increases   | 1                 | 1          | 41,439        |
| 2010 President's Request   | 72                | 72         | 123,617       |
| <b>Total Change 2009-2010</b>  | <b>1</b>          | <b>1</b>   | <b>43,617</b> |

#### 1. Program Description

##### Overview

JIST provides for the performance of certain Departmental responsibilities assigned to the CIO by the Clinger-Cohen Act of 1996, including the implementation of a capital planning and investment control process, the development and maintenance of an information technology architecture, the establishment of IT performance measures, IT security programs and the development strategies for improving information resources management capabilities. In addition, it provides for IT investments that can be most effectively and efficiently designed, engineered, deployed, and implemented through Departmental direction. In addition to supporting the CIO to ensure compliance with the Clinger-Cohen Act and other applicable laws, rules, and regulations regarding information resource management, JIST will fund eight programs that support the Overall Mission of the Department and contribute to the achievement of all three DOJ strategic goals.

## **Law Enforcement Information Sharing Program (LEISP)**

LEISP is a department-wide program that provides an overall collaborative framework and technological infrastructure for law enforcement information sharing. It is an executive oversight program that provides the lynchpin for connecting several ongoing projects within key components of DOJ under a common set of goals and objectives. The program aims to reduce crime and to prevent terrorism by providing an overall framework and the technological means for law enforcement entities to share information quickly and effectively.

The LEISP is a strategic approach for sharing data amongst DOJ components and between DOJ and other federal agencies and partners at the state, local and tribal level. It will facilitate timely, appropriate and secure sharing of information across the law enforcement community. JIST supports the development and implementation of LEISP-related database application systems that will enable state, local, and Federal law enforcement agencies nationwide to collect, share, and analyze law enforcement information on criminal activities and separately, in a more tightly controlled environment, to share and analyze sensitive intelligence data.

## **JCON-Secret and JCON-Top Secret (JCON-S/TS)**

JCON-S/TS are the enterprise-wide, seamless Information Technology (IT) infrastructure for electronically sharing, processing, and storing information classified at the Secret and Top Secret Levels. It has been implemented in response to a significant increase in classified mission needs after the 9-11-2001 terrorist attack. The program is a major enabler in allowing investigators, intelligence analysis, and attorneys at DOJ locations across the country and around the world in using and sharing classified case data. Over 3,000 users use these systems at over 400 locations worldwide using existing DOJ wide area networks while connect to other agencies via the worldwide backbone router networks (Secret Internet Protocol Routing Network and Joint Worldwide Intelligence Communication Systems) operated by the Department of Defense.

The JCON-S/TS centrally funds and manages the planning, acquisition, and implementation of software and hardware, at the user sites and central computer center.

## **Cyber Security Program (CSP)**

The CSP protects the Department's IT environments (systems, networks and sensitive data) from cyber attacks to ensure their availability for the Department's agents, attorneys, analysts, and administrative staff to continue their legal, investigative, and administrative functions. CSP provides for a Justice Security Operations Center (JSOC) to operate on a 24x7<sup>1</sup> schedule to conduct end-to-end monitoring of data traffic flows and to take defensive actions when and where necessary, and to implement and maintain of an enterprise-wide security architecture that allows the JSOC to monitor all E-mail, Internet traffic, and remote access and that lessens the effectiveness and impact of external cyber attacks by deploying and maintaining a cyber attack defense-in-depth infrastructure.

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<sup>1</sup> JSOC operations cover the period Monday through Friday from 7:00 a.m. to 10:00 p.m.

## **Justice Consolidated Office Network (JCON)**

The JCON Program provides architecture guidance and funding to build reliable, secure office automation systems and component infrastructure (desktops, laptops, servers, local area networks and data storage) upon which 16 DOJ litigation, management, and law enforcement components operate their mission-critical applications. By providing for the maintenance of the JCON Standard Architecture, centralized acquisition management, a standardized system development and implementation methodology, configuration management and financing for new system deployments, JCON promotes interoperability and information sharing among DOJ components and their business partners, *e.g.*, courts, other components, and federal agencies.

The key elements of the DOJ JCON strategy are to:

- Establish a standard DOJ workstation,
- Establish a predictable technology refresh cycle to ensure that all JCON components have current, secure, and compatible messaging technologies that enable collaboration within the Department as well as with other law enforcement agencies, and
- Consolidate e-mail and directories and migrate components to share services.

These elements promote interoperability, drive cost efficiencies through economies of scale, increase security within and across DOJ components, and provide acquisition, management, and maintenance of office automation systems in a consolidated and consistent manner.

## **Joint Automated Booking System (JABS)**

JABS is a nationwide system that has automated the booking process for DOJ law enforcement agencies. It is the Department's "front end" to the FBI's Integrated Automated Fingerprint Identification System (IAFIS). Including booking stations maintained by DHS, JABS provides booking services to a total of 2,800 sites. Of those sites, JABS is responsible for the provisioning, maintenance and evolution of 1,100 workstations within the DOJ. JABS processes over 2 million transactions per year which accounts for nearly 85% of the Federal booking transactions submitted to IAFIS. JABS has reduced the time to identify an individual from several weeks for a paper fingerprint submission to about 30 minutes.

JABS operates and maintains a JABS data repository of biographic, biometric, charging and disposition information collected by the booking stations. Through OneDOJ, JABS provides this information to counter terrorism and law enforcement fusion centers. This collection of 3 million booking records is especially noted for its extensive detail on suspect scars, marks, and tattoos. This data has been cited by law enforcement as being especially useful in identifying persons when fingerprints are not available in data bases.

JABS is also charged with providing information interchange services that will eliminate the need to perform redundant bookings when prisoners are moved within DOJ. Major initiatives over the next several fiscal years include upgrading the data repository equipments, implementing data exchange capabilities, replacing the booking station biometrics collection equipment, and enhancing the operating systems to meet the new biometric collection and transaction requirements dictated by various recent legislation including iris scans, DNA sample acquisition support, and palm prints.

## **Public Key Infrastructure (PKI)**

The PKI Program was started by consolidating several security related initiatives. The program develops the enterprise architecture policies, best practices and standards for HSPD-12 investment, and IT improvements across DOJ and provides planning, training, operational support and oversight of the Personal Identification Verification card (PIVCard) deployment process for DOJ component employees and contractors.

The PIVCard is the center piece of the HSPD-12 which is being implemented government-wide. Standards set by NIST are the basis for satisfying identification and security requirements and for the use of a common PIVCard to achieve both logical and physical access to Federal-controlled facilities and information systems. The PIVCard contains logical elements including PKI certificates, digital photos, and fingerprint biometrics. The level of security implemented for access to a Federal-controlled facility or information system would be based on the risk level associated with the facility or information system. The PIVCard and related processes will greatly enhance security, increase efficiency, reduce identity fraud and protect personal privacy

The program serves as the DOJ's departmental issuer of PIVCards which is a mandatory element of the Department's compliance with government standards and will allow cross-agency secure communications. The key values of the overall program are:

- Establish a single, authoritative authentication mechanism for DOJ which will expedite (single sign-on) and expand secure access to critical information,
- Enable identity authentication prior to access of facilities and information systems,
- Create related infrastructure services at DOJ to provide for digital signatures, and end-to end encryption of sensitive law enforcement and terrorist related information, and
- Support information access and sharing by upgrading the IT infrastructure (Enterprise Directory, enterprise messaging, stovepipe component infrastructures and enterprise networking) to support secure communications especially between persons from differing organizations.

## **Litigation Case Management System (LCMS)**

The objective of the LCMS Program is to acquire and implement a common litigation case management system for the US Attorneys and the six DOJ litigating divisions. The program is developing a target architecture and infrastructure to effectively capture case related information once and to share the information within and between Department Components, partners, agencies, and the public. By establishing common data elements and applying automated tools to share information, the LCMS is expected to yield improvements in operational efficiencies across the U.S. Attorneys and the six Litigation Divisions through greater information sharing. Attorneys, agents, and other staff will have easy and quick access to more information. The automated information sharing tools will be designed to eliminate duplicative data entry and time spent on retrieving and validating information not resident in the requestor's systems leading to more efficient litigation activities and more effective litigation results. LCMS will allow DOJ to reduce operational costs as well as to standardize reporting and effectively and appropriately share information between the DOJ litigating divisions and with DOJ executive leadership, Congress, OMB, and partner agencies. The program will also share information with other key DOJ systems including the FBI's Sentinel for law investigative case management and the Consolidated Debt Collection System (CDCS) there by streamlining hand-offs and integrating information flows.

The JIST account has funded \$44.2M to develop the LCMS for deployment to the U.S. Attorneys. The LCMS will be deployed to the initial pilot site in the 1st Quarter of FY 2010, followed by a nationwide deployment to the remaining U.S. Attorney's offices in the 3rd and 4th Quarters of FY 2010.

### **Unified Financial Management System (UFMS)**

UFMS is an enterprise-wide Financial Management System that will enable DOJ to streamline and standardize financial business processes across all components, providing secure, accurate, timely, and useful financial and procurement data to program managers across the Department, and will produce component and department-level financial statements. The UFMS will facilitate the DOJ compliance with the CFO Act by improving procurement and financial management performance and aid department components in establishing and maintaining strong internal controls, complying with accounting standards, and ensuring system security.

| PERFORMANCE AND RESOURCES TABLE   |  |              |                    |         |                    |                 |                    |  |                    |                   |                     |
|---|--|--------------|--------------------|---------|--------------------|-----------------|--------------------|--|--------------------|-------------------|---------------------|
| Decision Unit: JMD/OCIO/Justice Information Sharing Technology (JIST)   |  |              |                    |         |                    |                 |                    |  |                    |                   |                     |
| DOJ Strategic Goal/Objective: Overall Mission Support Thru IT / Protecting America from the threat of terrorism |  |              |                    |         |                    |                 |                    |  |                    |                   |                     |
| WORKLOAD/ RESOURCES   |  | Final Target |                    | Actual  |                    | Projected       |                    | Changes  |                    | Requested (Total) |                     |
|   |  | FY 2008      |                    | FY 2008 |                    | FY 2009 Enacted |                    | Current Services Adjustments and FY 2010 Program Changes |                    | FY 2010 Request   |                     |
| Workload  |  |              |                    |         |                    |                 |                    |  |                    |                   |                     |
| Total Costs and FTE (reimbursable)  |  | FTE          | \$000              | FTE     | \$000              | FTE             | \$000              | FTE  | \$000              | FTE               | \$000               |
|   |  | 71           | 80,540<br>[51,144] | 71      | 80,540<br>[27,008] | 71              | 80,000<br>[54,980] | 1  | 43,617<br>[40,028] | 72                | 123,617<br>[95,008] |
| TYPE/<br>STRATEGIC<br>OBJECTIVE   | PERFORMANCE  | FY 2008      |                    | FY 2008 |                    | FY 2009 Enacted |                    | Current Services Adjustments and FY 2010 Program Changes |                    | FY 2010 Request   |                     |
| Program Activity  |  | FTE          | \$000              | FTE     | \$000              | FTE             | \$000              | FTE  | \$000              | FTE               | \$000               |
|   |  | 71           | 80,540<br>[51,144] | 71      | 80,540<br>[27,008] | 71              | 80,000<br>[54,980] | 1  | 43,617<br>[40,028] | 72                | 123,617<br>[95,008] |
| Performance Measure   | Percentage of offenders booked through JABS  |              | 99%                |         | 99%                |                 | 99%                |  | N/A                |                   | 99%                 |
| Performance Measure   | Percentage of total JCON workstations deployed in the fiscal year (non-cumulative)       |              | 100%               |         | 15%*               |                 | 100%               |  | N/A                |                   | 100%                |
| Performance Measure   | Maintain mainframe enterprise system availability for client organizations               |              | 99%                |         | 100%               |                 | 99%                |  | N/A                |                   | 99%                 |
| Performance Measure   | Maintain JMD/SMO JCON system availability for client organizations                       |              | 99%                |         | 100%               |                 | 99%                |  | N/A                |                   | 99%                 |
| Performance Measure   | Ensure IT systems are certified and accredited   |              | 100%               |         | 100%               |                 | 100%               |  | N/A                |                   | 100%                |
| Performance Measure   | Ensure IT help desk calls are answered and resolved within service level agreement terms |              | 78%                |         | 78%                |                 | 75%                |  | N/A                |                   | 75%                 |

\* There were several delays in ordering the workstations due to ordering freezes on the Hardware Blanket Purchase Agreement (BPA) in the 3rd and 4th quarters of FY 2008. These freezes were lifted in late June and early September, respectively, and the orders were placed. This delayed the deployment start to FY 2009.

| PERFORMANCE MEASURE TABLE   |  |         |         |         |         |         |         |         |         |        |         |         |
|---|--|---------|---------|---------|---------|---------|---------|---------|---------|--------|---------|---------|
| Decision Unit: JMD/OCIO/Justice Information Sharing Technology (JIST) |  |         |         |         |         |         |         |         |         |        |         |         |
| Performance Report and Performance Plan Targets                       |  | FY 2001 | FY 2002 | FY 2003 | FY 2004 | FY 2005 | FY 2006 | FY 2007 | FY 2008 |        | FY 2009 | FY 2010 |
|   |  | Actual  | Target | Actual  | Target  |
| <b>Performance Measure</b>  | Percentage of offenders booked through JABS  | N/A     | N/A     | N/A     | N/A     | 95%     | 97%     | 99%     | 98%     | 99%    | 99%     | 99%     |
| <b>Performance Measure</b>  | Percentage of total JCON workstations deployed in the fiscal year (non-cumulative)       | N/A     | N/A     | N/A     | N/A     | N/A     | 45%     | 0%      | 100%    | 15%    | 100%    | 100%    |
| <b>Performance Measure</b>  | Maintain mainframe enterprise system availability for client organizations               | N/A     | N/A     | N/A     | N/A     | N/A     | N/A     | 100%    | 99%     | 100%   | 99%     | 99%     |
| <b>Performance Measure</b>  | Maintain JMD/SMO JCON system availability for client organizations                       | N/A     | N/A     | N/A     | N/A     | 100%    | 99%     | 100%    | 99%     | 100%   | 99%     | 99%     |
| <b>Performance Measure</b>  | Ensure IT systems are certified and accredited   | N/A     | N/A     | N/A     | N/A     | 91%     | 92%     | 100%    | 100%    | 100%   | 100%    | 100%    |
| <b>Performance Measure</b>  | Ensure IT help desk calls are answered and resolved within service level agreement terms | N/A     | N/A     | N/A     | N/A     | N/A     | N/A     | 87%     | 78%     | 78%    | 75%     | 75%     |

**N/A = Data Unavailable**

### 3. Performance, Resources, and Strategies

#### a. Performance Plan and Report for Outcomes

JIST consolidates certain IT resources so that the DOJ CIO can more effectively coordinate DOJ IT investments and directly finance certain infrastructure improvements.

*Oversight of these resources and key programs* is critical to their success and ensuring outcomes that support the Department's mission.

As provided in the FY 2006 JIST Appropriation, the Department established a Department IT Investment Review Board (DIRB). Members of the DIRB are composed of the Department of Justice (DOJ) executive officers and augmented by non-voting DOJ representatives and component members. The Deputy Attorney General (DAG) chairs the board and the DOJ CIO serves as vice chair. The DIRB is composed primarily of members with IT subject matter and financial management expertise, thereby providing complementary perspectives on the best use of investment resources to improve the management of IT investments of the Department.

The DIRB provides the highest level of investment oversight as part of the Department's overall IT investment management process. The Department's IT investments are vetted annually through the budget submission process and in conjunction with each component's Information Technology Investment Management (ITIM) process. Of the hundreds of projects that are funded annually across the Department, approximately a dozen are selected each year for DIRB review based on the size of their budget and/or their strategic importance to the Department mission. The DIRB's principal functions in fulfilling its decision-making responsibilities are to:

- Ensure compliance with the Clinger-Cohen Act and all other applicable laws, rules, and regulations regarding information resources management,
- Monitor the Department's most important IT investments throughout their project lifecycle to ensure goals are met and the expected returns on investment are achieved,
- Ensure each project under review has established effective budget, schedule, operational, performance, and security metrics that support the achievement of key project milestones,
- Review the recommendations and issues raised by the components' IT investment management process,
- Review annually each component's IT investment portfolio, including business cases for new investments, to enable informed departmental IT portfolio decisions,
- Develop and implement decision-making processes that are consistent with the purposes of the DIRB, and with applicable Congressional and OMB guidelines for selecting, monitoring, and evaluating of information system investments (these processes shall be designed to ensure that the DIRB's decisions are consistent, valid, and actionable, support the Department's business needs and processes, align with the Department's strategic goals and objectives, comply with enterprise architecture, and consider the delivery of a modernized IT infrastructure and efficient, effective services as a driving goal), and
- Establish a communication plan that conveys DIRB actions and progress against the Department's identified processes and goals.

In addition to the DIRB, the Department has established an IT Dashboard that allows management to review various aspects of major initiatives across the Department. The Dashboard is considered another oversight tool since all projects cannot be reviewed by the DIRB. The dashboard includes Earned Value Management System (EVMS) reporting to ensure projects are evaluated against acceptable variances for scope, schedule and costs. Risk analysis and project funding information are also available in this tool. This allows the Department's CIO and senior management team to have timely access to project information via the web.

#### **b. Strategies to Accomplish Outcomes**

Specific mission critical IT infrastructure investments are designed, engineered and deployed with JIST resources.

- The Cyber Security Program (CPS) provides for the implementation and maintenance of an enterprise-wide security architecture to reduce the number of Internet access points and deploy a cyber attack defense-in-depth strategy to lessen the effectiveness and impact of external cyber attacks, and for JSOC operating on a 15x5 schedule with the capability to conduct end-to-end monitoring of data traffic flows and to take defensive actions when and where necessary.
- LEISP creates the core law enforcement information sharing services that will allow information to be routinely exchanged between agencies and their systems. Its objectives are to:
  - Integrate several existing or newly initiated DOJ funded information sharing systems.
  - Direct the integration and support the timely completion of ongoing projects by infusing project coordination guidance, technology direction, and project oversight from the Office of the CIO.
  - Provide access to other federal, state and local agency communications infrastructure to exchange law enforcement information. This will be achieved by connecting (or enhancing existing connections) between DOJ networks (JUTNET, CJIS WAN, LEO) and the networks of other federal partners such as the Department of Homeland Security (DHS), as well as selected state/local networks such as the National Law Enforcement Telecommunications System (NLETS) and the Regional Information Sharing System (RISS).
- Develop and implement an electronic authentication system to allow authorized users from other federal, tribal, state and local law enforcement agencies to access DOJ information from the core LEISP services. The electronic authentication system is necessary to identify individuals who are accessing DOJ through the core LEISP services with a high level of confidence. Positive electronic identification that includes personally identifiable information ensures that data is accessed only by authorized agents/officers, and is necessary to allow DOJ to track and audit DOJ law enforcement data access, a requirement of the Privacy Act. The LEISP intends to leverage the DOJ PKI program to achieve this objective.
- Connect select data sources from other federal law enforcement agencies (e.g., DHS) to the core LEISP services. To achieve this objective requires the OCIO to develop and

implement one or more data source adapters similar to those discussed above for DOJ component data sources.

- The LCMS is an initiative to use centrally managed fund and corporately controlled planning, engineering, acquisition and deployment resources to improve operational efficiency through greater case related information sharing. By implementing common data elements and applying automated tools to share information, agents, attorneys, and other staff will have access to more information, more quickly and easily. Further, the implementation of common standards for identifying and processing litigation cases will enable the Attorney General to manage and deploy the Department's legal staff and caseload more effectively
- The JCON Program is a mature infrastructure program that provides a reliable and robust common office automation platform upon which 16 of the DOJ litigating, management, and law enforcement components operate their mission-critical applications. JCON centrally funds and manages the planning, acquisition, and implementation of a commercial-off-the-shelf (COTS) product-based office automation solution for participating components across the Department.
- JABS is a nationwide system that has automated the booking process for DOJ law enforcement agencies and serves as the information sharing system that eliminated redundant successive bookings, and established a federal offender tracking system by enabling agencies to share and exchange booking information. It is the Department's "front end" to the FBI's Integrated Automated Fingerprint Identification System (IAFIS) which provides an automated process for the collection and transmission of fingerprint, photographic, and biographical data. JABS enables agencies to share and exchange booking information and maintain federal offender tracking capability.
- The PKI program was started by consolidating several security related initiatives. The program develops the enterprise architecture policies, best practices and standards for HSPD-12 investment, and IT improvements across DOJ and provides planning, training, operational support and oversight of the Personal Identification Verification card (PIVCard) deployment process for DOJ component employees and contractors. The PIVCard contains logical elements including PKI certificates, digital photos, and fingerprint biometrics and will be used by government employees and contractors for achieving both logical and physical access to Federal controlled facilities and information systems.

### **c. Results of Program Assessment**

JIST has not been selected for a Program Assessment Review

**V. Program Increases**

**Item Name:** Cyber Security Program (CSP)  
**Budget Decision Unit(s):** JIST  
**Strategic Goal(s) & Objective(s):** Strategic Goal 1 – Prevent Terrorism and Promote the National Security  
**Organizational Program:** JIST  
**Component Ranking of Item:** 1 of 1

**Program Increase:** Positions 1 FTE 1 Dollars \$27,439,000

**Description of Item**

This investment increase of \$27,439,000 would fund the Cyber Security Program (CSP) to strengthen the Department’s Cyber Security operations. The increase should be recurred:

- To continue the JSOC and DEA Security Management operations, and the completion of the multi-year investment plan,
- To secure the networks and consolidate internet gateways, and
- To fund the operational and maintenance costs and equipment refresh cost.

The following table summarizes the five year cost for this program:

| Description  | FY 2010<br>\$000 | FY 2011<br>\$000 | FY 2012<br>\$000 | FY 2013<br>\$000 | FY 2014<br>\$000 |
|--|------------------|------------------|------------------|------------------|------------------|
| <b>Justice Security Operations Center</b>                  | \$ 12,018        | \$12,018         | \$12,018         | \$12,018         | \$12,018         |
| <b>Secure Networks &amp; Consolidate Internet Gateways</b> |                  |                  |                  |                  |                  |
| Security Infrastructure                                    | \$13,596         | \$10,877         | \$ 8,701         | \$ 6,961         | \$ 2,365         |
| Operations & Maintenance                                   |                  | \$ 2,719         | \$ 4,895         | \$ 6,635         | \$ 8,027         |
| Equipment Refresh  |                  |                  |                  |                  | \$ 3,204         |
| <b>DEA – Security Management</b>                           | \$ 1,825         | \$ 1,825         | \$ 1,825         | \$ 1,825         | \$ 1,825         |
| <b>Total JIST Base</b>                                     | \$27,439         | \$27,439         | \$27,439         | \$27,439         | \$27,439         |

In January 2008, the President signed Homeland Security Presidential Directive 23 establishing a central government oversight point in the US Computer Emerging Readiness Team (US-CERT), minimum operational standards for network operation, and providing for US-CERT visibility and insight into agencies networks. The Department used one-time funding sources to start planning and implementing cyber security initiatives, including establishing a JSOC, operating on a 15x5 schedule, to serve as the central focal point for security monitoring, analysis and compliance reporting of security incidents for all Department systems and networks.

The Cyber Security Program investment increase will allow the Department to expand the JSOC operating schedule to 24x7 and acquire the additional software tools to monitor the situational awareness of the Department’s networks on a proactive basis and to investigate incidents and develop

signatures to block further intrusions. In addition, the increase will allow the Department to undertake a phased, multi-year program to implement DOJ enterprise-wide security architecture to secure networks and consolidate internet gateways. The effectiveness of the JSOC to proactively defend against and respond to cyber attacks will be significantly increased with the expanded operating schedule and will continue to increase as the enterprise-wide security infrastructure is deployed. The Cyber Security Program increase will fund the following activities:

- **Justice Security Operations Center (JSOC)**

This increase will allow the Department to:

- Expand the JSOC coverage from a 15x5 to 24x7 schedule starting in FY 2010.
- Provide JSOC tools needed to proactively monitor the situational awareness of the Department's network and to investigate incidents and develop signatures to block further intrusions, and
- Provide forensic tools to enhance incident investigations and to develop signatures to block further intrusions. Adoption of new technology will allow DOJ to analyze the depth of the potential threat and will save resources and time, allowing DOJ to quickly mitigate risk in a more efficient and effective manner.

This initiative will directly benefit DOJ's ability to identify and respond to incidents relevant to today's emerging cyber threats. In addition, the increase will allow establishing a secure facility with communications supporting the exchange of information with USCERT and the Intelligence Community. The Department and US-CERT are currently working on developing an MOU that will further articulate and formalize the procedures and processes for coordinating activities and sharing of information. JSOC actively participates in multiple information sharing workgroups within the Federal Government, DoD, and the Intel Community for the purpose of contributing existing knowledge or data. These forums enable DOJ to share experiences and information amongst its peers within the Government Cyber community and improve the Department's detection and mitigation capabilities. USCERT is an active member or facilitator of many of these working groups. JSOC will be able to leverage the advanced collection and analysis capabilities of USCERT and the Intelligence Community by applying this advanced knowledge to proactively secure the Department's systems and networks and to work collaboratively on analysis and countermeasures for ongoing security incidents.

- **Secure Networks**

This increase will provide the capability to secure the Department's external system and network connections and prioritize data traffic flow through segmentation of services while enhancing the efficiency and effectiveness of monitoring traffic through the JSOC. It will allow the Department to:

- Modernize intrusion detection systems (IDS) and firewalls across DOJ, including component networks,
- Provide common mandatory settings that can be managed centrally so as to respond in a timely fashion to emerging threats,

- Implement a centrally-managed, departmental email system capable of hosting end-user email accounts for the greatest number of component personnel, and
- Segment traffic by type to allow JSOC to customize data monitoring and apply specific countermeasures for each traffic type.

DOJ's security infrastructure has been built incrementally, primarily funded by and installed with the specific needs of each program buying each element installed. The security and operational infrastructure of the department is largely funded and built by each of the 40 component organizations separately funded for IT operations. The result is that over time, the landscape of communications infrastructure (e.g. routers, switches, and gateways), security infrastructure (e.g. Firewalls, Intrusion Detection (IDS)/ Prevention (IDP) devices), monitoring infrastructure (e.g. probes, monitors, log file and usage gathering devices) and logical services infrastructure (e.g. DNS, DHCP, monitoring tools, software patching tools) becomes quite varied and inconsistent.

This funding seeks to create and promote the use of standard settings for network and security devices (e.g. routers, firewalls, Intrusion Detection Systems), and consistent use of logical network services (e.g. Time servers, Domain Name Services (DNS), IP addressing, DHCP, logging) across the department to perform common operational and security tasks, such as device scanning, device monitoring, network modeling and configuration management. These funds are required to build the tools and processes to change settings and enforce policy on security devices without requiring this lengthy consultative process every time a setting needs to be changed.

- **Consolidate Internet Gateways – Web Browsing, Remote Access and Extranet/Hosting**

This increase will provide the consolidation of external extranet and data hosting gateways distributed throughout the Department in order to secure and monitor their environments. It will allow the Department to provide a consistent level of security to component activities to share information with Courts, outside Law Enforcement organizations, and other partners by developing departmental extranet and data hosting segments in the DOJ datacenter and to provide a secure remote access capability for the Department's agents and analysts outside the secured perimeter of the Department to access the information they require.

This funding will put in place the platform to support DOJ's access point consolidation. DOJ reported 103 connections outside the department's security boundary. These connections go to other federal agencies, law enforcement partners at the state and local level and the Internet. Many of these have critical encryption or performance requirements that must be taken into account when migrating.

The Department expects that the vast majority of the 103 circuits will be consolidated into 4 departmental TIC connections during FY 2010. The remaining circuits are the hardest to consolidate. The Department expects that all of these circuits, except a few that have to wait for refresh cycles, will be consolidated in FY 2011. All of these connections will be time consuming to change over, and will need to be centrally monitored and managed once consolidated.

- **DEA Security Management**

This increase will support the DEA's Information Security Section to monitor internal network activities on critical assets and detect against insider and advanced cyber adversaries.

As DEA's Sensitive but Unclassified infrastructure migrates to an open architecture to support the organization's business processes, the current security posture will be reduced and there is increased potential for attacks and penetrations by hostile foreign intelligence organizations. Since the open architecture will provide employees with direct Internet access from their desktop, there is also increased potential for a higher rate of malicious code infections, thereby impacting the existing inadequate information technology security resources and staff. To protect against these emerging threats, the Drug Enforcement Administration is adopting the Department of Justice's security strategy and migrating from the less effective oversight and compliance to an "operational security" model. In doing so, sufficient funding must be allocated to allow the Drug Enforcement Administration to acquire adequate information security resources and continue development of its current security operations capability to help identify and protect the organization's information technology assets from threats both domestic and foreign.

### **Justification**

The Department's ability to achieve its strategic goals depends heavily on its ability to capture, process, manage, analyze, and share information. To meet mission investigative and information sharing requirements, DOJ's agents, attorneys, and analysts are increasingly reliant on connectivity to the Internet, other DOJ components, and multiple levels of government. This connectivity level increases the exposure of DOJ systems to disruption from cyber threats and attacks.

The cyber security threat is not static; it is a dynamic threat with the scope, number, and complexity of cyber attacks changing and expanding. DOJ has experienced an increase in the number of cyber attacks directed at DOJ systems. In addition to the disruption of the Department's networks, the computer-hacking techniques used by perpetrators are focused on stealing sensitive information contained within our systems.

The loss of sensitive information compromises our agents, Attorneys, and Analysts' ability to perform their mission. Poor security reduces the confidence of the Nation in the Department and makes it more difficult to process the information required to achieve our goals. In addition, it also makes it more difficult to share information effectively with cooperative foreign governments and other Federal, State, and tribal governments. Effective information sharing is essential to successfully achieving our goals, particularly in the counterterrorism area. If outside agencies can not trust our security, they will neither provide nor accept information from the Department, thus impairing both the Department's and their ability to perform their missions. Furthermore, loss, compromise, or the lack of availability of our systems and networks can seriously impact our legal filings and jeopardize our legal standing with the courts.

In January 2008, the President signed a Homeland Security Presidential Directive 23 establishing a central government oversight point in the US-CERT, minimum operational standards for network

operation, and US-CERT visibility and insight into agencies' networks. In support of this initiative, the DOJ Office of the Chief Information Officer (OCIO) established a JSOC, operating on a 15x5 schedule, as the central focal point for security monitoring, analysis, and compliance reporting of security incidents for all Department systems and networks, and implemented a Trusted Internet Connection (TIC) portal to facilitate the reduction of the number of external connection points, including those to the Internet.

The Department has been working to meet as many of the requirements of HSPD-23 as possible. In the case of the TIC portal, one-time funding was to fund the initial purchase of equipment to establish an initial TIC capability at Rockville data center. The portal in place now provides a level of monitoring primarily for the Department's Internet-bound traffic for about half the users in the Department. Additional funding is required to execute the actions in the department's plan of action to implement remaining TIC requirements for remaining services and locations.

The CSP increase will allow the Department to expand the JSOC operations from the current 15x5 schedule to a 24x7 schedule, beginning in FY 2010. In addition, the increase will provide the JSOC additional tools needed to proactively monitor for situational awareness of the Department's network, forensic tools to investigate incidents and develop signatures to block further intrusions, and a secure facility with communications supporting the exchange of information with US-CERT and the Intelligence Community. The enhanced communications with the US-CERT and Intelligence Community will allow the JSOC to leverage the advanced collection and analysis capabilities of those agencies and apply that advanced knowledge to proactively secure Department systems and networks, and to work collaboratively on analysis and countermeasures for ongoing security incidents.

The Department's IT environments for delivery of applications and infrastructure services to Component, Federal, and Public users are distributed across DOJ Components. These networks have evolved as a collection of individual processing enclaves to host specific applications developed and installed as part of a Component or enterprise development initiative. Even though Components have incrementally added security features to their networks, the overall impact cannot be measured because they were designed and implemented without the benefit of comprehensive Department-wide security architecture. In addition to the variety of security features across the Department, the currently distributed and autonomous network operations model does not allow for a single view of the security status of the Department. Some Components also have security risks from "backdoors" that can be exploited by a cyber attacker to penetrate the Department's IT networks. Overall, the Department's current IT environment limits the effectiveness of the JSOC's monitoring and incident response.

The Department must be able to know and control all access points to our systems and to identify all users on its systems. DOJ components currently operate over 300 systems with over 100 access points to external networks and the Department has over 1,000 employees in 63 countries; many of these countries represent hostile operating environments. All these systems come together within DOJ; therefore, vulnerability in any one system exposes all DOJ systems to possible compromise or misuse. There is minimal monitoring of traffic flowing between components to identify abusive activity. The traffic passing the DOJ external boundary is not segmented by traffic type. This makes monitoring this traffic more difficult and, if an attack on a particular type of traffic is identified, makes isolating or suspending that traffic type nearly impossible. Because of these challenges, the JSOC can effectively monitor only a portion of the traffic between DOJ and outside networks and is limited in the actions it can take to suspend certain attacks.

To overcome the above challenges, the CSP increase will fund the re-engineering and upgrade of the Department's security architecture to:

- Modernize intrusion detection systems (IDS) and firewalls across DOJ, including component networks, and establish common mandatory settings that can be managed centrally so as to respond in a timely fashion to emerging threats,
- Implement a centrally-managed, departmental email system capable of hosting end-user email accounts for the greatest number of component personnel,
- Implement a departmental extranet and data hosting segment in the DOJ data center to provide a higher, more consistent level of security to information sharing with Courts, outside Law Enforcement organization, or partner agencies,
- Implement a secure remote access system to allow authorized users, including agents and analysts operating from overseas locations, outside the secured perimeter of the Department, to access the information they require,
- Segmenting traffic by type to allow the JSOC to customize data monitoring based on the traffic type and to apply specific countermeasures, as required, for each traffic type. Segmenting traffic will make the work of the JSOC more effective and efficient and allows them to focus on other responsibilities while providing greater security, and
- Consolidate gateways to reduce the number of Internet access points.

The Department's security posture will be significantly improved with the expansion of the JSOC operating schedule to cover the full 24x7 exposure period and the completion of the re-engineering and upgrade of the Department's security architecture. DOJ will have an effective security structure to counter current and emerging cyber threats and to ensure that our agents, attorneys, and analysts can continue using the Department's IT systems and network to support their missions without significant interruption of service resulting from cyber attacks.

### **Impact on Performance (Relationship of Increase to Strategic Goals)**

The Department's ability to achieve its strategic goals depends heavily on its ability to capture, process, manage, analyze, and share information. To meet mission investigative and information sharing requirements, DOJ's agents, attorneys, and analysts are increasingly reliant on connectivity to the Internet, other DOJ elements and multiple levels of government. The CSP increase will allow the Department to address weaknesses in the current network and security architecture supporting the Department. This will not only improve the overall security of the network, but will make the administration and monitoring of the network more effective and efficient. Secure and resilient systems and networks will provide DOJ's agents, attorneys and analysts with the necessary IT tools to accomplish their missions. It will encourage the Nation to interact with the Department and share the information vitally needed by the Department to accomplish its mission. It will instill trust in cooperating foreign and other Federal, State, and Tribal governments to provide and exchange information to our mutual benefit. A more effective and efficient security mechanism also means a greater portion of the resources in components can be directed to mission critical issues.

## Funding – Cyber Security Program

### Base Funding

| FY 2009 Enacted |     |       | FY 2010 Requirements |       |       | FY 2010 Current Services |     |       |
|-----------------|-----|-------|----------------------|-------|-------|--------------------------|-----|-------|
| Pos             | FTE | \$000 | Pos                  | \$000 | \$000 | Pos                      | FTE | \$000 |
| 0               | 0   | 0     | 1                    | 1     | \$153 | 0                        | 0   | 0     |

### Personnel Increase Cost Summary

| Type of Position | Modular Cost per Position \$000 | Number of Positions Requested | FY 2010 Request \$000 | FY 2011 Net Annualization (change from FY 2010) \$000 |
|------------------|---------------------------------|-------------------------------|-----------------------|---|
| GS-15            |                                 | 1                             | \$153                 | \$67  |
| Total Personnel  |                                 | 1                             | \$153                 | \$67  |

### Non-Personnel Increase Cost Summary

| Non-Personnel Item  | Unit Cost | Quantity | FY 2010 Request \$000 | FY 2011 Net Annualization (Change from FY 2010) \$000 |
|---------------------|-----------|----------|-----------------------|---|
| Total Non-Personnel | \$27,286  | 1        | \$27,286              | \$27,286  |

### Total Request for this Item

|                  | Pos | FTE | Personnel \$000 | Non-Personnel \$000 | Total \$000 |
|------------------|-----|-----|-----------------|---------------------|-------------|
| Current Services | 0   | 0   | \$ 0            | \$ 0                | \$ 0        |
| Increases        | 1   | 1   | \$ 153          | \$27,286            | \$27,439    |
| Grand Total      | 1   | 1   | \$ 153          | \$27,286            | \$27,439    |

## V. Program Increases

**Item Name:** **Unified Financial Management System (UFMS)**

Budget Decision Units: JIST  
Strategic Goal & Objectives: Enabling/Administrative  
Organizational Program: JIST  
Component Ranking of Item: 2 of 2

Program Increase: Positions 0 FTE 0 Dollars \$14,000,000

### Description of Item

The program requires restoration of base funding that was reduced in the FY 2009 enactment process. The \$14 million provides funding for program management, oversight and other fixed costs that are critical to the program's success.

### Justification

Identified by the Department's Inspector General as "one of the most important challenges for the Department," the Department is implementing a Unified Financial Management System (UFMS) that will replace legacy financial systems operated by individual components. The UFMS will replace six (6) core financial management systems and multiple procurement systems currently operating across the Department with an integrated Commercial Off The Shelf (COTS) solution. This will allow the Department to streamline and standardize business processes and procedures across all Components, providing accurate, timely, and useful financial data to financial and program managers across the Department, and produce Component and Department level financial statements. In addition, the system will assist the Department by improving financial management performance and aid in addressing the material weaknesses and non-conformances in internal controls, accounting standards, and systems security identified by the Department's Inspector General.

The UFMS program is centrally managed by the UFMS Program Management Office (PMO) within the Controller's Staff of the Justice Management Division. The program office provides overall program management, technical direction and oversight, project controls, and serves as the central coordination point for all program activities.

The UFMS program has annual fixed costs that include rent, utilities, telecommunications, hardware and staffing to manage the schedule, budget, deliverables, and program risks, functional and technical activities of the program. For FY 2010, the total annual fixed cost for the program is estimated at \$21.6 million. The PMO provides guidance to assist with the coordination and oversight of program resources to ensure successful system implementations throughout the Department. This also includes providing management oversight and direction to the Operations and Maintenance activities.

### *Recent Milestones Achieved*

In January 2009, the Drug Enforcement Administration (DEA) financial, accounting and acquisition functions went live with the Department's UFMS system. DEA joined the Assets Forfeiture Management Staff as the second organization to adopt the Department's system. This was a major milestone for the Department as this was the first large law enforcement organization to deploy UFMS

as the system of record. Today, approximately 1,800 users rely on the 28 standard business processes and 12 interfaces configured in UFMS 1.1.

*Major FY 2009 Activity*

In addition to DEA going live, the Department has several other important milestones to achieve in FY 2009. An upgrade of the underlying commercial product, Momentum, is required to support implementation of the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) and the Federal Bureau of Investigation (FBI). UFMS 2.0 will provide greater functionality, enhanced security, and technical features that reduce operational costs. In addition, UFMS intends to improve the disaster recovery solution to ensure that response time is reduced to less than three days.

- The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) will implement UFMS in two phases. Phase 1, which implements over half the Department's standard financial and acquisition management processes and data tables, is on schedule for go live in the third quarter of FY 2009. Phase 2, targeted for the third quarter of FY 2010, will complete the transition of ATF to the upgraded version, UFMS 2.0. ATF will be the second major component to implement UFMS as the system of record.
- The Bureau of Prisons (BOP) will implement UFMS Acquisitions in the fourth quarter of FY 2009. This will bring over 300 BOP users onto UFMS and represents over 60 percent of the Department's total acquisition volume.
- The FBI will implement UFMS in three phases. Phase 1 will implement the UFMS Contract Writing Tool (CWT), which is a sub-set of the UFMS Acquisitions Module, to provide a secure, web-based capability to process solicitations in a standardized manner. CWT is now in production at the FBI's Criminal Justice Information Services (CJIS) Division. Phase 2 will deploy integrated acquisition and financial management to CJIS and Phase 3 will deploy UFMS across the FBI.

In FY 2009, the FBI plans to use UFMS reporting tools to improve Financial Statement Reporting capabilities, including creation, editing, and publishing of financial statements and notes. This will enable the FBI to standardize their accounts according to Department and UFMS standards and begin the required change management prior to their Phase 2 UFMS deployment.

The successful implementation of UFMS for DEA, as well as the other activity noted above, puts the Department on a path to provide more timely and accurate consolidated reporting and to capitalize on the operational efficiencies of standards and centralized operations. The Department has already reduced its legacy systems from six to four. DOJ is requesting a reprogramming for additional UFMS funds in FY 2009 and the FY 2010 budget plan will reduce the number of remaining legacy systems to two.

To meet the program's goals for FY 2010, the Department plans to request an FY 2010 reprogramming. The next phases of the UFMS implementation schedule include the FBI phase two noted above, the U.S. Marshals Service and the final phase of Asset Forfeiture Fund.

## **Impact on Performance (Relationship of Increase to Strategic Goals)**

DOJ has taken a major step toward a new and more efficient way of managing its fiduciary responsibilities by implementing UFMS within DEA. UFMS will ensure that uniform and centralized data is readily available. The capability of UFMS to present information in a consistent, predictable manner reduces the need for data comprehension, and directly impacts the time required to prepare reports based on Component information.

Some of the key positive performance objectives that will be optimized at each DOJ component will be:

- Reduction in manual and duplicative transaction processing efforts - UFMS integrates the acquisition process with finance which means much of the data is entered once and then used many times as the requisition is reviewed/approved, goods or services are acquired/received and invoices are paid. This improves productivity and ensures less opportunity for errors. Prior to UFMS, DEA had an automated acquisition system that was NOT integrated with finance which required duplicate/redundant data.
- Effectively use technology with advanced controls for auditing, data integrity and interfaces that support financial and procurement transactions - Improved internal controls delivered systemically by UFMS provide edits/defaults to improve productivity, reduce errors and provide documentation/audit trails that can help to identify gaps, weaknesses and thereby reduce fraud, waste and abuse.
- Reduction in errors in financial and procurement transaction processing.
- Timely financial reporting - Improved efficiency and effectiveness of the DOJ's financial management and reporting capabilities.
- Improved efficiency and effectiveness of the Department's funds management - Automated reconciliation and fund control capabilities also improve productivity and minimize risks.
- Increase ability to pay invoices on time.
- Improved efficiency and effectiveness of the DOJ's asset management.
- More modern security tools and practices.
- Reduced audit effort and costs - Long term efficiencies will be realized in the areas of automated financial statement preparation and the financial audit efforts. The decentralized nature of the Department's financial management is the driving force behind the current process of completing component audits that are then rolled up into the consolidated Departmental audit. The number of financial management systems and data centers used by the Department's reporting entities limits the options that could be implemented to achieve future cost savings or at least continue to reduce the level of effort. According to the OIG, the Department spent over \$11 million on contract labor hours for the FY 2008 audit process. The number of contract hours required for this audit was 81,000.

**Funding – UFMS**

Base Funding

| FY 2009 Enacted |     |         | FY 2010 Requirements |     |         | FY 2010 Current Services |     |         |
|-----------------|-----|---------|----------------------|-----|---------|--------------------------|-----|---------|
| Pos             | FTE | \$000   | Pos                  | FTE | \$000   | Pos                      | FTE | \$000   |
|                 |     |         |                      |     |         |                          |     |         |
|                 | 14  | \$7,132 |                      | 14  | \$7,132 |                          | 14  | \$7,132 |

Personnel Increase Cost Summary

| Type of Position | Modular Cost per Position \$000 | Number of Positions Requested | FY 2010 Request \$000 | FY 2011 Net Annualization (change from FY 2010) \$000 |
|------------------|---------------------------------|-------------------------------|-----------------------|---|
|                  |                                 |                               |                       |   |
| Total Personnel  | NA                              | NA                            | NA                    | NA  |

Non-Personnel Increase Cost Summary

| Non-Personnel Item  | Unit Cost | Quantity | FY 2010 Request \$000 | FY 2011 Net Annualization (Change from FY 2010) \$000 |
|---------------------|-----------|----------|-----------------------|---|
|                     |           |          |                       |   |
| Total Non-Personnel | \$14,000  | NA       | \$14,000              | \$0   |

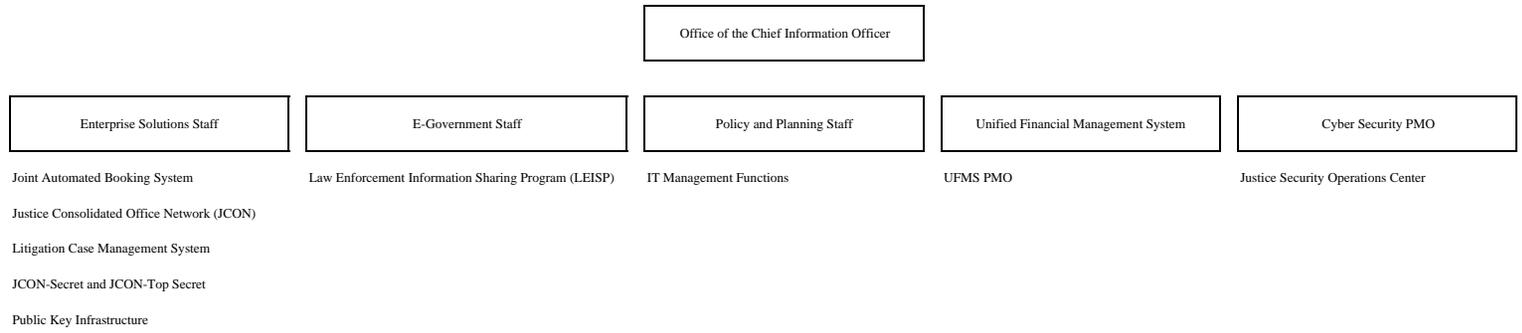
Total Request for this Item

|                  | Pos | FTE | Personnel \$000 | Non-Personnel \$000 | Total \$000 |
|------------------|-----|-----|-----------------|---------------------|-------------|
|                  |     |     |                 |                     |             |
| Current Services | 14  | 14  | \$2,700         | \$ 4,432            | \$ 7,132    |
| Increases        | 0   | 0   | 0               | \$14,000            | \$14,000    |
| Grand Total      | 14  | 14  | \$2,700         | \$18,432            | \$21,132    |

## **VII. EXHIBITS**

- A. Organizational Chart
- B. Summary of Requirements
- C. Program Increases by Decision Unit
- D. Resources by DOJ Strategic Goal/Objective
- E. Justification for Base Adjustments
- F. Crosswalk of 2008 Availability
- G. Crosswalk of 2009 Availability
- H. Summary of Reimbursable Resources
- I. Detail of Permanent Positions by Category
- J. Financial Analysis of Program Increases/Offsets
- K. Summary of Requirements by Grade
- L. Summary of Requirements by Object Class
- M. Status of Congressionally Requested Studies, Reports, and Evaluations

**A: Organizational Chart**



**B: Summary of Requirements**

**Summary of Requirements**  
Justice Information Sharing Technology  
Salaries and Expenses  
(Dollars in Thousands)

|  | 2010 Request |           |                  |
|--|--------------|-----------|------------------|
|  | Perm. Pos.   | FTE       | Amount           |
| <b>2008 Enacted (with Rescissions, direct only)</b>            | 71           | 71        | 80,540           |
| <b>2009 Enacted (with Rescissions, direct only)</b>            | 71           | 71        | 80,000           |
| 2009 Supplementals   |              |           | 0                |
| <b>Total 2009 Enacted (with Rescissions and Supplementals)</b> | <b>71</b>    | <b>71</b> | <b>80,000</b>    |
| Technical Adjustments  |              |           |                  |
| Restoration of 2009 Prior Year Unobligated Balance Rescission  |              |           |                  |
| Adjustments to Base  |              |           |                  |
| Increases:   |              |           |                  |
| 2010 pay raise (2.0%)  |              |           | 116              |
| 2009 pay raise annualization (3.9%)                            |              |           | 93               |
| Annualization of 2009 positions (dollars)                      |              |           | 1,231            |
| Retirement   |              |           | 6                |
| Health Insurance   |              |           | 21               |
| GSA Rent   |              |           | 601              |
| DHS Security Charge  |              |           | 10               |
| WCF Rate Increase  |              |           | 100              |
| Subtotal Increases   | 0            | 0         | 2,178            |
| Total Adjustments to Base                                      | 0            | 0         | 2,178            |
| Total Adjustments to Base and Technical Adjustments            | 0            | 0         | 2,178            |
| <b>2010 Current Services</b>                                   | <b>71</b>    | <b>71</b> | <b>82,178</b>    |
| Program Changes  |              |           |                  |
| Increases [list all]   |              |           |                  |
| Cyber Security   | 1            | 1         | 27,439           |
| UFMS   | 0            | 0         | 14,000           |
| Subtotal Increases   | 1            | 1         | 41,439           |
| Total Program Changes  | 1            | 1         | 41,439           |
| <b>2010 Total Request</b>                                      | <b>72</b>    | <b>72</b> | <b>\$123,617</b> |
| 2009 - 2010 Total Change                                       | 1            | 1         | 43,617           |

**Summary of Requirements**  
Justice Information Sharing Technology  
Salaries and Expenses  
(Dollars in Thousands)

| Estimates by budget activity | 2008 Appropriation Enacted<br>w/Rescissions and Supplementals |           |                 | 2009 Enacted |           |                 | 2010 Adjustments to Base and<br>Technical Adjustments |          |                | 2010 Current Services |           |                 | 2010 Increases |          |                 | 2010 Offsets |          |            | 2010 Request |           |                  |
|------------------------------|---|-----------|-----------------|--------------|-----------|-----------------|---|----------|----------------|-----------------------|-----------|-----------------|----------------|----------|-----------------|--------------|----------|------------|--------------|-----------|------------------|
|                              | Pos.  | FTE       | Amount          | Pos.         | FTE       | Amount          | Pos.  | FTE      | Amount         | Pos.                  | FTE       | Amount          | Pos.           | FTE      | Amount          | Pos.         | FTE      | Amount     | Pos.         | FTE       | Amount           |
| JIST                         | 71  | 71        | 80,540          | 71           | 71        | 80,000          | 0   | 0        | 2,178          | 71                    | 71        | 82,178          | 1              | 1        | 41,439          | 0            | 0        | 0          | 72           | 72        | 123,617          |
| <b>Total</b>                 | <b>71</b>   | <b>71</b> | <b>\$80,540</b> | <b>71</b>    | <b>71</b> | <b>\$80,000</b> | <b>0</b>  | <b>0</b> | <b>\$2,178</b> | <b>71</b>             | <b>71</b> | <b>\$82,178</b> | <b>1</b>       | <b>1</b> | <b>\$41,439</b> | <b>0</b>     | <b>0</b> | <b>\$0</b> | <b>72</b>    | <b>72</b> | <b>\$123,617</b> |
| Reimbursable FTE             | 0   |           |                 | 0            |           |                 | 0   |          |                | 0                     |           |                 | 0              |          |                 | 0            |          |            | 0            |           |                  |
| Total FTE                    | 71  |           |                 | 71           |           |                 | 0   |          |                | 71                    |           |                 | 1              |          |                 | 0            |          |            | 72           |           |                  |
| Total Comp. FTE              | 71  |           |                 | 71           |           |                 | 0   |          |                | 71                    |           |                 | 1              |          |                 | 0            |          |            | 72           |           |                  |

**C: Program Increases/Offsets By Decision Unit**

**2010 Program Increases/Offsets By Decision Unit**  
 Justice Information Sharing Technology  
 (Dollars in Thousands)

| Program Increases              | Description by Decision Unit | JIST     |            |          |                 | Total Increases |
|--------------------------------|------------------------------|----------|------------|----------|-----------------|-----------------|
|                                |                              | Pos.     | Agt./Atty. | FTE      | Amount          |                 |
| Increase 1                     | Cyber Security               | 1        | 0          | 1        | 27,439          | 27,439          |
| Increase 2                     | UFMS                         | 0        | 0          | 0        | 14,000          | 14,000          |
| <b>Total Program Increases</b> |                              | <b>1</b> | <b>0</b>   | <b>1</b> | <b>\$41,439</b> | <b>\$41,439</b> |

**D: Resources by DOJ Strategic Goal and Strategic Objective**

**Resources by Department of Justice Strategic Goal/Objective  
Justice Information Sharing Technology**

(Dollars in Thousands)

| Strategic Goal and Strategic Objective | 2008 Appropriation Enacted<br>w/Rescissions and Supplementals |                         | 2009 Enacted                |                         | 2010 Current Services       |                         | 2010          |                  |               |                  | 2010 Request                |                         |
|--|---|-------------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|---------------|------------------|---------------|------------------|-----------------------------|-------------------------|
|  | Direct, Reimb.<br>Other FTE                                   | Direct Amount<br>\$000s | Direct, Reimb.<br>Other FTE | Direct Amount<br>\$000s | Direct, Reimb.<br>Other FTE | Direct Amount<br>\$000s | Increases     |                  | Offsets       |                  | Direct, Reimb.<br>Other FTE | Direct Amount<br>\$000s |
|  |   |                         |                             |                         |                             |                         | Direct<br>FTE | Amount<br>\$000s | Direct<br>FTE | Amount<br>\$000s |                             |                         |
| Enabling/Administrative                | 71  | 80,540                  | 71                          | 80,000                  | 71                          | 82,178                  | 1             | 41,439           | 0             | 0                | 72                          | 123,617                 |
| <b>GRAND TOTAL</b>                     | <b>71</b>   | <b>\$80,540</b>         | <b>71</b>                   | <b>\$80,000</b>         | <b>71</b>                   | <b>\$82,178</b>         | <b>1</b>      | <b>\$41,439</b>  | <b>0</b>      | <b>\$0</b>       | <b>72</b>                   | <b>\$123,617</b>        |

## **E. Justification for Base Adjustments**

### **Justification for Base Adjustments Justice Information Sharing Technology**

#### Increases

2010 pay raise. This request provides for a proposed 2.0 percent pay raise to be effective in January of 2010. This increase includes locality pay adjustments as well as the general pay raise. The amount requested, \$116,000, represents the pay amounts for 3/4 of the fiscal year plus appropriate benefits (\$ 113,825 for pay and \$2,175 for benefits).

Annualization of 2009 pay raise. This pay annualization represents first quarter amounts (October through December) of the 2009 pay increase of 3.9 percent included in the 2009 President's Budget. The amount requested \$93,000, represents the pay amounts for 1/4 of the fiscal year plus appropriate benefits (\$ 91,256 for pay and \$1,744 for benefits).

Annualization of additional positions filled in 2008 and 2009. This provides for the annualization of 15 positions filled in 2008 and 2009. Annualization of new positions extends to 3 years to provide for entry level funding in the first year with a 2-year progression to the journeyman level. This request includes an increase of \$1,231,190 for full-year payroll costs associated with these additional positions.

|   | 2008 Increases<br>(\$000) | Annualization<br>Required for 2010<br>(\$000) |
|---|---------------------------|---|
| Annual salary rate of <u>15</u> new positions | 1,807                     | 1,919   |
| Less lapse (50 %)                             | 904                       | 960   |
| Net Compensation                              | 903                       | 959   |
| Associated employee benefits                  | 256                       | 272   |
| <b>TOTAL COSTS SUBJECT TO ANNUALIZATION</b>   | <b>1,159</b>              | <b>1,231</b>                                  |

Retirement. Agency retirement contributions increase as employees under CSRS retire and are replaced by FERS employees. Based on U.S. Department of Justice Agency estimates, we project that the DOJ workforce will convert from CSRS to FERS at a rate of 3 percent per year. The requested increase of \$6,000 is necessary to meet our increased retirement obligations as a result of this conversion.

Health Insurance: Effective January 2008, this component's contribution to Federal employees' health insurance premiums increased by 14.9 percent. Applied against the 2009 estimate of \$141,000, the additional amount required is \$21,000.

General Services Administration (GSA) Rent. GSA will continue to charge rental rates that approximate those charged to commercial tenants for equivalent space and related services. The requested increase of \$601,000 is required to meet our commitment to GSA. The costs associated with GSA rent were derived through the use of an automated system, which uses the latest inventory data, including rate increases to be effective in FY 2010 for each building currently occupied by Department of Justice components, as well as the costs of new space to be occupied. GSA provided data on the rate increases.

DHS Security Charges. The Department of Homeland Security (DHS) will continue to charge Basic Security and Building Specific Security. The requested increase of \$10,000 is required to meet our commitment to DHS, and cost estimates were developed by DHS.

WCF Rate Increases. Components in the DC metropolitan area use and rely on the Department's Working Capital Fund (WCF) for support services including telecommunications services, computer services, finance services, as well as internet services. The WCF continues to invest in the infrastructure supporting the telecommunications services, computer services, internet services. Concurrently, several security initiatives are being implemented and additional resources are being directed to financial management in an effort to maintain a clean audit status. Funding of \$100,000 is required for this account.

Decreases

None

**F: Crosswalk of 2008 Availability**

**Crosswalk of 2008 Availability**  
 Justice Information Sharing Technology  
 Salaries and Expenses  
 (Dollars in Thousands)

| Decision Unit         | 2008 Enacted Without Rescissions |           |                 | Rescissions |          |                  | Supplementals |          |            | Reprogrammings / Transfers |          |            | Carryover/ Recoveries |          |                 | 2008 Availability |           |                 |
|-----------------------|----------------------------------|-----------|-----------------|-------------|----------|------------------|---------------|----------|------------|----------------------------|----------|------------|-----------------------|----------|-----------------|-------------------|-----------|-----------------|
|                       | Pos.                             | FTE       | Amount          | Pos.        | FTE      | Amount           | Pos.          | FTE      | Amount     | Pos.                       | FTE      | Amount     | Pos.                  | FTE      | Amount          | Pos.              | FTE       | Amount          |
| JIST                  | 71                               | 71        | \$85,540        | 0           | 0        | (\$5,000)        | 0             | 0        | \$0        | 0                          | 0        | \$0        | 0                     | 0        | \$14,841        | 71                | 71        | \$95,381        |
| <b>TOTAL</b>          | <b>71</b>                        | <b>71</b> | <b>\$85,540</b> | <b>0</b>    | <b>0</b> | <b>(\$5,000)</b> | <b>0</b>      | <b>0</b> | <b>\$0</b> | <b>0</b>                   | <b>0</b> | <b>\$0</b> | <b>0</b>              | <b>0</b> | <b>\$14,841</b> | <b>71</b>         | <b>71</b> | <b>\$95,381</b> |
| Reimbursable FTE      |                                  |           |                 |             |          |                  |               |          |            |                            |          |            |                       |          |                 |                   |           | 0               |
| Total FTE             |                                  | 71        |                 |             | 0        |                  |               | 0        |            |                            | 0        |            |                       | 0        |                 |                   |           | 71              |
| Other FTE             |                                  |           |                 |             |          |                  |               |          |            |                            |          |            |                       |          |                 |                   |           |                 |
| LEAP                  |                                  |           |                 |             |          |                  |               |          |            |                            |          |            |                       |          |                 |                   |           | 0               |
| Overtime              |                                  |           |                 |             |          |                  |               |          |            |                            |          |            |                       |          |                 |                   |           | 0               |
| Total Compensable FTE |                                  | 71        |                 |             | 0        |                  |               | 0        |            |                            | 0        |            |                       | 0        |                 |                   |           | 71              |

Enacted Rescissions. \$5,000 rescinded as required by the FY08 Appropriations Act: PL 110-161.

Unobligated Balances. The JIST had carryover of \$11,761 from funds available in FY 2007 and recovery of \$3,080 in FY 2008.

**G: Crosswalk of 2009 Availability**

**Crosswalk of 2009 Availability**  
 Justice Information Sharing Technology  
 Salaries and Expenses  
 (Dollars in Thousands)

| Decision Unit         | FY 2009 Enacted |           |               | Rescissions |          |          | Supplementals |          |          | Reprogrammings / Transfers |          |          | Carryover/ Recoveries |          |              | 2009 Availability |           |                 |
|-----------------------|-----------------|-----------|---------------|-------------|----------|----------|---------------|----------|----------|----------------------------|----------|----------|-----------------------|----------|--------------|-------------------|-----------|-----------------|
|                       | Pos.            | FTE       | Amount        | Pos.        | FTE      | Amount   | Pos.          | FTE      | Amount   | Pos.                       | FTE      | Amount   | Pos.                  | FTE      | Amount       | Pos.              | FTE       | Amount          |
| JIST                  | 71              | 71        | 80,000        | 0           | 0        | 0        | 0             | 0        | 0        | 0                          | 0        | 0        | 0                     | 0        | 1,692        | 71                | 71        | 81,692          |
| <b>TOTAL</b>          | <b>71</b>       | <b>71</b> | <b>80,000</b> | <b>0</b>    | <b>0</b> | <b>0</b> | <b>0</b>      | <b>0</b> | <b>0</b> | <b>0</b>                   | <b>0</b> | <b>0</b> | <b>0</b>              | <b>0</b> | <b>1,692</b> | <b>71</b>         | <b>71</b> | <b>\$81,692</b> |
| Reimbursable FTE      |                 |           |               |             |          |          |               |          |          |                            |          |          |                       |          |              |                   |           | 0               |
| Total FTE             |                 | 71        |               |             | 0        |          |               | 0        |          |                            | 0        |          |                       | 0        |              |                   |           | 71              |
| Other FTE             |                 |           |               |             |          |          |               |          |          |                            |          |          |                       |          |              |                   |           | 0               |
| LEAP                  |                 |           |               |             |          |          |               |          |          |                            |          |          |                       |          |              |                   |           | 0               |
| Overtime              |                 |           |               |             |          |          |               |          |          |                            |          |          |                       |          |              |                   |           | 0               |
| Total Compensable FTE |                 | 71        |               |             | 0        |          |               | 0        |          |                            | 0        |          |                       | 0        |              |                   |           | 71              |

Unobligated Balances. The JIST OBD brought forward \$1,692 from funds provided in 2008.

**H: Summary of Reimbursable Resources**

**Summary of Reimbursable Resources**

Justice Information Sharing Technology

Salaries and Expenses

(Dollars in Thousands)

| Collections by Source  | 2008 Enacted |          |                 | 2009 Planned |          |                 | 2010 Request |          |                 | Increase/Decrease |          |                 |
|--|--------------|----------|-----------------|--------------|----------|-----------------|--------------|----------|-----------------|-------------------|----------|-----------------|
|  | Pos.         | FTE      | Amount          | Pos.         | FTE      | Amount          | Pos.         | FTE      | Amount          | Pos.              | FTE      | Amount          |
| US Courts, Census Bureau, DHS, DOT, FEMA, Dept. of Ed., Various DOJ Components | 0            | 0        | 384             | 0            | 0        | 924             | 0            | 0        | 924             | 0                 | 0        | ....            |
| National Security Division   | 0            | 0        | 505             | 0            | 0        | 858             | 0            | 0        | 858             | 0                 | 0        | ....            |
| AFOSI US Air Force Security  | 0            | 0        | 13              | 0            | 0        | 13              | 0            | 0        | 13              | 0                 | 0        | ....            |
| FPI UNICOR   | 0            | 0        | 822             | 0            | 0        | 450             | 0            | 0        | 450             | 0                 | 0        | ....            |
| JUTNET   | 0            | 0        | ....            | 0            | 0        | 218             | 0            | 0        | 218             | 0                 | 0        | ....            |
| WCF - EGOV   | 0            | 0        | 1,500           | 0            | 0        | ....            | 0            | 0        | ....            | 0                 | 0        | ....            |
| DNI  | 0            | 0        | 850             | 0            | 0        | ....            | 0            | 0        | ....            | 0                 | 0        | ....            |
| WCF - EMWS   | 0            | 0        | 40              | 0            | 0        | ....            | 0            | 0        | ....            | 0                 | 0        | ....            |
| WCF - SEPS   | 0            | 0        | 94              | 0            | 0        | 787             | 0            | 0        | 787             | 0                 | 0        | ....            |
| EOUSA  | 0            | 0        | ....            | 0            | 0        | 4,758           | 0            | 0        | 4,758           | 0                 | 0        | ....            |
| WCF - JMD FINANCE STAFF  | 0            | 0        | 19,784          | 0            | 0        | 31,364          | 0            | 0        | 57,000          | 0                 | 0        | 25,636          |
| UBT - JMD BUDGET STAFF   | 0            | 0        | ....            | 0            | 0        | 15,000          | 0            | 0        | ....            | 0                 | 0        | (15,000)        |
| FBI  | 0            | 0        | ....            | 0            | 0        | ....            | 0            | 0        | 30,000          | 0                 | 0        | 30,000          |
| OJP  | 0            | 0        | 779             | 0            | 0        | ....            | 0            | 0        | ....            | 0                 | 0        | ....            |
| DEA  | 0            | 0        | 694             | 0            | 0        | 394             | 0            | 0        | ....            | 0                 | 0        | (394)           |
| ATF  | 0            | 0        | 197             | 0            | 0        | 214             | 0            | 0        | ....            | 0                 | 0        | (214)           |
| Asset Forfeiture Fund  | 0            | 0        | 701             | 0            | 0        | ....            | 0            | 0        | ....            | 0                 | 0        | ....            |
| National Park Service  | 0            | 0        | 44              | 0            | 0        | ....            | 0            | 0        | ....            | 0                 | 0        | ....            |
| WCF - CSS  | 0            | 0        | 601             | 0            | 0        | ....            | 0            | 0        | ....            | 0                 | 0        | ....            |
| Various DOJ Components   | 0            | 0        | ....            | 0            | 0        | ....            | 0            | 0        | ....            | 0                 | 0        | ....            |
| <b>Budgetary Resources:</b>  | <b>0</b>     | <b>0</b> | <b>\$27,008</b> | <b>0</b>     | <b>0</b> | <b>\$54,980</b> | <b>0</b>     | <b>0</b> | <b>\$95,008</b> | <b>0</b>          | <b>0</b> | <b>\$40,028</b> |

**I: Detail of Permanent Positions by Category**

**Detail of Permanent Positions by Category**  
Justice Information Sharing Technology  
Salaries and Expenses

| Category                                  | 2008 Enacted w/Rescissions and Supplementals |                    | 2009 Enacted     |                    | 2010 Request |                   |                   |                   |                  |                    |
|---|--|--------------------|------------------|--------------------|--------------|-------------------|-------------------|-------------------|------------------|--------------------|
|   | Total Authorized                             | Total Reimbursable | Total Authorized | Total Reimbursable | ATBs         | Program Increases | Program Decreases | Total Pr. Changes | Total Authorized | Total Reimbursable |
| Intelligence Series (132)                 |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Personnel Management (200-299)            |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Clerical and Office Services (300-399)    | 6  | 0                  | 10               | 0                  |              |                   |                   | 0                 | 10               |                    |
| Accounting and Budget (500-599)           |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Attorneys (905)                           |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Paralegals / Other Law (900-998)          |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Information & Arts (1000-1099)            |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Business & Industry (1100-1199)           |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Library (1400-1499)                       |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Equipment/Facilities Services (1600-1699) |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Miscellaneous Inspectors Series (1802)    |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Criminal Investigative Series (1811)      |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Supply Services (2000-2099)               |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Motor Vehicle Operations (5703)           |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Information Technology Mgmt (2210)        | 65   | 0                  | 61               | 0                  |              | 1                 |                   | 1                 | 62               |                    |
| Security Specialists (080)                |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Miscellaneous Operations (010-099)        |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| <b>Total</b>                              | <b>71</b>                                    | <b>0</b>           | <b>71</b>        | <b>0</b>           | <b>0</b>     | <b>1</b>          | <b>0</b>          | <b>1</b>          | <b>72</b>        | <b>0</b>           |
| Headquarters (Washington, D.C.)           | 71   | 0                  | 71               | 0                  | 0            | 1                 | 0                 | 1                 | 72               | 0                  |
| U.S. Field                                |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| Foreign Field                             |  |                    |                  |                    |              |                   |                   | 0                 | 0                |                    |
| <b>Total</b>                              | <b>71</b>                                    | <b>0</b>           | <b>71</b>        | <b>0</b>           | <b>0</b>     | <b>1</b>          |                   | <b>1</b>          | <b>72</b>        | <b>0</b>           |

**J: Financial Analysis of Program Changes**

**Financial Analysis of Program Changes**  
 Justice Information Sharing Technology  
 Salaries and Expenses  
 (Dollars in Thousands)

| Grades:  | JIST           |                 |          |                 |          |            | Decision Unit 2 |            |          |            |          |            | Decision Unit 3 |            |          | Decision Unit 4 |          |            | Program Changes |            |          |            |          |            |                 |            |          |                 |        |
|--|----------------|-----------------|----------|-----------------|----------|------------|-----------------|------------|----------|------------|----------|------------|-----------------|------------|----------|-----------------|----------|------------|-----------------|------------|----------|------------|----------|------------|-----------------|------------|----------|-----------------|--------|
|  | Cyber Security |                 | UFMS     |                 | Offset   |            | Inc. 1          |            | Inc. 2   |            | Offset   |            | Inc. 1          |            | Inc. 2   |                 | Offset   |            | Inc. 1          |            | Inc. 2   |            | Offset   |            | Program Changes |            |          |                 |        |
|  | Pos.           | Amount          | Pos.     | Amount          | Pos.     | Amount     | Pos.            | Amount     | Pos.     | Amount     | Pos.     | Amount     | Pos.            | Amount     | Pos.     | Amount          | Pos.     | Amount     | Pos.            | Amount     | Pos.     | Amount     | Pos.     | Amount     | Pos.            | Amount     |          |                 |        |
| SES  |                |                 |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 | 0          | 0        |                 |        |
| GS-15  | 1              | 156             |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            | 1        | 156             |        |
| GS-14  |                |                 |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| GS-13  |                |                 |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| GS-12  |                |                 |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| GS-11  |                |                 |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| GS-10  |                |                 |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| GS-9   |                |                 |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| GS-8   |                |                 |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| GS-7   |                |                 |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| GS-5   |                |                 |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| Total positions & annual amount                        | 1              | 156             | 0        | 0               | 0        | 0          | 0               | 0          | 0        | 0          | 0        | 0          | 0               | 0          | 0        | 0               | 0        | 0          | 0               | 0          | 0        | 0          | 0        | 0          | 0               | 0          | 1        | 156             |        |
| Lapse (-)  | (1)            | (78)            | 0        | 0               | 0        | 0          | 0               | 0          | 0        | 0          | 0        | 0          | 0               | 0          | 0        | 0               | 0        | 0          | 0               | 0          | 0        | 0          | 0        | 0          | 0               | 0          | (1)      | (78)            |        |
| Other personnel compensation                           | 0              | 2               |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 2      |
| Total FTE & personnel compensation                     | 1              | 80              | 0        | 0               | 0        | 0          | 0               | 0          | 0        | 0          | 0        | 0          | 0               | 0          | 0        | 0               | 0        | 0          | 0               | 0          | 0        | 0          | 0        | 0          | 0               | 0          | 1        | 80              |        |
| Personnel benefits                                     |                | 24              |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 24     |
| Travel and transportation of persons                   |                | 0               |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| Transportation of things                               |                | 0               |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| GSA rent   |                | 0               |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| Communication, rents, and utilities                    |                | 635             |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 635    |
| Printing   |                | 0               |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| Advisory and assistance services                       |                | 100             |          | 2,301           |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 2,401  |
| Other services   |                | 10,865          |          | 10,969          |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 21,834 |
| Purchases of goods & services from Government accounts |                | 15,460          |          | 730             |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 16,190 |
| Research and development contracts                     |                | 263             |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 263    |
| Operation and maintenance of equipment                 |                | 0               |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| Supplies and materials                                 |                | 12              |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 12     |
| Equipment  |                |                 |          |                 |          |            |                 |            |          |            |          |            |                 |            |          |                 |          |            |                 |            |          |            |          |            |                 |            |          | 0               | 0      |
| <b>Total, 2010 program changes requested</b>           | <b>1</b>       | <b>\$27,439</b> | <b>0</b> | <b>\$14,000</b> | <b>0</b> | <b>\$0</b> | <b>0</b>        | <b>\$0</b> | <b>0</b> | <b>\$0</b> | <b>0</b> | <b>\$0</b> | <b>0</b>        | <b>\$0</b> | <b>0</b> | <b>\$0</b>      | <b>0</b> | <b>\$0</b> | <b>0</b>        | <b>\$0</b> | <b>0</b> | <b>\$0</b> | <b>0</b> | <b>\$0</b> | <b>0</b>        | <b>\$0</b> | <b>1</b> | <b>\$41,439</b> |        |

**K: Summary of Requirements by Grade**

**Summary of Requirements by Grade**  
Justice Information Sharing Technology  
Salaries and Expenses

| Grades and Salary Ranges             | 2008 Enacted<br>w/Rescissions and |                  | 2009 Enacted |                  | 2010 Request |                  | Increase/Decrease |        |
|--------------------------------------|-----------------------------------|------------------|--------------|------------------|--------------|------------------|-------------------|--------|
|                                      | Pos.                              | Amount           | Pos.         | Amount           | Pos.         | Amount           | Pos.              | Amount |
| SES, \$111,676 - \$168,000           | 6                                 |                  | 6            |                  | 6            |                  | 0                 |        |
| GS-15, \$120,830 - 153,200           | 32                                |                  | 32           |                  | 33           |                  | 1                 |        |
| GS-14, \$102,721 - 133,543           | 21                                |                  | 21           |                  | 21           |                  | 0                 |        |
| GS-13, \$86,927 - 113,007            | 5                                 |                  | 5            |                  | 5            |                  | 0                 |        |
| GS-12, \$73,100 - 95,026             | 1                                 |                  | 1            |                  | 1            |                  | 0                 |        |
| GS-11, \$60,989 - 79,280             | 2                                 |                  | 2            |                  | 2            |                  | 0                 |        |
| GS-10, \$55,512 - 72,164             | 0                                 |                  | 0            |                  | 0            |                  | 0                 |        |
| GS-9, \$50,408 - 65,531              | 1                                 |                  | 1            |                  | 1            |                  | 0                 |        |
| GS-8, \$45,639 - 59,333              | 0                                 |                  | 0            |                  | 0            |                  | 0                 |        |
| GS-7, \$41,210 - 53,574              | 2                                 |                  | 2            |                  | 2            |                  | 0                 |        |
| GS-6, \$33,084 - 48,207              | 0                                 |                  | 0            |                  | 0            |                  | 0                 |        |
| GS-5, \$33,260 - 43,251              | 1                                 |                  | 1            |                  | 1            |                  | 0                 |        |
| GS-4, \$29,736 - 38,655              | 0                                 |                  | 0            |                  | 0            |                  | 0                 |        |
| GS-3, \$26,487 - 34,431              | 0                                 |                  | 0            |                  | 0            |                  | 0                 |        |
| GS-2, \$24,277 - 30,547              | 0                                 |                  | 0            |                  | 0            |                  | 0                 |        |
| GS-1, \$21,592 - 27,013              | 0                                 |                  | 0            |                  | 0            |                  | 0                 |        |
| <b>Total, appropriated positions</b> | <b>71</b>                         |                  | <b>71</b>    |                  | <b>72</b>    |                  | <b>1</b>          |        |
| <b>Average SES Salary</b>            |                                   | <b>\$158,366</b> |              | <b>\$163,275</b> |              | <b>\$166,867</b> |                   |        |
| <b>Average GS Salary</b>             |                                   | <b>\$113,761</b> |              | <b>\$117,288</b> |              | <b>\$119,868</b> |                   |        |
| <b>Average GS Grade</b>              |                                   | <b>13</b>        |              | <b>13</b>        |              | <b>13</b>        |                   |        |

## L: Summary of Requirements by Object Class

### Summary of Requirements by Object Class

Justice Information Sharing Technology

Salaries and Expenses

(Dollars in Thousands)

| Object Classes   | 2008 Actuals |                 | 2009 Enacted |                 | 2010 Request |                  | Increase/Decrease |                 |
|--|--------------|-----------------|--------------|-----------------|--------------|------------------|-------------------|-----------------|
|  | FTE          | Amount          | FTE          | Amount          | FTE          | Amount           | FTE               | Amount          |
| 11.1 Direct FTE & personnel compensation   | 66           | 7,128           | 66           | 7,823           | 67           | 9,296            | 1                 | 1,473           |
| 11.3 Other than full-time permanent  | 5            | 36              | 5            | 139             | 5            | 146              | 0                 | 7               |
| 11.5 Total, Other personnel compensation   | 0            | 196             | 0            | 203             | 0            | 243              | 0                 | 40              |
| <i>Overtime</i>  |              | 0               |              | 0               |              | 0                | 0                 | 0               |
| <i>Other Compensation</i>  |              | 196             |              | 203             |              | 243              | 0                 | 40              |
| 11.8 Special personal services payments  |              | 0               |              | 0               |              | 0                | 0                 | 0               |
| Total  | 71           | 7,360           | 71           | 8,165           | 72           | 9,685            | 1                 | 1,520           |
| Other Object Classes:  |              |                 |              |                 |              |                  |                   |                 |
| 12.0 Personnel benefits  |              | 1,732           |              | 1,812           |              | 1,863            |                   | 51              |
| 21.0 Travel and transportation of persons  |              | 71              |              | 72              |              | 72               |                   | 0               |
| 22.0 Transportation of things  |              | 59              |              | 86              |              | 86               |                   | 0               |
| 23.1 GSA rent  |              | 2,858           |              | 2,829           |              | 3,430            |                   | 601             |
| 23.2 Moving/Lease Expirations/Contract Parking   |              | 23              |              | 16              |              | 16               |                   | 0               |
| 23.3 Comm., util., & other misc. charges   |              | 535             |              | 524             |              | 1,169            |                   | 645             |
| 24.0 Printing and reproduction   |              | 0               |              | 14              |              | 14               |                   | 0               |
| 25.1 Advisory and assistance services  |              | 19,021          |              | 15,674          |              | 17,613           |                   | 1,939           |
| 25.2 Other services  |              | 34,286          |              | 28,254          |              | 49,255           |                   | 21,001          |
| 25.3 Purchases of goods & services from Government accounts (Antennas, DHS Sec. Etc..) |              | 16,252          |              | 13,393          |              | 29,188           |                   | 15,795          |
| 25.4 Operation and maintenance of facilities   |              | 0               |              | 0               |              | 363              |                   | 363             |
| 25.5 Research and development contracts  |              | 0               |              | 0               |              | 0                |                   | 0               |
| 25.6 Medical Care  |              | 1               |              | 0               |              | 0                |                   | 0               |
| 25.7 Operation and maintenance of equipment  |              | 100             |              | 82              |              | 80               |                   | (2)             |
| 26.0 Supplies and materials  |              | 143             |              | 121             |              | 133              |                   | 12              |
| 31.0 Equipment   |              | 11,175          |              | 10,650          |              | 10,650           |                   | 0               |
| 51.0 Cost of Construction  |              | 73              |              | 0               |              | 0                |                   | 0               |
| <b>Total obligations</b>   |              | <b>\$93,689</b> |              | <b>\$81,692</b> |              | <b>\$123,617</b> |                   | <b>\$41,925</b> |
| Unobligated balance, start of year   |              | (11,761)        |              | (1,692)         |              | 0                |                   | 1,692           |
| Unobligated balance, end of year   |              | 1,692           |              |                 |              |                  |                   |                 |
| Recoveries of prior year obligations   |              | (3,080)         |              |                 |              |                  |                   |                 |
| <b>Total DIRECT requirements</b>   |              | <b>80,540</b>   |              | <b>80,000</b>   |              | <b>123,617</b>   |                   | <b>43,617</b>   |
| Reimbursable FTE:  |              |                 |              |                 |              |                  |                   | 0               |
| Full-time permanent  |              |                 |              |                 |              |                  |                   | 0               |
| 23.1 GSA rent (Reimbursable)   |              |                 |              |                 |              |                  |                   | 0               |
| 25.3 DHS Security (Reimbursable)   |              |                 |              |                 |              |                  |                   | 0               |

## **M. Status of Congressionally Requested Studies, Reports, and Evaluations**

### **Justice Information Sharing Technology**

#### **Status of Congressionally Requested Studies, Reports, and Evaluations**

The Conference Report associated with the FY 2009 Department of Justice Appropriations Act, page 24, directs JIST to submit a report to the House and Senate Committees on Appropriations on the expected Cyber Security costs in the JIST account for fiscal year 2009 and the Department's plan for covering these costs without additional requested resources. Target response to Committee of May 2009.