

**Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)**

1. Date of Submission: March 29, 2013
2. Agency: Department of Justice
3. Bureau: Bureau of Prisons (BOP)
4. Name of Investment: FCI Hazelton, WV
5. Justification for Investment:

Federal Correctional Institution (FCI) Hazelton, WV is designed as a 1,152 bed medium security prison with a 128 bed work camp (minimum security). The project was awarded as a design/build project using a negotiated procurement which allows contractors input on all aspects of the project. The FCI Hazelton project received partial funding in FY 2001, FY 2008 and remaining funds in FY 2009. The environmental services contract for the Environmental Assessment preparation was awarded July 6, 2007. The Finding of No Significant Impact was signed May 6, 2008. Pre-solicitation notices were published January 2009. The design-build contract was awarded September 11, 2009. Construction of the facility is complete. Final punch list items are nearing completion.

This investment will provide a Federal correctional facility which directly supports the BOP's mission to protect society by confining offenders in the controlled environments of prisons and community-based facilities that are safe, humane, cost-efficient, and appropriately secure, and that provide work and other self-improvement opportunities to assist offenders in becoming law-abiding citizens. It supports the Department of Justice Strategic Goal 3: Ensure and Support the Fair, Impartial, Efficient, and Transparent Administration of Justice at the Federal, State, Local, Tribal and International Levels.

6. Accountability

a. Business Sponsor

The Business Sponsor is the individual with the authority to allocate resources and make personnel decisions.

- | | | |
|------|-----------|--|
| i. | Name | <u>W. Scott Higgins</u> |
| ii. | Title | <u>Chief of Design & Construction</u> |
| iii. | Telephone | <u>(202) 514-5942</u> |
| iv. | Email | sHiggins@bop.gov |

Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)

b. Program/Project Manager

- | | | |
|------|----------------|---|
| i. | Name | <u>W. Scott Higgins</u> |
| ii. | Telephone | <u>(202) 514-5942</u> |
| iii. | Email | <u>sHiggins@bop.gov</u> |
| iv. | Qualifications | <u>See Below</u> |

The Project Manager has over 40 years in the design and construction of correctional facilities. As Chief of Design and Construction, Federal Bureau of Prisons, he is a licensed architect and responsible for the largest federal prison building program in history.

7. Summary of Funding

| Table 1: Summary of Funding | | | | | | | | | |
|---|---|------------------------------|------------------------------|------------------------------|--------------------------------|--------------------------------|--------------------------------|---|------------------------|
| | PY-1 and Earlier (\$M) | PY 2012 (\$M) | CY 2013 (\$M) | BY 2014 (\$M) | BY+1 2015 (\$M) | BY+2 2016 (\$M) | BY+3 2017 (\$M) | BY+4 and Beyo nd (\$M) | Total (\$M) |
| Planning (a) | .5 | | | | | | | | .5 |
| Acquisition (b) | 198.9 | 9.6 | 5.85 | | | | | | 214.35 |
| Subtotal Planning & Acquisition (c)=(a)+(b) | 199.4 | 9.6 | 5.85 | | | | | | 214.85 |
| Operation & Maintenance (d) | | | | | | | | | |
| Residual Value/Disposal Cost (e) | | | | | | | | | |
| Total (f)= (c)+(d)+ (e) | 199.4 | 9.6 | 5.85 | | | | | | 214.85 |

8. Acquisition Plan

The Acquisition Plan should maximize competition to ensure the government receives a fair Price. The Plan must be available to OMB upon request.

- a. Has an Acquisition Plan been developed? Yes
- b. If an Acquisition Plan has been developed, answer the following questions.
- | | | |
|------|---|-----------------|
| i. | Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1? | <u>Yes</u> |
| ii. | Was the Acquisition Plan approved in accordance with agency requirements? | <u>Yes</u> |
| iii. | If the Plan was approved, enter the date of approval. | <u>May 2000</u> |

**Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)**

- iv. Is the Acquisition Plan consistent with agency Strategic Sustainability Performance Plan? Yes
- v. Does the Acquisition Plan meet the requirement of EO 13423? Yes
- vi. Does the Acquisition Plan meet the requirement of EO 13514? Yes
- c. If an Acquisition Plan has not been developed, provide a brief explanation. N/A
- d. Enter all (including non-Federal) current and planned contracts and task orders in Table 2. Completed contracts and task orders do not need to be listed. Total Value should include option years. If a contract has not been awarded, estimates of dates, dollar values and any other information should be provided. Data definitions can be found at www.usapending.gov/learn?tab=FAQ#2.
- e. Do all Procurement Instrument Identifier (PIID) and Indefinite Delivery Vehicle (IDV) PIID entries match www.USAspending.gov? N/A
- f. Do all Solicitation IDs match Fed BizOpps at www.fbo.gov? N/A
- g. If Earned Value Management is not required or will not be a contract requirement for any of the contracts or task orders, provide a brief explanation.

Earned Value Management is considered a major system acquisition and is not utilized in BOP construction contracts.

Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)

| Table2: Contracts | | | | |
|---|--|--------------------|-------------------|-------------------|
| Field | Data Description | Contract 1 | Contract 2 | Contract X |
| Contract Status | Awarded | | | |
| Contracting Agency ID | 15-8-1003 | | | |
| Procurement Instrument Identifier (PIID) | | | | |
| Indefinite Delivery Vehicle (IDV) Reference ID | Delivery Order | DJBP0700CC00000012 | | |
| Solicitation ID | | | | |
| Alternative Financing | N | | | |
| EVM Required | N | | | |
| Ultimate Contract Value | | \$182,358,891 | | |
| Type of Contract/Task Order (Pricing) | Design Build | | | |
| Is the Contract a Performance Based Service Acquisition (PBSA)? | N | | | |
| Effective Date | 9/11/2009 | | | |
| Actual or Expected End Date of Contract/Task Order | Fall 2012 | | | |
| Extent Competed | Full & Open Competition | | | |
| Short Description of Acquisition | The design & construction of a prison. | | | |

9. Alternatives Analysis

An Alternatives Analysis must evaluate the costs and the benefits of at least three Alternatives and the status quo. The details of the analysis must be available to OMB upon request.

a. Was an Alternatives Analysis conducted? Yes

b. If an Alternatives Analysis was conducted, answer the following questions.

Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)

- i. What is the date of the analysis? May 2000
- ii. How many alternatives were evaluated? 4
- iii. Did the analysis evaluate the costs and the benefits of each alternative? Yes
- iv. Briefly summarize the rationale for the selected alternative.

Constructing a new facility was the alternative determined to provide the greatest benefit to taxpayers and ultimately be more cost effective than the other alternatives.

- c. If an Alternatives Analysis was not conducted, provide a brief explanation. N/A

10. Risk Management

Risk must be actively managed throughout the lifecycle of the investment. The Risk Management Plan must be available to OMB upon request.

- a. Has a Risk Management Plan been developed? Yes
- b. If a Risk Management Plan has been developed, answer the following questions.
 - i. What is the date of the plan? April 2011
 - ii. Does the plan include a list of risks? Yes
 - iii. Does the plan include the probability of occurrence of each risk? Yes
 - iv. Does the plan include the impact of each risk? Yes
 - v. Does the plan include a mitigation strategy for each risk? Yes
 - vi. Does the plan include actively managing risk throughout the lifecycle? Yes
- c. If a Risk Management Plan has not been developed, provide a brief explanation. N/A

11. Performance Information

The investment must support the agency's strategic goals. The performance goals must be clearly measurable and quantifiable.

- a. Enter the strategic goals and the corresponding performance measures in Table 3.

Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)

Medium Security

| Table3: Performance Information | | | | |
|--|------------------------------------|-----------------------------|-------------------------|----------------------|
| Fiscal Year | Strategic Goal(s) Supported | Performance Baseline | Performance Goal | Actual Result |
| 2011 | 3.3 | Crowding by security level | 49% | 51% |
| 2012 | 3.3 | Crowding by security level | 47% | 47% |
| 2013 | 3.3 | Crowding by security level | 45% | N/A |
| 2014 | 3.3 | Crowding by security level | 46% | N/A |
| 2015 | 3.3 | Crowding by security level | 44% | N/A |

b. Explanations:

The table represents inmate crowding by project's security level, by fiscal year.