

Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

- 1. Date of Submission: 12/2/2009
- 2. Agency: Department of Justice
- 3. Bureau: Federal Bureau Of Investigation
- 4. Name of this Investment: FBI Data Integration and Visualization System (DIVS)
- 5. Unique Project (Investment) Identifier: (For IT investment only, see section 53.9. For all other, use agency ID system.) 011-10-01-02-01-3372-00
- 6. What kind of investment will this be in FY 2011? (Please NOTE: Investments moving to O&M in FY 2011, with Planning/Acquisition activities prior to FY 2011 should not select O&M. These investments should indicate their current status.) Mixed Life Cycle
- 7. What was the first budget year this investment was submitted to OMB? FY2010

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.

The FBI DIVS program stores and presents for analysis the FBI's intercepted electronic surveillance (ELSUR) data authorized for collection under the Foreign Intelligence Surveillance Act (FISA) and electronic data obtained from seized or captured digital media. DIVS analytical software applications enable users to view, search, and establish relationships among stored entities. The DME portion of this investment involves the integration of two legacy applications (Data Loading and Analysis System or DaLAS and Data Warehouse System-ELSUR Data Management System or DWS-EDMS) into a single application using a federated search to review and analyze stored electronic data. DIVS addresses the FBI strategic objectives to "protect US from terrorist and foreign intelligence activity" while also "preserving civil liberties." The integration action is aimed at "Information Dissemination and Integration" to improve FBI terrorist data analysis capabilities and efficiencies. The resulting system will enable agents, analysts, and linguists to analyze data obtained by different methods using a single tool set, thus reducing training requirements as well as enable more efficient and effective analysis of all stored data holdings.

a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register. This investment has not been rebaselined within the past year. The date of the most recent alternatives analysis is 2008-08-29. This investment has a risk management plan and actively maintains a risk register.

9. Did the Agency's Executive/Investment Committee approve this request? Yes

a. If "yes," what was the date of this approval? 5/19/2009

10. Contact information of Program/Project Manager?

Name

Phone Number

Email

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)?

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

OMB ID	Financial management system name(s)	System Acronym	Unique Project Identifier (UPI) number
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a) If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one):

Section B: Summary of Funding (Budget Authority for Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. Funding for all costs associated with the entire life-cycle of the investment should be included in this report. Funding levels should be shown for budget authority by year consistent with funding levels in Exhibit 53. The Summary of Funding table shall include the amounts allocated to the investment from, and should be directly tied to, the Fiscal Year Budget. This includes direct appropriations (discretionary or mandatory accounts), user fees, and approved self-funding activities and will provide the actual annual "budget" for the investment. This "budget" will be a subset of the congressionally approved budget for each fiscal year. This will provide Departments/Agencies and OMB useful information on the actual Fiscal Year dollars being asked for and spent on an investment.

SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS)									
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:									
Acquisition:									
Subtotal Planning & Acquisition:									
Operations & Maintenance:									
Disposition Costs (optional):									
SUBTOTAL:									
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									
TOTAL (incl. FTE costs)									

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

Note 2: The two sub-total rows and total row will be calculated – not for data entry.

2. If the summary of funding has changed from the FY2010 President's Budget request, briefly explain those changes:

N/A

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Exhibit 300: FBI Data Integration and Visualization System (DIVS) (Revision 11)

Contract/Task Orders Table											* Costs in millions
Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

Contract 1 provides high end skilled engineers and scientists to extend FBI operational capabilities using cutting edge technologies. There are no product deliverables or basis for EVM on this task order. Contract 2 is an indefinite delivery/indefinite quantity labor contract that runs through 2013. This shows the current task orders. There are no system deliverables on this contract, only labor, and no basis for EVM. Work is performed around the demands of active cases, no notice delivery of large quantities of data after a major arrest or operation, and court mandated changes to software and data controls, which are O&M changes. These support contracts cover software maintenance, data loading and processing, data center management, and technology development efforts. Other program resources are directed to Government-wide schedules to purchase commercial hardware and software or directly to vendors to acquire hardware and software maintenance agreements, leases, and other incidental operating costs necessary to providing and sustaining DIVS capabilities.

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? Yes

a. If "yes," what is the date? 8/6/2007

NOTE: Data structure to be used to identify contract numbers in FPDS.

To assist in the linkage of Contract/Task Order Numbers from the Acquisition Strategy table to FPDS, agencies should provide the following information for "Contract/Task Order Numbers" based on the FPDS-NG data requirements (as specified in the FPDS-NG Data Element Dictionary- <http://www.fpdsng.com/downloads/FPDS-Data-Dictionary-Version1.3.pdf>):

Part of Indefinite Delivery Vehicle (IDV)?	Procurement Instrument Identifier	Example
Yes	Data Element 1A (NTE 50 characters)	"00063200203DNBCHC020042"
No	Data Element 1A, and the Referenced PIID, Data Element 1C (NTE 100 characters)	"GS09Q08DN0165-IDV-GS10F0216N"

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan and the relevant Agency Segment Architecture. The investment must discuss its performance measures in support of the agency's mission and strategic goals as outlined in the corresponding Segment Architecture. Performance measures (indicators) must be provided. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.whitehouse.gov/omb/e-gov. The table can be extended to include performance measures for years beyond the next President's Budget.

Performance Information Table											
OMB ID	Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results	Rating	Include In OMB Dashboard
17400	2008	Prevent Terrorism and Promote the Nation s Security	Customer Results	Customer Benefit	Customer Training	Number of people trained	551	600	1,191	Not Met	
17399	2008	Prevent Terrorism and Promote the Nation s Security	Mission and Business Results	Intelligence Operations	Intelligence Processing	Number of files of interest found	15300	16000	10,495	Not Met	
17397	2008	Prevent Terrorism and Promote the Nation s Security	Processes and Activities	Productivity	Efficiency	Percentage of non-applicable files filtered prior to user visibility	25%	25%	46%	Not Met	
17398	2008	Prevent Terrorism and Promote the Nation s	Technology	Quality Assurance	Functionality	Number of technical enhancements and	20	20	81	Not Met	

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Performance Information Table											
OMB ID	Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results	Rating	Include In OMB Dashboard
		Security				advances in analytical capability implemented					
17404	2009	Prevent Terrorism and Promote the Nation's Security	Customer Results	Customer Benefit	Customer Training	Number of people trained	1000	1500	5,275	Not Met	
17403	2009	Prevent Terrorism and Promote the Nation's Security	Mission and Business Results	Intelligence Operations	Intelligence Processing	Number of files of interest found	16000	16000	4,988,633	Not Met	
17401	2009	Prevent Terrorism and Promote the Nation's Security	Processes and Activities	Productivity	Efficiency	Percentage of non-applicable files filtered prior to user visibility	33%	33%	40%	Not Met	
17402	2009	Prevent Terrorism and Promote the Nation's Security	Technology	Quality Assurance	Functionality	Number of technical enhancements and advances in analytical capability implemented	20	40	58	Not Met	
17408	2010	Prevent Terrorism and Promote the Nation's Security	Customer Results	Customer Benefit	Customer Training	Number of people trained	2000	2500	296	Not Met	
17407	2010	Prevent Terrorism and Promote the Nation's Security	Mission and Business Results	Intelligence Operations	Intelligence Processing	Number of files of interest found	1000000	1500000	730000	Not Met	
17405	2010	Prevent Terrorism and Promote the Nation's Security	Processes and Activities	Productivity	Efficiency	Percentage of non-applicable files filtered prior to user visibility	40%	40%	37%	Not Met	
17406	2010	Prevent Terrorism and Promote the Nation's Security	Technology	Quality Assurance	Functionality	Number of technical enhancements and advances in analytical capability implemented	20	40	31	Not Met	
17412	2011	Prevent Terrorism and Promote the Nation's Security	Customer Results	Customer Benefit	Customer Training	Number of people trained	2000	2500		Not Met	
17411	2011	Prevent Terrorism and Promote the Nation's Security	Mission and Business Results	Intelligence Operations	Intelligence Processing	Number of files of interest found	1000000	1500000		Not Met	
17409	2011	Prevent Terrorism and Promote the Nation's Security	Processes and Activities	Productivity	Efficiency	Percentage of non-applicable files filtered prior to user visibility	40%	40%		Not Met	
17410	2011	Prevent Terrorism and Promote the Nation's Security	Technology	Quality Assurance	Functionality	Number of technical enhancements and advances in analytical capability implemented	20	40		Not Met	

Section E: Security (IT Capital Assets only)

For IT investments, agencies should maintain up-to-date tracking of which systems in the FISMA inventory support any IT investment. Linking major IT investments to FISMA systems will be addressed outside the context of the A-11 budget submission of the Exhibit 300.

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Have the requisite investment-level architecture documentation requirements (e.g., reference model mappings, FTF mappings, etc.) for this investment been documented in the corresponding Segment Architecture? For detailed guidance regarding segment architecture requirements, please refer to www.whitehouse.gov/omb/e-gov. See this guidance also regarding the reporting of six digit codes corresponding to agency segment architectures in Exhibit 53, and, for limited cases determined by the Chief Architect, reporting an investment alignment with multiple segments. No

Exhibit 300: Part II: Planning, Acquisition and Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

Section A: Cost and Schedule Performance (All Capital Assets)

Agencies should be measuring the performance of operational assets against the baseline established during the planning or full acquisition phase (i.e., operational analysis), or, where approved, the current baseline, and be properly operating and maintaining the asset to maximize its useful life. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements.

EVM is required only on Planning or Acquisitions portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the cost and schedule performance table. This table should accurately reflect the milestones in the initial baseline, or approved current baseline.

For investments including Planning or Acquisitions spending, complete the following table on milestones used to measure cost and schedule performance, representing only one level of the investment's Work Breakdown Structure. This should generally show Level 3 of the Work Breakdown Structure. For activities related to Operations and Maintenance included in Mixed Life Cycle investments, provide milestones used to track cost and schedule performance in the same format used for development activities milestones.

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Complete the following table to compare actual performance against the current performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004"), baseline and actual start dates, and the baseline and actual total costs (in \$ Millions). Note that the 'Description of Milestone' and 'Percent Completed'-both Planned and Actual-fields are required.

Description of Milestone	Total Cost		Baseline (mm/dd/yyyy)				Percentages Complete	
	Planned Cost (\$M)	Actual Cost (\$M)	Start Date		Completion Date		Planned	Actual
			Planned	Actual	Planned	Actual		
Provide FY 2008 O&M of DIVS	\$35.593000	\$35.593000	10/1/2007	10/1/2007	9/30/2008	9/30/2008	100%	100%
Implement FISA Court mandates on SMP for DWS, EDMS, and DaLAS	\$1.900000	\$1.900000	4/8/2008	4/8/2008	11/1/2008	11/1/2008	100%	100%
Complete consolidation of DWS and EDMS, activate DWS-EDMS, shut down EDMS	\$1.500000	\$1.500000	11/2/2008	11/2/2008	5/1/2009	5/12/2009	100%	100%
Provide FY 2009 O&M of DIVS	\$57.265000	\$57.265000	10/1/2008	10/1/2008	9/30/2009	9/30/2009	100%	100%
DIVS, Phase 2, Systems Requirements Review	\$0.600000	\$0.600000	7/1/2009	7/1/2009	9/29/2009	9/29/2009	100%	100%
DIVS Phase 2 PDR			10/1/2009	10/1/2009	3/30/2010		83%	67%
DIVS Phase 2 Increment 1			4/1/2010		9/30/2010		0%	0%
Provide FY 2010 O&M of DIVS			10/1/2009	10/1/2009	9/30/2010		42%	33%
DIVS Phase 2, Increment 2			10/1/2010		3/30/2011		0%	0%
DIVS Phase 2 Increment 3 (Federated Search)			4/1/2011		9/30/2011		0%	0%
Provide FY 2011 O&M of DIVS			10/1/2010		9/30/2011		0%	0%
DIVS Phase 3 SRR (if required)			10/1/2011		1/30/2012		0%	0%
Provide FY 2012 O&M of DIVS			10/1/2011		9/30/2012		0%	0%
Provide FY 2013 O&M of DIVS			10/1/2012		9/30/2013		0%	0%
Provide FY 2014 O&M of DIVS			10/1/2013		9/30/2014		0%	0%
Provide FY 2015 O&M of DIVS			10/1/2014		9/30/2015		0%	0%
Project Totals								