

Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

- 1. Date of Submission: 6/10/2009
- 2. Agency: Department of Justice
- 3. Bureau: Justice Management Division
- 4. Name of this Investment: JMD Litigation Case Management System (LCMS)
- 5. Unique Project (Investment) Identifier: (For IT investment only, see section 53.9. For all other, use agency ID system.) 011-03-01-10-01-1526-24
- 6. What kind of investment will this be in FY 2011? (Please NOTE: Investments moving to O&M in FY 2011, with Planning/Acquisition activities prior to FY 2011 should not select O&M. These investments should indicate their current status.) Mixed Life Cycle
- 7. What was the first budget year this investment was submitted to OMB? FY2004

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.

The Litigation Case Management System (LCMS) is focused on developing and implementing a common case management solution for the eight major DOJ litigating divisions, including the United States Attorneys' offices, CIV, CRT, ENRD, CRM, ATR, TAX, and NSD. The purpose of LCMS is to provide an enterprise infrastructure for the sharing of case-related information within and between the United States Attorneys' offices, DOJ components, partner agencies, and the public by developing an enterprise case management system that will effectively store the information once, manage it centrally, and make it available to all authorized users. Currently, the Department supports seven different case management systems, which are inefficient and expensive. It also inhibits effective collaboration and information management across components and at the level of Department leadership. Merging these systems will better enable Department leadership to manage one unified entity and to set and implement priorities and best practices more effectively. LCMS will allow the Department to improve operational efficiencies through effective single-point capture of case information and sharing of the information between the U.S. Attorneys and the seven Litigation Divisions, as well as with DOJ executive leadership, Congress, OMB, and partner agencies. The LCMS is also expected to share information with other key systems including Sentinel for law investigative case management and the Consolidated Debt Collection System. With a unified system, the Department will be better able to monitor the resources dedicated to cases and investigations. Implementation of LCMS will also improve cross-component cooperation both between the Divisions and the U.S. Attorneys offices and across litigating Divisions by enabling the Department's components to access the same information. LCMS will be more efficient in the long run than implementing and maintaining numerous disparate systems. Full deployment of LCMS is crucial to ensuring that the Department operates as a unified organization.

a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.

9. Did the Agency's Executive/Investment Committee approve this request? Yes

a. If "yes," what was the date of this approval? 4/17/2006

10. Contact information of Program/Project Manager?

Name

Phone Number

Email

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)?

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

OMB ID	Financial management system name(s)	System Acronym	Unique Project Identifier (UPI) number
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a) If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one):

Section B: Summary of Funding (Budget Authority for Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. Funding for all costs associated with the entire life-cycle of the investment should be included in this report. Funding levels should be shown for budget authority by year consistent with funding levels in Exhibit 53. The Summary of Funding table shall include the amounts allocated to the investment from, and should be directly tied to, the Fiscal Year Budget. This includes direct appropriations (discretionary or mandatory accounts), user fees, and approved self-funding activities and will provide the actual annual "budget" for the investment. This "budget" will be a subset of the congressionally approved budget for each fiscal year. This will provide Departments/Agencies and OMB useful information on the actual Fiscal Year dollars being asked for and spent on an investment.

SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS)									
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:									
Acquisition:									
Subtotal Planning & Acquisition:									
Operations & Maintenance:									
Disposition Costs (optional):									
SUBTOTAL:									
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									
TOTAL (incl. FTE costs)									

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

Note 2: The two sub-total rows and total row will be calculated – not for data entry.

2. If the summary of funding has changed from the FY2010 President's Budget request, briefly explain those changes:

LCMS PROJECT UPDATE FOR BY11

Development of EOUSA Stage I is nearing completion (see IIC Cost and Schedule Performance milestone table). PMO is currently developing a plan for deploying LCMS to Stage II and III components. Funding in FY11 has been requested to support Stage II development. FY10 will include initial Stage II and III work, requirements support and implementation planning.

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

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Contract/Task Orders Table											* Costs in millions
Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
CSC Contract: 263-01-D-0049 Task No.: DJJ-06-G-1412 CSC	Time & Materials with Performance Incentive Feature	Yes	5/31/2006	6/1/2006	12/31/2009	44	Yes	Yes	Yes	NA	Yes
Pragmatics ITSS-3 DJJL-05-C-1117 Purchase Order #6 Pragmatics	Time and Materials with Performance Incentives	Yes	9/7/2006	10/2/2006	12/31/2009	3	No	No	Yes	NA	Yes
Ementum DJJ-07-F-1579	Time and Materials	Yes	4/28/2009	5/1/2009	12/31/2009	1.4	No	No	Yes	NA	Yes

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? Yes

a. If "yes," what is the date? 9/1/2005

NOTE: Data structure to be used to identify contract numbers in FPDS.

To assist in the linkage of Contract/Task Order Numbers from the Acquisition Strategy table to FPDS, agencies should provide the following information for "Contract/Task Order Numbers" based on the FPDS-NG data requirements (as specified in the FPDS-NG Data Element Dictionary- <http://www.fpdsg.com/downloads/FPDS-Data-Dictionary-Version1.3.pdf>):

Part of Indefinite Delivery Vehicle (IDV)?	Procurement Instrument Identifier	Example
Yes	Data Element 1A (NTE 50 characters)	"00063200203DNBCHC020042"
No	Data Element 1A, and the Referenced PIID, Data Element 1C (NTE 100 characters)	"GS09Q08DN0165-IDV-GS10F0216N"

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan and the relevant Agency Segment Architecture. The investment must discuss its performance measures in support of the agency's mission and strategic goals as outlined in the corresponding Segment Architecture. Performance measures (indicators) must be provided. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.whitehouse.gov/omb/e-gov. The table can be extended to include performance measures for years beyond the next President's Budget.

OMB ID	Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results	Rating	Include In OMB Dashboard
	2009	Ensure the Fair and Efficient Administration of Justice	Customer Results	Customer Benefit	Customer Satisfaction	Customer Satisfaction Surveys	85% satisfactory rating	86% satisfactory rating	LCMS will not deploy until Q2 FY10; results will be available Q3 FY10		
	2009	Ensure the Fair and Efficient Administration of Justice	Mission and Business Results	Litigation and Judicial Activities	Judicial Hearing	Judicial Hearings (Judicial Trials): Percent of Criminal Cases Favorably Resolved	90%	Favorably Resolve 90% of Criminal Cases	Results for FY09 will be available Q2 FY10.		
	2009	Ensure the Fair and Efficient Administration of Justice	Mission and Business Results	Litigation and Judicial Activities	Judicial Hearing	Judicial Hearings (Judicial Trials): Percent of Civil Cases Favorably Resolved	80%	Favorably Resolve 80% of Civil Cases	Results for FY09 will be available Q2 FY10.		
	2009	Ensure the Fair and Efficient Administration of Justice	Technology	Information and Data	Data Reliability and Quality	Internal Data Sharing: the number of different case	Seven different case management products are	The total number of case management products will	Results for FY09 will be available Q2 FY10.		

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Performance Information Table

OMB ID	Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results	Rating	Include In OMB Dashboard
						management products within DOJ.	operational within DOJ litigating divisions in FY08.	be reduced from seven to four upon final deployment of LCMS in EOUSA, CIV, CRT and ENRD in FY09 and four to one upon final deployment in CRM, TAX, and ATR in FY11.			
	2010	Ensure the Fair and Efficient Administration of Justice	Customer Results	Customer Benefit	Customer Satisfaction	Customer Satisfaction Surveys	85% Customer Satisfaction	86% Customer Satisfaction	Available Q1 FY11		
	2010	Ensure the Fair and Efficient Administration of Justice	Mission and Business Results	Litigation and Judicial Activities	Judicial Hearing	Judicial Hearings (Judicial Trials): Percentage of Criminal Cases Favorably Resolved	91% of Criminal Cases Favorably Resolved in FY2005	Favorably Resolve 90% of Criminal Cases	Available Q1 FY11		
	2010	Ensure the Fair and Efficient Administration of Justice	Mission and Business Results	Litigation and Judicial Activities	Judicial Hearing	Judicial Hearings (Judicial Trials): Percentage of Civil Cases Favorably Resolved	84% of Cases Favorably Resolved	Favorably Resolve 80% of Cases	Available Q1 FY11		
	2010	Ensure the Fair and Efficient Administration of Justice	Mission and Business Results	Litigation and Judicial Activities	Judicial Hearing	Resolution Facilitation: Percentage of successful matters resolved through mediation (Civil Rights Division).	2010 baseline will be established Q1 2011.	Achieve the initial baseline target set for the percentage of successful matters resolved through mediation (Civil Rights Division).	Available Q1 FY11		
	2010	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Productivity	Efficiency	Efficiency: Number of Criminal and Civil active investigations and HSR (Hart-Scott-Rodino Improvements Act of 1976) transactions reviewed per FTE (ATR Division).	2010 baseline will be established Q1 2011.	Achieve the initial baseline target set for Number of Criminal and Civil active investigations and HSR (Hart-Scott-Rodino Improvements Act of 1976) transactions reviewed per FTE (ATR Division).	Available Q1 FY11		
	2010	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Productivity	Efficiency	Efficiency: Average number of significant civil litigation activities to Civil attorney FTEs (TAX Division).	2010 baseline will be established Q1 2011.	Achieve the initial baseline target set for Average number of significant civil litigation activities to	Available Q1 FY11.		

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Performance Information Table											
OMB ID	Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results	Rating	Include In OMB Dashboard
								Civil attorney FTEs (TAX Division).			
	2010	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Productivity	Efficiency	Efficiency: Ratio of dollars defeated and recovered to dollars obligated for litigation (CIV Division).	2010 baseline will be established Q1 2011.	Ratio of dollars defeated and recovered to dollars obligated for litigation (CIV Division).	Available Q1 2011.		
	2010	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Productivity	Efficiency	Efficiency: Ratio of administrative support costs to program costs (CRM Division).	2010 baseline will be established Q1 2011.	Achieve the initial baseline target set for Ratio of administrative support costs to program costs (CRM Division).	Available Q1 2011.		
	2011	Ensure the Fair and Efficient Administration of Justice	Customer Results	Customer Benefit	Customer Satisfaction	Customer Satisfaction Surveys	2011 baseline will be established Q1 2012.	86% Customer Satisfaction	Available Q1 FY12		
	2011	Ensure the Fair and Efficient Administration of Justice	Mission and Business Results	Litigation and Judicial Activities	Judicial Hearing	Judicial Hearings (Judicial Trials): Percentage of Criminal Cases Favorably Resolved.	2011 baseline will be established Q1 2012.	Favorably Resolve 90% of Criminal Cases.	Available Q1 FY12		
	2011	Ensure the Fair and Efficient Administration of Justice	Mission and Business Results	Litigation and Judicial Activities	Judicial Hearing	Judicial Hearings (Judicial Trials): Percentage of Civil Cases Favorably Resolved.	2011 baseline will be established Q1 2012.	Favorably Resolve 80% of Criminal Cases.	Available Q1 FY12		
	2011	Ensure the Fair and Efficient Administration of Justice	Mission and Business Results	Litigation and Judicial Activities	Judicial Hearing	Resolution Facilitation: Percentage of successful matters resolved through mediation (Civil Rights Division).	2011 baseline will be established Q1 2012.	Achieve the initial baseline target set for Percentage of successful matters resolved through mediation (Civil Rights Division).	Available Q1 2012.		
	2011	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Productivity	Efficiency	Efficiency: Number of Criminal and Civil active investigations and HSR transactions reviewed per FTE (ATR Division).	2011 baseline will be established Q1 2012.	Achieve the initial baseline target set for Number of Criminal and Civil active investigations and HSR transactions reviewed per FTE (ATR Division).	Available Q1 2012.		
	2011	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Productivity	Efficiency	Efficiency: Average number of significant civil litigation activities to civil attorney	2011 baseline will be established Q1 2012.	Achieve the initial baseline target set for Average number of significant	Available Q1 FY12.		

Performance Information Table											
OMB ID	Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results	Rating	Include In OMB Dashboard
						FTE (TAX Division).		civil litigation activities to civil attorney FTE (TAX Division).			
	2011	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Productivity	Efficiency	Efficiency: Ratio of dollars defeated and recovered to dollars obligated for litigation (CIV Division).	2011 baseline will be established Q1 2012.	Achieve the initial baseline target set for Ratio of dollars defeated and recovered to dollars obligated for litigation (CIV Division).	Available Q1 2012.		
	2011	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Productivity	Efficiency	Efficiency: Ratio of administrative support costs to program costs (CRM Division).	2011 baseline will be established Q1 2012.	Achieve the initial baseline target set for Ratio of administrative support costs to program costs (CRM Division).	Available Q1 FY12		
	2012	Ensure the Fair and Efficient Administration of Justice	Customer Results	Customer Benefit	Customer Satisfaction	Customer Satisfaction Surveys.	2012 baseline will be available Q1 FY13.	Achieve the initial baseline target set.	Available Q1 FY13.		
	2012	Ensure the Fair and Efficient Administration of Justice	Mission and Business Results	Litigation and Judicial Activities	Judicial Hearing	Resolution Facilitation: Percentage of successful matter resolved through mediation (Civil Division).	2012 baseline will be available Q1 FY13.	Achieve the initial target baseline.	Available Q1 FY13.		
	2012	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Productivity	Efficiency	Efficiency: Ratio of administrative support costs to program costs (CRM Division).	2012 baseline will be established Q1 FY13.	Achieve the initial baseline target set.	Available Q1 FY13.		
	2012	Ensure the Fair and Efficient Administration of Justice	Technology	Information and Data	Data Reliability and Quality	Internal Data Sharing: number of different case management products within DOJ.	A minimum of seven different case management systems are operational within DOJ in FY10.	Reduce from seven case management systems to one.	Available Q1 FY13.		

Section E: Security (IT Capital Assets only)

For IT investments, agencies should maintain up-to-date tracking of which systems in the FISMA inventory support any IT investment. Linking major IT investments to FISMA systems will be addressed outside the context of the A-11 budget submission of the Exhibit 300.

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology

layers of the agency's EA.

Have the requisite investment-level architecture documentation requirements (e.g., reference model mappings, FTF mappings, etc.) for this investment been documented in the corresponding Segment Architecture? For detailed guidance regarding segment architecture requirements, please refer to www.whitehouse.gov/omb/e-gov. See this guidance also regarding the reporting of six digit codes corresponding to agency segment architectures in Exhibit 53, and, for limited cases determined by the Chief Architect, reporting an investment alignment with multiple segments.

Exhibit 300: Part II: Planning, Acquisition and Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

Section A: Cost and Schedule Performance (All Capital Assets)

Agencies should be measuring the performance of operational assets against the baseline established during the planning or full acquisition phase (i.e., operational analysis), or, where approved, the current baseline, and be properly operating and maintaining the asset to maximize its useful life. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements.

EVM is required only on Planning or Acquisitions portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the cost and schedule performance table. This table should accurately reflect the milestones in the initial baseline, or approved current baseline.

For investments including Planning or Acquisitions spending, complete the following table on milestones used to measure cost and schedule performance, representing only one level of the investment's Work Breakdown Structure. This should generally show Level 3 of the Work Breakdown Structure. For activities related to Operations and Maintenance included in Mixed Life Cycle investments, provide milestones used to track cost and schedule performance in the same format used for development activities milestones.

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Complete the following table to compare actual performance against the current performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004"), baseline and actual start dates, and the baseline and actual total costs (in \$ Millions). Note that the 'Description of Milestone' and 'Percent Completed'-both Planned and Actual-fields are required.

Description of Milestone	Total Cost		Baseline (mm/dd/yyyy)				Percentages Complete	
	Planned Cost (\$M)	Actual Cost (\$M)	Start Date		Completion Date		Planned	Actual
			Planned	Actual	Planned	Actual		
PMO Operations			10/1/2004	10/1/2004	12/31/2010		88%	88%
PMO - Technical Support (SETA)			8/1/2005	8/1/2005	12/31/2010		70%	70%
EOUSA System Design	\$5.597000	\$5.597000	6/1/2006	6/1/2006	12/6/2006	12/6/2006	100%	100%
Build 1 Design	\$9.107000	\$9.107000	12/7/2006	12/7/2006	8/3/2007	8/3/2007	100%	100%
Configuration, and Testing			5/31/2006	5/31/2006	12/20/2010		88%	88%
Field UAT & Follow-up Support			2/1/2010		7/7/2010		0%	0%
LCMS Release 0.04			11/7/2009		8/7/2010		0%	0%
LCMS Release 0.05			1/7/2010		9/7/2010		0%	0%
LCMS Release 0.06			3/15/2010		11/30/2010		0%	0%
LCMS Release 1.0			7/15/2010		12/20/2010		0%	0%
Siebel Software Licenses			9/30/2011		9/30/2011		0%	0%
Project Totals			6/1/2006	6/1/2004	12/31/2012			80.14%