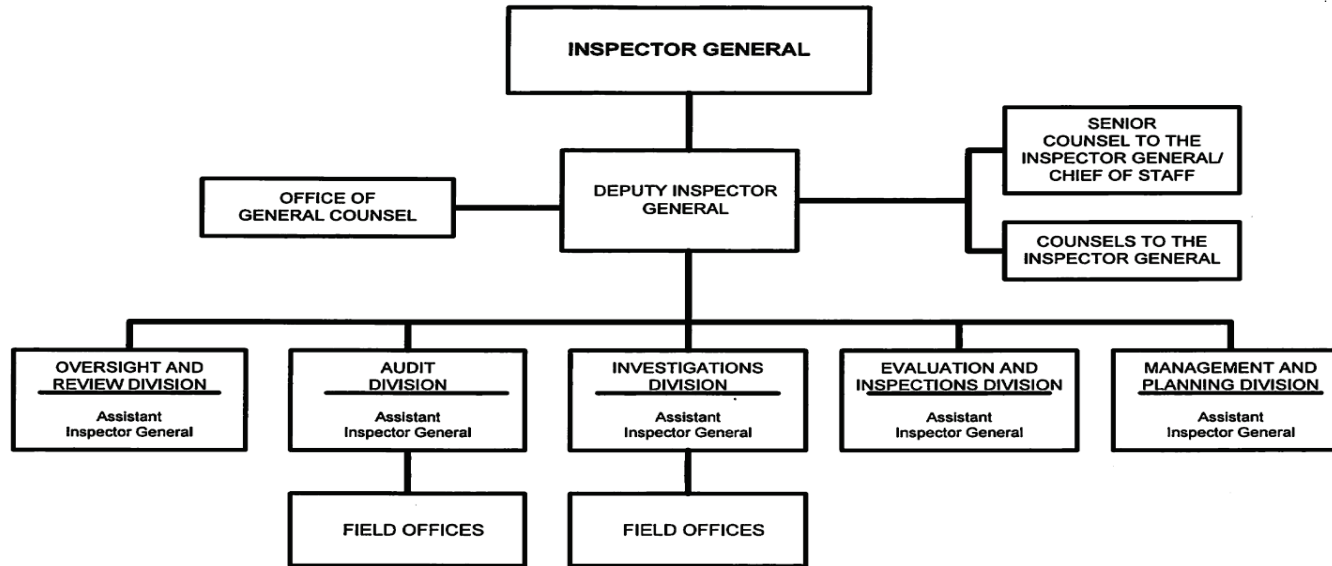


A: Organizational Chart

OFFICE OF THE INSPECTOR GENERAL



Approved by: Michael E. Horowitz
Michael E. Horowitz
Inspector General

Date: 9/27/12

B. Summary of Requirements

Summary of Requirements

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

	FY 2016 Request		
	Direct Positions	FTE	Amount
2014 Enacted	474	393	86,400
Total 2014 Enacted (with Rescission)	474	393	86,400
2015 Enacted	474	419	88,577
Total 2015 Enacted (with Rescission)	474	419	88,577
Base Adjustments			
Pay and Benefits	0	0	1,444
Domestic Rent and Facilities	0	0	-32
Other Adjustments	0	0	170
Total Base Adjustments	0	0	1,582
Total Technical and Base Adjustments	0	0	1,582
2016 Current Services	474	419	90,159
Program Changes			
Increases:			
Contract Oversight	0	15	2,970
Council of Inspectors General on Integrity and Efficiency (CIGIE)	0	0	580
Total Program Changes	0	15	3,550
2016 Total Request	474	434	93,709
2016 Balance Rescission			0
2016 Total Request (with Rescission)	474	434	93,709
2015 - 2016 Total Change	0	15	5,132

Note: The FTE for FY 2014 is actual and for FY 2015 and FY 2016 is estimated.

B. Summary of Requirements

Summary of Requirements

Office of the Inspector General
Salaries and Expenses
(Dollars in Thousands)

Program Activity	2014 Enacted			2015 Enacted			2016 Technical and Base Adjustments			2016 Current Services		
	Direct Pos.	Actual FTE	Amount	Direct Pos.	Est. FTE	Amount	Direct Pos.	Est. FTE	Amount	Direct Pos.	Est. FTE	Amount
Audits, Inspections, Investigations and Reviews	474	393	86,400	474	419	88,577	0	0	1,582	474	419	90,159
Total Direct	474	393	86,400	474	419	88,577	0	0	1,582	474	419	90,159
Balance Rescission			0			0			0			0
Total Direct with Rescission			86,400			88,577			1,582			90,159
Reimbursable FTE		21			21			0			21	
Total Direct and Reimb. FTE		414			440			0			440	
Other FTE:												
LEAP		0			0			0			0	
Overtime		0			0			0			0	
Grand Total, FTE		414			440			0			440	

Program Activity	2016 Increases			2016 Decreases			2016 Request		
	Direct Pos.	Est. FTE	Amount	Direct Pos.	Est. FTE	Amount	Direct Pos.	Est. FTE	Amount
Audits, Inspections, Investigations and	0	15	3,550	0	0	0	474	434	93,709
Total Direct	0	15	3,550	0	0	0	474	434	93,709
Balance Rescission			0			0			0
Total Direct with Rescission			3,550			0			93,709
Reimbursable FTE		0			0			21	
Total Direct and Reimb. FTE		15			0			455	
Other FTE:									
LEAP		0			0			0	
Overtime		0			0			0	
Grand Total, FTE		15			0			455	

C. Program Changes by Decision Unit

FY 2016 Program Changes by Decision Unit

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Program Increases	Location of Description in Narrative	OIG			
		Direct Pos.	Agt./ Atty.	Est. FTE	Amount
Contract Oversight	25	0	0	15	2,970
Council of Inspectors General on Integrity and Efficiency (CIGIE)	29	0	0	0	580
Total Program Increases		0	0	15	3,550

D. Resources by DOJ Strategic Goal and Strategic Objective

Resources by Department of Justice Strategic Goal/Objective
 Office of the Inspector General
 Salaries and Expenses
 (Dollars in Thousands)

Strategic Goal and Strategic Objective	2014 Enacted		2015 Enacted		2016 Current Services		2016 Increases		2016 Decreases		2016 Total Request	
	Direct/ Reimb FTE	Direct Amount	Direct/ Reimb FTE	Direct Amount	Direct/ Reimb FTE	Direct Amount	Direct/ Reimb FTE	Direct Amount	Direct/ Reimb FTE	Direct Amount	Direct/ Reimb FTE	Direct Amount
Goal 2 Prevent Crime, Protect the Rights of the American People, and enforce Federal Law												
2.6 Protect the federal fisc and defend the interests of the United States.	414	86,400	440	88,577	440	90,159	15	3,550	0	0	455	93,709
Subtotal, Goal 2	414	86,400	440	88,577	440	90,159	15	3,550	0	0	455	93,709
TOTAL	414	86,400	440	88,577	440	90,159	15	3,550	0	0	455	93,709

Note: Excludes Balance Rescission and/or Supplemental Appropriations.

E. Justification for Technical and Base Adjustments

Justifications for Technical and Base Adjustments

Office of the Inspector General
Salaries and Expenses
(Dollars in Thousands)

	Direct Pos.	Estimate FTE	Amount
Pay and Benefits			
1 <u>2016 Pay Raise:</u> This request provides for a proposed 1.3 percent pay raise to be effective in January of 2015. The amount request, \$588,000, represents the pay amounts for 3/4 of the fiscal year plus appropriate benefits (\$454,000 for pay and \$134,000 for benefits.)			588
2 <u>Annualization of 2015 Pay Raise:</u> This pay annualization represents first quarter amounts (October through December) of the 2015 pay increase of 1.0% included in the 2015 Appropriation. The amount requested \$134,000, represents the pay amounts for 1/4 of the fiscal year plus appropriate benefits (\$ 93,800 for pay and \$40,200 for benefits).			134
3 <u>Change in Compensable Days:</u> The increased cost for one more compensable day in FY 2016 (262) compared to FY 2015 (261) is calculated by dividing the FY 2015 estimated personnel compensation \$50,011,000 and applicable benefits \$17,622,000 by 262 compensable days.	0	0	238
4 <u>FERS Regular/Law Enforcement Retirement Contribution:</u> Effective October 1, 2015 (FY 2016), the new agency contribution rates of 13.2% (up from the current 11.9%, or an increase of 1.3%) and 28.8% for law enforcement personnel (up from the current 26.3%, or an increase of 2.5%). The amount requested, \$346,000, represents the funds needed to cover this increase.			346
5 <u>Employee Compensation Fund:</u> The \$91,000 decrease reflects anticipated changes in payments to the Department of Labor for injury benefits under the Federal Employee Compensation Act.			-91
6 <u>Health Insurance:</u> Effective January 2015, the component's contribution to Federal employees' health insurance increases by 3.3% percent. Applied against the 2014 estimate of \$2,820,000, the additional amount required is \$188,000.			188
7 <u>Retirement:</u> Agency retirement contributions increase as employees under CSRS retire and are replaced by FERS employees. Based on U.S. Department of Justice Agency estimates, we project that the DOJ workforce will convert from CSRS to FERS at a rate of 1.3 percent per year. The requested increase of \$41,000 is necessary to meet our increased retirement obligations as a result of this conversion.			41
Subtotal, Pay and Benefits	0	0	1,444
Domestic Rent and Facilities			
1 <u>General Services Administration (GSA) Rent:</u> GSA will continue to charge rental rates that approximate those charged to commercial tenants for equivalent space and related services. The decrease of \$59,000 reflects a non-recurring FY 2015 adjustment to base for rent.			-59
2 <u>Guard Services:</u> This includes Department of Homeland Security (DHS) Federal Protective Service charges, Justice Protective Service charges and other security services across the country. The requested increase of \$27,000 is required to meet these commitments.			27
Subtotal, Domestic Rent and Facilities	0	0	-32
Other Adjustments			
1 <u>WCF Rate Adjustments:</u> The Department's Working Capital Fund (WCF) provides Department components with centralized administrative and infrastructure support services. The WCF is a cost effective mechanism that eliminates duplication of effort and promotes economies of scale through consolidation and centralization. Inflationary adjustments are required to account for pay adjustments, contractual changes, and information technology maintenance and technology refreshment upgrades. Funding of \$162,000 is required for this account.			162
2 <u>Security Investigations:</u> The \$8,000 increase reflects payments to the Office of Personnel Management for security reinvestigations for employees requiring security clearances.			8
Subtotal, Other Adjustments	0	0	170
TOTAL DIRECT TECHNICAL and BASE ADJUSTMENTS	0	0	1,582

F. Crosswalk of 2014 Availability

Crosswalk of 2014 Availability

Office of the Inspector General
Salaries and Expenses
(Dollars in Thousands)

Program Activity	FY 2014 Enacted			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	2014 Availability		
	Direct Pos.	Estim. FTE	Amount	Direct Pos.	Estim. FTE	Amount	Amount	Amount	Direct Pos.	Estim. FTE	Amount
Audits, Inspections, Investigations and Reviews	474	393	86,400	0	0	0	0	0	474	393	86,400
Total Direct	474	393	86,400	0	0	0	0	0	474	393	86,400
Balance Rescission			0								0
Total Direct with Rescission			86,400								86,400
Reimbursable FTE		21			0		0			21	
Total Direct and Reimb. FTE		414			0		0			414	
Other FTE:											
LEAP		0			0		0			0	
Overtime		0			0		0			0	
Grand Total, FTE		414			0		0			414	

G. Crosswalk of 2015 Availability

Crosswalk of 2015 Availability

Office of the Inspector General
Salaries and Expenses
(Dollars in Thousands)

Program Activity	FY 2015 Enacted			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	2015 Availability		
	Direct Pos.	Estim. FTE	Amount	Direct Pos.	Estim. FTE	Amount	Amount	Amount	Direct Pos.	Estim. FTE	Amount
Audits, Inspections, Investigations and Reviews	474	419	88,577	0	0	0	0	0	474	419	88,577
Total Direct	474	419	88,577	0	0	0	0	0	474	419	88,577
Balance Rescission			0								0
Total Direct with Rescission			88,577								88,577
Reimbursable FTE		21			0		0			21	
Total Direct and Reimb. FTE		440			0		0			440	
Other FTE:											
LEAP		0			0		0			0	
Overtime		0			0		0			0	
Grand Total, FTE		440			0		0			440	

H. Summary of Reimbursable Resources

Summary of Reimbursable Resources

Office of the Inspector General
Salaries and Expenses
(Dollars in Thousands)

Collections by Source	2014 Actual			2015 Planned			2016 Request			Increase/Decrease		
	Reimb. Pos.*	Reimb. FTE	Amount	Reimb. Pos.*	Reimb. FTE	Amount	Reimb. Pos.*	Reimb. FTE	Amount	Reimb. Pos.*	Reimb. FTE	Amount
Federal Bureau of Investigation	0	2	1,746	0	2	1,302	0	2	1,232	0	0	-70
Offices, Boards, and Divisions	0	5	4,691	0	6	5,171	0	6	5,241	0	0	70
Asset Forfeiture Fund	0	2	1,100	0	2	1,047	0	2	1,061	0	0	14
Federal Bureau of Prisons	0	2	1,125	0	2	909	0	2	870	0	0	-39
Federal Prison Industries	0	2	1,014	0	2	1,020	0	2	1,034	0	0	14
United States Marshals Service	0	2	1,130	0	0	0	0	0	0	0	0	0
Working Capital Fund (ITSS)	0	7	1,798	0	7	1,846	0	7	1,887	0	0	41
IG Criminal Investigator Academy	0	0	46	0	0	0	0	0	0	0	0	0
Council of Inspectors General on Integrity and Efficiency (CIGIE) Legal Services	0	0	0	0	0	65	0	0	85	0	0	20
Budgetary Resources	0	21	12,650	0	21	11,360	0	21	11,410	0	0	50

*Reimbursable Positions are not able to be split by Collection Source.

** The Columns will add to a different amount due to rounding.

I. Detail of Permanent Positions by Category

Detail of Permanent Positions by Category

Office of the Inspector General
Salaries and Expenses
(Dollars in Thousands)

Category	2014 Enacted		2015 Enacted		2016 Request				
	Direct Pos.	Reimb. Pos.	Direct Pos.	Reimb. Pos.	ATBs	Program Increases	Program Offsets	Total Direct Pos.	Total Reimb. Pos.
Personnel Management (200-299)	7	0	7	0	0	0	0	7	0
Clerical and Office Services (300-399)	160	3	160	3	0	0	0	160	3
Accounting and Budget (500-599)	95	11	95	11	0	0	0	95	11
Attorneys (905)	30	0	30	0	0	0	0	30	0
Paralegals / Other Law (900-998)	5	0	5	0	0	0	0	5	0
Operation Research Analyst (1515)	2	0	2	0	0	0	0	2	0
Miscellaneous Inspectors Series (1802)	9	0	9	0	0	0	0	9	0
Criminal Investigative Series (1811)	139	0	139	0	0	0	0	139	0
Information Technology Mgmt (2210)	18	7	18	7	0	0	0	18	7
Security Specialists (080)	3	0	3	0	0	0	0	3	0
Miscellaneous Operations (010-099)	6	0	6	0	0	0	0	6	0
Total	474	21	474	21	0	0	0	474	21
Headquarters (Washington, D.C.)	228	21	228	21	0	0	0	228	21
U.S. Field	246	0	246	0	0	0	0	246	0
Foreign Field	0	0	0	0	0	0	0	0	0
Total	474	21	474	21	0	0	0	474	21

J. Financial Analysis of Program Changes

Financial Analysis of Program Changes

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Object Class	OIG		OIG		Total Program Changes	
	Contract Oversight		Council of Inspectors General on Integrity and Efficiency (CIGIE)			
	Direct Pos.	Amount	Direct Pos.	Amount	Direct Pos.	Amount
11.0 Full-Time Permanent		1,655		0	0	1,655
12.0 Personnel Benefits		547		0	0	547
13.0 Benefits for former personnel		0		0	0	0
21.0 Travel and Transportation of Persons		45		0	0	45
22.0 Transportation of Things		15		0	0	15
23.1 Rental Payments to GSA		0		0	0	0
23.2 Rental Payments to Others		18		0	0	18
23.3 Communications, Utilities, and Miscellaneous Charges		37		0	0	37
24.0 Printing and Reproduction		12		0	0	12
25.1 Advisory and Assistance Services		0		0	0	0
25.2 Other Services from Non-Federal Sources		242		0	0	242
25.3 Other Goods and Services from Federal Sources		91		580	0	671
25.5 Research and Development Contracts		0		0	0	0
25.6 Medical Care		24		0	0	24
25.7 Operation and Maintenance of Equipment		0		0	0	0
26.0 Supplies and Materials		27		0	0	27
31.0 Equipment		257		0	0	257
Total Program Change Requests	0	2,970	0	580	0	3,550

K. Summary of Requirements by Object Class

Summary of Requirements by Object Class

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Object Class	2014 Actual		2015 Availability		2016 Request		Increase/Decrease	
	Direct FTE	Amount	Direct FTE	Amount	Direct FTE	Amount	Direct FTE	Amount
11.1 Full-Time Permanent	393	41,374	419	45,285	434	48,142	15	2,857
11.3 Other than Full-Time Permanent	0	1,024	0	1,102	0	1,113	0	11
11.5 Other Personnel Compensation	0	3,526	0	3,624	0	3,660	0	36
<i>Overtime</i>	0	0	0	0	0	0	0	0
<i>Other Compensation</i>	0	0	0	0	0	0	0	0
11.8 Special Personal Services Payments	0	3	0	0	0	0	0	0
Total	393	45,927	419	50,011	434	52,915	15	2,904
Other Object Classes								
12.1 Civilian Personnel Benefits		16,392		17,622		19,046		1,424
13.0 Benefits for former personnel		20		20		20		0
21.0 Travel and Transportation of Persons		2,098		2,000		2,100		100
22.0 Transportation of Things		135		145		145		0
23.1 Rental Payments to GSA		8,597		9,258		9,199		-59
23.2 Rental Payments to Others		338		461		488		27
23.3 Communications, Utilities, and Miscellaneous Charges		1,658		1,334		1,420		86
24.0 Printing and Reproduction		12		8		8		0
25.1 Advisory and Assistance Services		2,444		2,279		2,279		0
25.2 Other Services from Non-Federal Sources		1,892		2,050		2,050		0
25.3 Other Goods and Services from Federal Sources		2,823		2,164		2,752		588
25.4 Operation and Maintenance of Facilities		125		20		182		162
25.5 Research and Development Contracts		0		0		0		0
25.6 Medical Care		89		156		156		0
25.7 Operation and Maintenance of Equipment		351		392		392		0
25.8 Subsistence and Support of Persons		0		0		0		0
26.0 Supplies and Materials		415		244		244		0
31.0 Equipment		1,874		413		313		-100
32.0 Land and Structures		1,116		0		0		0
41.0 Grants, Subsidies, and Contributions		0		0		0		0
42.0 Insurance Claims and Indemnities		4		0		0		0
Total Obligations		86,310		88,577		93,709		5,132
Subtract - Unobligated Balance, Start-of-Year		0		0		0		0
Subtract - Transfers/Reprogramming		0		0		0		0
Subtract - Recoveries/Refunds		0		0		0		0
Add - Unobligated End-of-Year, Available		0		0		0		0
Add - Unobligated End-of-Year, Expiring		90		0		0		0
Total Direct Requirements	393	86,400	419	88,577	434	93,709	15	5,132
Reimbursable FTE								
Full-Time Permanent	21		21		21		0	

L. Status of Congressionally Requested Studies, Reports, and Evaluations

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Status of Congressionally Requested Studies, Reports, and Evaluations

1. The Consolidated Appropriation Act FY 2013, CR Statement, page 37, the OIG is directed to conduct a review of prison facilities operating under a contract with BOP to ensure that such facilities meet all appropriate standards. This review should also include a description of BOP's efforts to monitor the performance of contract prison facilities and recommendations for how BOP could improve such efforts. The OIG is in the initial stages of drafting the report, which is planned for release in 2015.
2. The Consolidated Appropriation Act FY 2014, CJS Statement of Managers, Title II, page 18, directs the OIG to engage an independent entity to conduct an assessment of the operation and management of the Department's Civil Rights Division (CRT). The report was released in January 2015.
3. The Consolidated Appropriation Act FY 2014, S. Report, Title II, page 89 OIG shall conduct audits and oversight of funds provided under the Comprehensive School Safety Initiative. The OIG shall also review concerns raised by the public about specific investments using funds made available in this program, and relay findings of their reviews to the Director of the NIJ and the Committees on Appropriations. NIJ and COPS have advised OIG that these initiatives will be funded in 2016.
4. The Consolidated Appropriation Act FY 2015, S. Report, Title II, page 73, directs the OIG to report to the Committees on Appropriations not later than 180 days after the date of enactment of this Act on the impact of Section 218 of this Act, which is designed to improve OIG access to Department documents and information. The OIG will issue its report in June 2015.
5. The Consolidated Appropriation Act FY 2015, H. Report, Title II, page 42, directs the OIG to review the Department's enforcement of the Foreign Agents Registration Act (FARA). This is a new requirement that is being assessed and does not have a due date.

M. Additional Required Information for OIG Budget Submissions

The Inspector General Reform Act of 2008 (P.L. 110-409) requires that the Department of Justice OIG submit the following information related to its requested budget for Fiscal Year 2016:

- *the aggregate budget request for the operations of the OIG is \$93,709,000;
- *the portion of this amount needed for OIG training is \$640,000;

The Inspector General of the Department of Justice certifies that the amount requested for training satisfies all OIG training needs for FY 2016.