

Summary of Agency Chief FOIA Officer Reports for 2014 and Assessment of Agency Progress in Implementing the President's FOIA Memorandum and the Attorney General's FOIA Guidelines With OIP Guidance for Further Improvement

Introduction

This year marks the fifth anniversary of the issuance of <u>Attorney General Holder's FOIA</u> <u>Guidelines</u>, and the fifth year that agencies have published their Chief FOIA Officer Reports. Agencies are held accountable for their administration of the FOIA through two reports filed each year with the Department of Justice (DOJ). Pursuant to <u>5 U.S.C. § 552(e)(1)</u>, by February 1st of each year, agencies are required to submit an Annual FOIA Report that, among other things, contains detailed statistics on the number of requests received and processed, the time taken to respond, and the number of any backlogged requests. A detailed summary of agency Annual FOIA Reports is prepared by the Office of Information Policy (OIP) every year.

Additionally, the Attorney General, who has stressed the importance of agency accountability in administering the FOIA, has directed Chief FOIA Officers to "review all aspect of their agencies' FOIA administration . . . and [to] report to the Department of Justice each year on the steps that have been taken to improve FOIA operations and facilitate information disclosures at their agencies." Each year since the issuance of the Attorney General's FOIA Guidelines, OIP has provided guidance to agencies on the content of their Chief FOIA Officer Reports. As agency implementation of the Attorney General's Guidelines has matured, OIP has continually refined the questions asked in the Chief FOIA Officer Reports to reflect that progress. Specifically, however, the Chief FOIA Officer Reports always cover five key areas:

- 1) the steps taken by the agency to apply the presumption of openness;
- 2) the steps taken to ensure that the agency has an efficient and effective system in place for responding to requests;
- 3) the steps taken to increase proactive disclosures;
- 4) the steps taken to greater utilize technology in FOIA administration; and
- 5) the steps taken to reduce any backlogs and to improve timeliness in responding to requests.

Over the past five years, the Chief FOIA Officer Reports have served as a valuable resource for both agencies and the public to review all of the efforts undertaken to improve FOIA across the government. As in prior years, after reviewing all agencies' 2014 Chief FOIA Officer Reports, as well as their Fiscal Year 2013 Annual FOIA Reports, OIP has prepared a brief summary of agency progress over the past year. OIP has also conducted a detailed assessment of all ninetynine agencies subject to the FOIA during Fiscal Year 2013, scoring each one on twenty-four separate milestones. Based on our review, OIP has also issued guidance to agencies for continued improvement in the years ahead.

<u>Summary of Agency Progress Based on the 2014 Chief FOIA Officer</u> <u>Reports</u>

In an effort to provide a timely overview of the information provided in agencies' 2014 Chief FOIA Officer Reports, this year for the first time OIP provided its summary of the Chief FOIA Officer Reports in a five-part series on *FOIA Post* that began during Sunshine Week 2014. The articles in this five-part series highlighted some of the successes reported by agencies in each of the five sections of the 2014 Chief FOIA Officer Reports. Specifically, the summaries provide a brief overview of some of the steps agencies have taken over the past year to: (1) apply the presumption of openness, (2) ensure that they have effective systems for responding to requests, (3) increase proactive disclosures, (4) greater utilize technology, and (5) reduce backlogs and improve timeliness. Agencies and the public are encouraged to review the individual 2014 Chief FOIA Officer Reports for even more detail on the successes achieved this past year in these five key areas of FOIA administration. The Chief FOIA Officer Reports can be found on the <u>Reports</u> page of OIP's website or on each agency's FOIA website.

Section I: Steps Taken to Apply the Presumption of Openness

Agencies described a wide range of efforts in this year's reports to ensure the proper application of the presumption of openness called for by the <u>President</u> and the <u>Attorney General</u>, including providing substantive FOIA training to agency FOIA professionals, engaging in outreach with the public, and making discretionary releases of information.

A proper understanding of the FOIA and the <u>Attorney General's FOIA Guidelines</u> is the first step towards applying the presumption of openness and a number of agencies made significant efforts this past year to provide substantive FOIA training to agency personnel. For example:

- At DOJ, OIP continued to expand its robust training program in an effort to provide quality FOIA training to FOIA professionals both within the Department and across all agencies. During this past year, OIP trained thousands of FOIA professionals on a wide range of issues. In an effort to provide important FOIA training to all federal employees, OIP will be releasing a suite of e-Learning training modules designed for every level of agency employee.
- The Office of Information Services at the Department of Labor (Labor) hosted the agency's Fifth Annual FOIA Training Conference. The three and a half day-event was provided via webcast and made available to 400 agency staff members nationwide. Materials from the training session and videos of each presentation were also made available to agency personnel for future use and self-paced learning opportunities.
- The Department of Defense (DOD) increased the use of the interactive virtual environment Defense Connect Online to provide FOIA training to agency professionals worldwide. Four training sessions were conducted for agency FOIA professionals, with each session recorded and made available on-demand for any

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member of the agency's FOIA community. Additionally agency FOIA leadership held numerous FOIA chats using the virtual tool, allowing for the quick dissemination of information regarding current events as well as offering agency professionals the ability ask and have questions answered by their leadership.

Agencies also described in their Chief FOIA Officer Reports the different ways they are engaging with civil society and the requester community to improve the customer experience and facilitate greater access to records. For example:

- The U.S. Citizenship and Immigration Service at the Department of Homeland Security (DHS) hosted more than thirty members of the American Immigration Lawyers Association for a tour of the agency's National Records Center. The agency also provided the attendees with a briefing regarding records operations within the agency and other DHS offices, and an overview of the agency's FOIA program.
- The Archivist of the United States and the General Counsel of the National Archives and Records Administration, who also serves as the agency's Chief FOIA Officer, reported having regular meetings with the agency's requester community to discuss issues related to records access, including access through the FOIA.

This year's <u>Chief FOIA Officer Reports</u> once again detailed agency efforts to make discretionary releases of information whenever possible. In 2010, OIP identified a correlation between agencies that have a process or system in place to review materials for discretionary release and the ability of agencies to make such releases. In this year's reports the majority of agencies reported having such a system or process in place, with over half of agencies reporting making such a release during the reporting period. These agencies found opportunities to make discretionary releases of information that otherwise would have been exempt under Exemptions 2, 5, 7D, 7E, and 8.

Section II: Steps Taken to Ensure That Your Agency Has an Effective System in Place for Responding to Requests

A key component of an agency having an effective system for responding to requests is the quality of its FOIA professionals who are on the frontlines of processing the increasing numbers of requests that are received each year. Both the President and the Attorney General have emphasized the importance of the work performed by agency FOIA professionals. In recognition of their important role, and in an effort to professionalize the government's FOIA and Privacy Act workforce, on March 9, 2012, the Office of Personnel Management announced the creation of a new job category specifically for FOIA and Privacy Act professionals called the Government Information Series. In their 2014 Chief FOIA Officer Reports, many agencies reported that they had converted the majority, if not all, of their eligible FOIA staff to the new job series including DOJ, Labor, the Department of the Interior (DOI), the Consumer Financial Protection Bureau (CFPB), and the National Aeronautics and Space Administration. Agencies that had not yet converted all of their eligible FOIA personnel to the new job series provided plans in their report for doing so in the upcoming year.

For the 2014 reports, agencies were also asked if they were able to adjudicate requests for expedited processing in an average of ten calendar days or less during Fiscal Year 2013. In response, several agencies reported that they did not receive any requests for expedited processing, but of the agencies that did adjudicate such requests, fifty-one including thirteen of the fifteen cabinet departments reported that they were able to do so within an average of ten calendar days or less. Notably, the three agencies that adjudicated the most requests for expedited processing during Fiscal Year 2013, DHS (1,480), DOJ (1,017), and DOD (1,014), all reported an average of five days or less. For those agencies that did not maintain an average of ten days or less, many outlined aggressive plans in their reports for improvement during Fiscal Year 2014.

In line with OIP's previously released <u>guidance</u> on procedures for processing consultations and referrals, many agencies highlighted additional steps they have taken to make the handling of such items more efficient and effective. A number of agencies reported taking affirmative steps to create efficiencies by entering into agreements with other agencies or agency components on how to process records that are a common source of consultations or referrals. For example, at DOJ, the Executive Office for Immigration Review continued to work under a longstanding agreement with DHS on the processing of immigration records, and the Federal Bureau of Investigation reached an agreement

Notably, at the end of Fiscal Year 2013, ninety-one of the ninety-nine agencies subject to the FOIA reported having less than ten consultations pending at their agency, with seventy-four reporting that they had none pending.

within the Intelligence Community on the processing of certain information. Other agencies reported employing shared document environments to allow for more efficient means of collaborating on material that required consultation. Notably, at the end of Fiscal Year 2013, ninety-one of the ninety-nine agencies subject to the FOIA reported having less than ten consultations pending at their agency, with seventy-four reporting that they had none pending.

This year's reports also detailed over-arching steps agencies have undertaken to ensure that their FOIA systems operate efficiently and effectively, including:

- The General Services Administration (GSA) consolidating and centralizing its FOIA program during the reporting period, thereby creating a single point of intake for all agency FOIA requests. As a result of this restructuring, the agency expects to have increased accountability and quality control in its administration of the FOIA, as well as improved communication with FOIA requesters.
- The Department of the Treasury (Treasury) implemented the next phase of its goFOIA electronic system, which has improved its reporting abilities, allowing agency leadership to view more accurate and detailed weekly and monthly FOIA reports that they can now use to more accurately gauge FOIA performance throughout the year.
- Like a number of other agencies, the FOIA office at the Nuclear Regulatory Commission (NRC) began conducting a case-by-case review of its FOIA administration to determine any trends contributing toward delays and to identify any areas where efficiencies could help the agency reduce its request backlog.

As a part of their 2014 Chief FOIA Officer Reports, agencies also overwhelmingly noted that they communicate with requesters through electronic means whenever possible and that they are informing the public of the mediation services offered by the Office of Government Information Services in their administrative appeal responses.

Section III: Steps Taken to Increase Proactive Disclosures

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Both the President and the Attorney General have emphasized the need for agencies to work proactively to post information online without waiting for individual requests to be received. As a part of the guidelines for the 2014 Chief FOIA Officer Reports, OIP asked agencies to detail the steps "taken to both increase the amount of material that is available on [their] website[s]" as well as "the usability of such information." In their reports for this year, agencies provided numerous details on the systems they have in place for identifying records for proactive disclosures, how they are making posted material more useful to the public, and how they are notifying the public of newly posted material.

In order to answer the Attorney General's call for agencies to "readily and systematically post information online" it is important that each agency have a process in place to identify records for proactive disclosures. Utilizing different strategies tailored to serve the community of individuals who most frequent their websites, many agencies described the distinct processes they have put in place to identify records of public interest for proactive disclosures. For example, FOIA professionals at GSA use their networking system to work with key agency offices to anticipate records of public interest that could be proactively disclosed online. In addition to systematically reviewing records requested under the FOIA for posting online, the Office of Personnel Management routinely sends notices to program offices reminding them of the need to proactively disclose data and records. At the Department of Health and Human Services' Centers for Medicare and Medicaid Services the FOIA Office works closely with program managers to identify and proactively post records for which there might be a high public interest.

Using various methods to identify proactive disclosures, agencies also provided a wealth of examples of new or regularly updated information posted on their websites, including:

- DHS has proactively posted over 16,000 pages of records since March 2013, including daily schedules of senior leaders and procurement records.
- With more frequency and in greater volume, components of Labor posted FOIA logs, annual reports, policy guidance, historical reports, mission reports, government purchase card holder lists, strategic plans, contracts information and listings, lists of accessioned documents, press releases, testimonies and speeches, workplace accident reports, investigations, audit reports, proposals and abstracts for grant applications, reports to Congress, Equal Employment Opportunity complaint data, veterans information and links on worker healthy living.
- CFPB has continued to expand its Consumer Complaint Database, launched in June 2012, to include various types of complaints and data for over 176,000 complaints.
- The Department of Energy's website for agency data provides a central location for information about data released by the agency, including an agency-wide data index that provides metadata and URLs to publicly available datasets.

In addition to identifying and posting new material, agencies also detailed how they are taking steps to make posted material more useful to the public. For example:

• DOI's Bureau of Ocean Energy Management posted an interactive map displaying and describing its renewable energy-related activities in the United States. Similarly, Amtrak's train locator map, an interactive tool created in partnership with Google,

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tracks any of the 300 daily trains operated by Amtrak and provides consumers with more accurate predicted arrival times.

- The U.S. Agency for International Development continues to make agency data available in standard, nonproprietary, and machine-readable formats, and solicits public feedback on the information that the agency makes available. Users can interact with agency data, see how other members of the public are using the data, and leave questions or comments about the data for the agency to respond.
- At the Department of Agriculture, the Food and Safety Inspection Service uses an interactive resource called "Ask Karen" to provide information to consumers about preventing foodborne illness, safe food handling and storage, and safe preparation of meat, poultry and egg products.

Finally, agencies described in their 2014 Chief FOIA Officer Reports steps they are taking to publicize or highlight important proactive disclosures in order to inform the public of their availability, including using social media. Twitter, Facebook, YouTube, and Flickr are just some of the social media outlets used by agencies over the past year to highlight new postings.

Section IV: Steps Taken to Greater Utilize Technology

A key component of the <u>President's FOIA Memorandum</u> is the call for agencies to "use modern technology to inform citizens about what is known and done by their Government." In response to this directive, agencies have utilized advanced technology to not only make more information available online and improve their websites, but also to assist in their overall administration of the FOIA. Each year, OIP asks agencies to describe in their Chief FOIA Officer Reports the steps they have taken to greater utilize technology in their FOIA administration.

As a part of the first Chief FOIA Officer Reports, submitted in 2010, agencies were surveyed to determine the extent to which they were using technology to receive, track, and process requests, and to prepare their Annual FOIA Reports. As has been done for each section of the Chief FOIA Officer Report, every year OIP has refined the questions for t

his section as the use of technology in FOIA has matured. For 2014, agencies were asked to report on whether they provide requesters the ability to track the status of their requests online, and if so, to provide details regarding the functionality of such online services. Agencies were also asked to report on the extent to which they are using more advanced technologies to assist with the processing of requests. Finally, agencies were asked whether there were any additional tools that would be helpful to achieving further efficiencies at their agency.

Over a quarter of the agencies reported offering requesters the ability to track the status of requests online, with decentralized agencies like the Departments of <u>Housing and Urban</u> <u>Development</u>, <u>Labor</u>, and <u>DOI</u> offering such services for all of their components. The form and functionality of the online tracking provided by these agencies varies. For example, a number of agencies reported providing tracking through online portals, while some other agencies

explained that they regularly post updated request logs with status information on their websites. In addition to providing online tracking, some agencies reported that they provide requesters estimated dates of completion through these services. Of the agencies that do not currently provide online tracking, about half reported that they were taking steps to establish this capability. For those agencies that do not plan to

Over a quarter of the agencies reported offering requesters the ability to track the status of requests online. establish online tracking at this time, many reported that such services would not provide a substantial public benefit given the small number of requests they receive and their short processing times.

The use of technology to reduce the time and labor needed to process requests, such as technology that can sort and de-duplicate documents, provide shared platforms to facilitate consultations, or improve search capabilities, has great potential for improving agencies' FOIA administration. For 2014, many agencies once again reported that they are taking steps to utilize more advanced technology to assist with the processing of requests. For example:

- The <u>Privacy Office and fifteen of DHS's</u> components deployed a new web-based tracking application that includes a number of features for the agency's FOIA professionals including new search capabilities and the ability to share documents across offices for consultations and referrals. Additionally, DHS added a new de-duplication capability that allows FOIA staff to upload e-mail correspondence files and de-duplicate the correspondence based on a comparison process performed by the application.
- The <u>Department of State</u> is updating its records archive, the State Archiving System, with new tools to assist its FOIA staff in conducting searches in response to FOIA requests. The agency plans further improvements to this custom-built system, including the ability to search across document formats, streamlining the process of identifying duplicate messages, and adding a 25-year review enhancement for classified material.
- <u>NRC</u> is in the process of implementing an advanced document review module which utilizes logarithmic record analysis capabilities to de-duplicate voluminous record sets, which will reduce the processing time for large volume requests.

A number of agencies reported that they could benefit from the types of tools described above that provide shared platforms for consultations and that would assist with the search, deduplication, and review of large volumes of records. As agencies continue to acquire such tools for use in their FOIA operations, they can expect to see greater efficiencies in their overall FOIA administration. As the Chief FOIA Officer Reports continue to illustrate, agencies are eager to use more advanced technology to streamline their FOIA administration.

Section V: Steps Taken to Improve Timeliness in Responding to Requests and Reduce Backlogs

Both the <u>President</u> and the <u>Attorney General</u> have emphasized the importance of improving timeliness in responding to requests. In his <u>FOIA Memorandum</u> issued on his first full day in office, President Obama directed agencies to "act promptly" when responding to requests. Attorney General Holder similarly emphasized in his <u>FOIA Guidelines</u> that "[t]imely disclosure of information is an essential component of transparency . . . [and that] [l]ong delays should not be viewed as an inevitable and insurmountable consequence of high demand." For the 2014 Chief FOIA Officer Reports, agencies were asked to provide detailed information on their average processing times for simple requests and their efforts to reduce backlogs and close their ten oldest requests, appeals, and consultations. Those agencies that had a request backlog of over 1,000, and did not reduce that backlog, were also required to provide a plan for achieving backlog reduction in the year ahead. Likewise, agencies that did not close their ten

oldest requests, appeals, or consultations were required to describe their plans for closing those requests, appeals or consultations by the next fiscal year.

Because of the strong correlation between the type of request that is made and the ability of the agency to respond to that request more quickly, in 2012, OIP established a milestone that addresses whether the agency overall responded to requests in its simple track within an average of twenty working days or less. Agencies were once again required to report on this metric in their 2014 Chief FOIA Officer Reports. Sixty-three agencies, including seven of the fifteen cabinet level agencies, reported that they were either able to process their simple-track requests in an average of twenty-working days or less, or if they did not utilize multi-track processing, they were able to process all of their non-expedited requests within that average timeframe.

With regard to request backlogs, fifty-five agencies reported that they were either able to reduce the number of requests in their backlog at the end of Fiscal Year 2013 or they had no backlog to reduce. Additionally, four agencies reported no change in their request backlog, and twelve agencies reported a slight increase of up to five backlogged requests. Twenty-eight agencies experienced a backlog increase of more than five requests. Notably, however, seventy-three agencies were able to maintain a small request backlog of 100 requests or less, with twenty-nine of these agencies reporting no backlog at all.

For administrative FOIA appeals, seventy-three agencies reported that they were either able to reduce the number of appeals in their backlog at the end of Fiscal Year 2013 or they had no backlog to reduce. Three agencies had no change in their appeals backlog, and fifteen agencies reported a slight increase of up to five backlogged appeals. While eight agencies reported a backlog increase of over five appeals, a total of eighty-two agencies maintained a backlog of twenty or less appeals.

As in previous years, agencies that experienced an increase in their request or appeal backlogs explained the causes that contributed to those increases in their 2014 Chief FOIA Officer Reports. Some of the common factors reported by agencies included an increase in the number of incoming requests, loss of FOIA staff, and an increase in the complexity of the requests or appeals received by the agency.

<u>Backlog and Ten Oldest</u> <u>Statistics</u>

- Seventy-three agencies were able to maintain a small request backlog of 100 requests or less, with twenty-nine of these agencies reporting no backlog at all.
- Eighty-two agencies maintained a backlog of twenty or fewer appeals.
- Sixty-eight agencies reported that they were able to close all of their oldest requests from FY 2012 by the end of FY 2013, or had no ten oldest to close.

A critical element of the government's efforts to reduce backlogs and answer the <u>President's</u> and <u>Attorney General's</u> call to provide timely disclosures of information is closing the ten oldest pending requests, appeals, and consultations at each agency every year. Sixty-eight agencies reported that they were either able to close all ten of their oldest requests from Fiscal Year 2012 by the end of Fiscal Year 2013, or they had no ten oldest to close. With regard to appeals, eighty agencies were either able to close their ten oldest pending appeals or they had no ten oldest to close. Finally, ninety-three agencies were able to close their ten oldest pending consultations or they continued to maintain no pending consultations at the end of the fiscal year.

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Agencies were also asked to report in their <u>2014 Chief FOIA Officer Reports</u> on whether they have a system in place for providing requesters substantive interim responses when appropriate. In the spirit of providing more timely disclosures of information, OIP issued <u>guidance</u> in 2010 to agencies encouraging the use of interim releases whenever a request involves a voluminous amount of material or a search in multiple locations is required. The vast majority of agencies reported that they did have a process in place for making interim responses. Additionally, each agency reported an estimate of the number of cases in their backlog for which an interim response was provided.

Use of Law Enforcement Exclusions

On September 14, 2012, OIP issued <u>guidance</u> to agencies on the implementation of the FOIA's statutory exclusion provisions, which included four new requirements that bring greater accountability and transparency to this part of the law. One of the requirements of the new guidance is that agencies must now publicly report in their Chief FOIA Officer Reports on the number of times they used an exclusion during the prior fiscal year. During Fiscal Year 2013, only seven agencies reported using an exclusion for a total of 135 times. These seven agencies were DOJ, the U.S. Securities and Exchange Commission, DHS, the Tennessee Valley Authority, the Department of Transportation, Treasury, and the Department of Commerce. When compared to the 482,357 requests reviewed by the government during Fiscal Year 2013 for exemption applicability, exclusions were used in response to 0.02% of requests processed for disclosure.

<u>2014 Assessment of Agency Progress in Implementing the President's FOIA</u> <u>Memorandum and the Attorney General's FOIA Guidelines</u>

For the 2014 assessment, OIP selected twenty-four milestones for scoring which are each tied to one of the five key areas addressed in the <u>Chief FOIA Officer Reports</u>. These milestones were chosen as indicative of progress made in each area, but they are by no means exclusive. Agencies include in their <u>Chief FOIA Officer Reports</u> a wide range of accomplishments and initiatives that have been undertaken to improve their administration of the FOIA. As these reports themselves provide a more comprehensive picture of each agency's work in implementing the <u>Attorney General's FOIA Guidelines</u>, this assessment is designed to provide a visual snapshot of several key areas of agency FOIA administration and is meant to be read in conjunction with the <u>Chief FOIA Officer Reports</u>. The assessment readily illustrates many areas where agencies have made real progress in the past year and also serves to highlight areas where further improvements can be made.

The assessment covers all ninety-nine agencies that were subject to the FOIA during Fiscal Year 2013. As in prior years, agencies are scored on the different milestones based on a stoplight scoring system. However, for 2014 OIP has expanded the assessment in several ways to provide greater detail as to the levels of success achieved by agencies during the reporting period. In prior years, all of the milestones connected to the five sections of the <u>Chief FOIA</u> <u>Officer Reports</u> were scored on one page and those scores were all based on a three-step scoring system. The 2014 assessment now includes:

- <u>An expanded five-step scoring system</u> In an effort to add greater gradation that more accurately illustrates the levels of success achieved by agencies, OIP uses an expanded five step scoring system for certain milestones in the 2014 assessment.
- <u>Overall scores for each assessed section</u> An overall agency score is also provided for each of the assessed sections.
- <u>Additional narrative information from agency reports</u> Agencies provide a wealth of information as a part of their Chief FOIA Officer Reports that do not lend themselves to scoring, but are still very informative as to their efforts to improve their FOIA administration. Section IV, in particular, which addresses how agencies are utilizing technology, was such a section and so was not scored this year. Instead, for this section information is conveyed through responses to a sample of questions regarding technology use.

In an effort to provide greater clarity as to how OIP scored agencies in this year's assessment, for the first time OIP is providing a detailed methodology of how each milestone was scored and how the overall scores for each section were calculated. This information can be found before the first page of the 2014 assessment below.

As in prior years, questions assessed on the three-step scoring system use a score of dark green, yellow, and red. Dark green indicates that the agency met the milestone, yellow indicates partial progress, and red indicates that the milestone was not met. For the five-step scoring system, the colors light green and orange were added to provide more gradation as to the progress the agency has made in the area being scored.

The time period for the assessment is generally March 2013 to March 2014, which is the period covered by the <u>2014 Chief FOIA Officer Reports</u>. For the milestones concerning average

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time to adjudicate requests for expedited processing, processing times for simple track requests, backlogs, and the ten oldest requests, appeals, and consultations, the time period is Fiscal Year 2013. The data for these metrics was compiled from agency <u>Annual FOIA Reports</u>, which are available on both <u>FOIA.gov</u> and OIP's <u>Reports</u> page.

<u>Conclusion and OIP Guidance for Improvement Based on the 2014</u> <u>Assessment of Agency Progress in Implementing the</u> <u>President's FOIA Memorandum and the Attorney General's FOIA</u> <u>Guidelines</u>

As is evident by the summary above and this year's assessment, agencies continued to improve their administration of the FOIA through various initiatives connected to the five key areas of the Attorney General's FOIA Guidelines. Agencies continue to build off the successes of prior years to improve the way they apply the presumption of openness, make more proactive disclosures, utilize technology for the benefit of FOIA, improve timeliness, and reduce backlogs. At the same time, during this reporting period there is no doubt that many agencies faced some very tough challenges. While significant improvements can clearly be seen for the government overall, the successes achieved by individual agencies can vary. As in prior years, OIP's Assessment serves as a visual snapshot of where each agency should focus its efforts in the upcoming year to achieve even greater success in implementing the President's Memorandum on the FOIA and the Attorney General's FOIA Guidelines. To assist agencies, OIP offers the following guidance based on our review and assessment of agencies' FOIA administration during this past year.

Continue to Focus on Substantive FOIA Training

The first step towards any successful FOIA operation is a proper understanding of how to apply the FOIA's statutory requirements and the President's and Attorney General's FOIA Memoranda. Last year, OIP issued <u>guidance</u> advising agencies to make FOIA training available to all of their FOIA professionals at least once each year. OIP also emphasized that "it is essential that FOIA training programs cover core, substantive aspects of FOIA administration."

Given the importance of training for the success of an agency's FOIA administration, OIP expanded this section of the 2014 Chief FOIA Officer Reports to not only capture whether an agency held training events during the year or if an agency's FOIA professionals attended training, but to also include an estimate of the percentage of the FOIA professionals at each agency who attended substantive FOIA training. Additionally, OIP's 2014 Chief FOIA Officer Report Guidelines required agencies to provide a plan for ensuring that substantive FOIA training will be offered to all of their FOIA professionals by March 2015. Agencies were advised that they would be asked to report on whether all of their FOIA professionals attended substantive FOIA training in their 2015 Chief FOIA Officer Reports.

During this upcoming year agencies should implement the training plans reported in their Chief FOIA Officer Reports. Agencies should also take steps to ensure that all of their FOIA professionals attend substantive FOIA training at least once throughout the year. The level of training needed by agency FOIA professionals will necessarily vary based on the numbers and complexity of the requests the agency receives. Nevertheless, it is important that all professionals that handle FOIA requests at an agency, whether small or large, participate in necessary FOIA training throughout the year so that they can be current with the state of the law.

Agencies that are in need of training are encouraged to take advantage of the many training opportunities available around the government or to plan a comprehensive FOIA conference tailored to the needs of their own agency. OIP regularly conducts a number of government-wide training sessions that cover all aspects of the FOIA. OIP's subject matter experts are also available to provide specialized training for agencies on any FOIA topic.

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Additionally, OIP will soon be providing an e-Leaning FOIA course for FOIA professionals that will be available for all agencies. For more information about OIP's upcoming training events and how to attend please visit the <u>"Training"</u> page of our website. Agencies can also contact OIP's Training Officer to arrange for assistance in holding an in-house training program.

Converting FOIA Professionals to the Government Information Specialist Job Series

As noted in the summary above, both the President and the Attorney General have emphasized the importance of the work performed by agency FOIA and Privacy Act professionals and the vital role they play in maintaining a transparent and accountable government. In recognition of their important role and in an effort to professionalize the government's FOIA and Privacy Act workforce, on March 9, 2012 the Office of Personnel Management announced the creation of a new job category specifically for FOIA and Privacy Act professionals called the Government Information Series. All agencies were required to convert their FOIA and Privacy Act professionals to this new job series by March 2013. While many agencies met the 2013 deadline, there are a number of agencies that are still working towards converting their FOIA professionals to the new job series. All agencies that have yet to convert their eligible FOIA and Privacy Act professionals to the new job series should do so in this upcoming year.

Adding Distinct Steps to Identify Discretionary Releases and Taking an Active Role in Making Proactive Disclosures

The Attorney General's FOIA Guidelines encourage agencies to make discretionary releases whenever possible and to increase proactive disclosures. Every year since the issuance of the Guidelines agencies have reported in their Chief Officer Reports on both the types of information they have released as a matter of discretion and the types of records they have proactively disclosed online. As discussed in the summary above, after our first review of agency Chief FOIA Officer Reports, OIP found a strong correlation between those agencies that took the time to review their records with the presumption of openness in mind and those that were able to find additional information that could be released. Accordingly, OIP issued guidance advising agencies that they "should institute a system, or add a step in their processing procedures, to affirmatively consider whether more information can be released as a matter of discretion."

Similarly, agencies that have a distinct step in their FOIA process for identifying proactive disclosures are in a better position to identify more information that can be proactively posted online through their FOIA offices. While program offices across each agency are all responsible for proactively disclosing information, FOIA offices should take an active role in identifying records for proactive disclosure. FOIA offices are uniquely situated within each agency to have a sense of the types of material the public is seeking. To the extent that knowledge is used to identify proactive disclosures, the agency will be more fully implementing the Attorney General's FOIA Guidelines.

Methodology and Breakdown of Scores for DOJ's 2014 Assessment of Agency Progress in Implementing the President's FOIA Memorandum and the Attorney General's FOIA Guidelines

Color/Score Breakdown

- Dark Green (DG) 4
- Light Green (LG) 3
- Yellow (Y) 2

Overall Section Score Breakdowns (Averages)*

- 4.0 to 3.5 Dark Green
- 3.4 to 3.0 Light Green
- 2.9 to 2.5 Yellow

- Orange (O) 1
 Red (R) 0
- 2.4 to 2.0 Orange
- 1.9 and below Red

* As indicated above, each score is assigned a numerical value from zero to four. For the overall score for each section of the Assessment, the total number of points the agency achieved based on its scores in that section were averaged.

Section I: Steps Taken to Apply the Presumption of Openness

- FOIA Training
 - Held Conference/Conducted Training
 - Dark Green: Held training or had a FOIA conference (one or multiple)
 - Light Green: Held staff meetings that included some FOIA training; holding training after reporting period
 - Yellow: Training is in planning stages
 - Orange: Will explore options for holding training
 - Red: Did not hold training
 - FOIA Staff Attended Outside Training (Yes or No) for informational purposes
 - Estimate of Staff who Attended Training
 - Dark Green: 100% to 81%
 - Light Green: 80% to 61%
 - Yellow: 60% to 41%
 - Orange: 40% to 21%
 - Red: 20% and below
- Agency Conducted Outreach with Requester Community
 - Dark Green: Agency conducted outreach
 - Yellow: Agency conducted outreach with requesters during request/appeal process
 - Red: Agency did not conduct any outreach
- Discretionary Disclosures
 - Process in Place to Review
 - Dark Green: Agency has a distinct process in place for review (if decentralized, see next question)
 - Yellow: Agency does not have a separate process in place outside of normal review
 - Red: Agency does not review for discretionary release
 - All Components Have a Process for Review*
 - Dark Green: Yes
 - Red: No
 - N/A: Agency is not decentralized
 - Agency made a discretionary disclosure during reporting period

- Dark Green: Agency answered that they did
- Red: Agency answered that they did not
- N/A: Agency answered that they did not have the opportunity based on requests received
- Exemptions Used in Connection with Discretionary Disclosures For informational purposes (not scored separately)
- Agency Posted all Fiscal Year 2013 Quarterly Reports
 - Dark Green: Agency did successfully, with data appearing on FOIA.gov
 - Light Green: Agency did, but data did not appear on FOIA.gov due to technical reasons
 - \circ $\,$ Yellow: Agency posted two or three of the reports, and reached out to OIP to resolve issues
 - Orange: Agency posted at least one report, and is working with OIP to resolve issues
 - Red: Agency did not post any reports

Section II: Steps Taken to Ensure that Your Agency Has an Effective System in Place for Responding to Requests

- Personnel
 - Has agency converted all FOIA Professionals to new GIS Series
 - Dark Green: 100% to 81%
 - Light Green: 80% to 61%
 - Yellow: 60% to 41%
 - Orange: 40% to 21%
 - Red: 20% and below
 - N/A: No eligible personnel
 - Proportion of Personnel that Have Been Converted For informational purposes
- Expedited Requests
 - Did agency maintain an average of 10 calendar days or less to adjudicate requests
 - Dark Green: Less than 10 days
 - Light Green: Between 10.1 and 10.5 days
 - Yellow: Between 10.6 and 11.0 days
 - Orange: Between 11 and 12 days
 - Red: Over 12 days
 - N/A: Did not adjudicate such a request during the fiscal year
 - Average time to Adjudicate For informational purposes
- Consultations/Referrals
 - Dark Green: Agency is taking steps to make the handling of referrals/consultations more efficient
 - Alternatively: Agency previously took steps and continues to have an efficient process to handle the referrals/consultations received
 - Yellow: Agency is looking into what steps could be taken to make referrals/consultations more efficient
 - $\circ~$ Red: Agency is not taking steps to make referrals/consultations more efficient or examining options to do so
 - N/A: Agency receives a low number of consultations/referrals that does not require any additional steps
- Requester Services
 - Agency uses electronic means to communicate with requesters (when feasible)

- Dark Green: Agency answered yes
- Light Green: Agency answered yes, but noted that there is limited opportunity
- Yellow: Agency would like to use electronic communications, but cannot do so because of the nature of the records or because its current system is not set up for it, but the agency is working to resolve these issues
- Orange: Agency would like to, but current systems not set up to allow it
 Red: Agency answered no
- Agency notifies requesters of mediation services offered by OGIS
 - Dark Green: Agency answered yes
 - Alternatively: Agency would, but hasn't had the opportunity (such as if no appeals received)
 - Yellow: Agency answered no, but will begin doing so
 - Alternatively: Agency answered no, but is looking in to doing so
 - Red: Agency answered no and is not taking steps to do so

Section III: Steps Taken to increase Proactive Disclosures

- Agency has system in place to identify records for proactive disclosure
 - Dark Green: Agency answered yes
 - Light Green: If decentralized Agency answered that most components have formal systems
 - Yellow: Agency makes a lot of information available, but no set system
 - Alternatively: Agency answered no but provided examples of information posted (beyond required postings or press releases)
 - Orange: If decentralized Agency answered that most components do not have formal systems
 - Red: Agency answered no
- Making Material More Useful

0

- Beyond posting, agency is taking steps to make posted information more useful
 - Dark Green: Agency answered yes
 - Alternatively: Agency answered that it is already making information available in its most useful format
 - Yellow: Agency is looking into how they would do so
 - Alternatively: Agency noted that they do not operate their website inhouse
 - Red: Agency answered no
- Agency notified public of important proactive disclosures
 - Dark Green: Agency answered yes. This includes any active methods of notification such as using social media accounts, issuing press releases, and offering e-mail subscription services.
 - Yellow: Agency noted that they don't actively notify the public, but do spotlight new postings on their websites.
 - Red: Agency answered no
- Agency used social media to publicize proactive disclosures For informational purposes (not scored separately)
- Has agency encountered challenges to posting material online For informational purposes (not scored separately)

Section IV: Steps Taken to Greater Utilize Technology (all answers provided as "Yes," "No," or "N/A") – This Section is not scored

Section V: Steps Taken to Improve Timeliness in Responding to Requests and Reduce Backlogs

- Simple Track
 - Agency has a separate simple track for processing requests Yes or No
 - Score:
 - Dark Green: Average time to process simple track requests is below 20 working days *or* if the agency does not have multi-track processing, the average processing time for all non-expedited requests is below 20 working days
 - Light Green: Average time to process simple track requests is between 20.01 and 22 working days, *or* if the agency does not have multi-track processing, the average processing time for all non-expedited requests is between 20.01 and 22 working days
 - Yellow: Average time to process simple track requests is between 22.01 and 23 working days, *or* if the agency does not have multi-track processing, the average processing time for all non-expedited requests is above 22.01 working days
 - Orange: Average time to process simple track requests is between 23.01 and 25 working days
 - Red: Average time to process simple track requests is above 25.01 working days
 - Average number of days to process For informational purposes
- Agency decreased number of backlogged requests and appeals
 - o Requests
 - Dark Green: Agency backlog decreased (or backlog was constant at 0)
 - Yellow: Agency backlog was the same as in the previous fiscal year (not zero) or it increased within five requests
 - Red: Agency backlog increased by more than five requests
 - o Appeals

0

- Dark Green: Agency backlog decreased (or backlog was constant at zero)
- Yellow: Agency backlog was the same as in the previous fiscal year (not zero) or it increased within five appeals
- Red: Agency backlog increased by more than five appeals
- Percentage of backlog to number of requests and appeals received in Fiscal Year 2013
 - Percentage of backlog as compared to requests received
 - Dark Green: 0% to 10%
 - Light Green: 11% to 20%
 - Yellow: 21% to 30%
 - Orange: 31% to 40%
 - Red: 41% and above
 - Percentage of backlog as compared to appeals received
 - Dark Green: 0% to 10%
 - Light Green: 11% to 20%
 - Yellow: 21% to 30%
 - Orange: 31% to 40%
 - Red: 41% and above
- Agency closed ten oldest requests, appeals, and consultations

- Requests (if red, assessment includes the number closed)
 - Dark Green: Agency answered yes (or no ten oldest to close)
 - Red: Agency answered no
- Appeals (if red, assessment includes the number closed)
 - Dark Green: Agency answered yes (or no ten oldest to close)
 - Red: Agency answered no
- Consultations (if red, assessment includes the number closed)
 - Dark Green: Agency answered yes (or no ten oldest to close)
 - Red: Agency answered no
- Interim Responses
 - Agency has system in place to provide interim responses when appropriate
 - Dark Green: Agency answered yes
 - Red: Agency answered no
 - N/A: Does not have the opportunity to provide such responses
 - Percentage of agency backlog or number of requests that received a substantive interim response For informational purposes (not scored separately)



Agency	Acronym	Overall Score for Section I	Overall Score for Section II	Overall Score for Section III	Overall Score for Section V
Administrative Conference of the United States	ACUS				
American Battle Monuments Commission	ABMC				
National Railroad Passenger Corporation - Amtrak	Amtrak				
Armed Forces Retirement Home	AFRH				
Board of Governors of the Federal Reserve System	FRB				
Broadcasting Board of Governors	BBG				
Central Intelligence Agency	CIA				
Chemical Safety and Hazard Investigation Board	CSB				
Committee for Purchase from People Who Are Blind or Severely Disabled	CPPBSD				
Consumer Financial Protection Bureau	CFPB				
Commodity Futures Trading Commission	CFTC				
Corporation for National and Community Service	CNCS				
Council of the Inspectors General on Integrity and Efficiency	CIGIE				
Council on Environmental Quality	CEQ				
Court Services and offender Supervision Agency	CSOSA				
Defense Nuclear Facilities Safety Board	DNFSB				
Department of Agriculture	USDA				
Department of Commerce	DOC				
Department of Defense	DOD				
Department of Education	ED				



Agency	Acronym	Overall Score for Section I	Overall Score for Section II	Overall Score for Section III	Overall Score for Section V
Department of Energy	DOE				
Department of Health and Human Services	HHS				
Department of Homeland Security	DHS				
Department of Housing and Urban Development	HUD				
Department of Justice	DOJ				
Department of Labor	Labor				
Department of State	State				
Department of the Interior	DOI				
Department of the Treasury	Treasury				
Department of Transportation	DOT				
Department of Veterans Affairs	VA				
Environmental Protection Agency	EPA				
Equal Employment Opportunity Commission	EEOC				
Export-Import Bank	Ex-Im Bank				
Farm Credit Administration	FCA				
Farm Credit System Insurance Corporation	FCSIC				
Federal Communications Commission	FCC				
Federal Deposit Insurance Corporation	FDIC				
Federal Election Commission	FEC				
Federal Energy Regulatory Commission	FERC				



Agency	Acronym	Overall Score for Section I	Overall Score for Section II	Overall Score for Section III	Overall Score for Section V
Federal Financial Institutions Examination Council	FFIEC				
Federal Housing Finance Authority	FHFA				
Federal Labor Relations Authority	FLRA				
Federal Maritime Commission	FMC				
Federal Mediation and Conciliation Service	FMCS				
Federal Mine Safety and Health Review Commission	FMSHRC				
Federal Open Market Committee	FOMC				
Federal Retirement Thrift Investment Board	FRTIB				
Federal Trade Commission	FTC				
General Services Administration	GSA				
Institute of Museum and Library Services	IMLS				
Inter-American Foundation	IAF				
Legal Services Corporation	LSC				
Merit Systems Protection Board	MSPB				
Millennium Challenge Corporation	MCC				
National Aeronautics and Space Administration	NASA				
National Archives and Records Administration	NARA				
National Capital Planning Commission	NCPC				
National Credit Union Administration	NCUA				
National Endowment for the Arts	NEA				



Agency	Acronym	Overall Score for Section I	Overall Score for Section II	Overall Score for Section III	Overall Score for Section V
National Endowment for the Humanities	NEH				
National Indian Gaming Commission	NIGC				
National Labor Relations Board	NLRB				
National Mediation Board	NMB				
National Science Foundation	NSF				
National Transportation Safety Board	NTSB				
Nuclear Regulatory Commission	NRC				
Occupational Safety and Health Review Commission	OSHRC				
Office of Government Ethics	OGE				
Office of Management and Budget	OMB				
Office of National Drug Control Policy	ONDCP				
Office of Navajo and Hopi Indian Relocation	ONHIR				
Office of Personnel Management	OPM				
Office of Science and Technology Policy	OSTP				
Office of Special Counsel	OSC				
Office of the Director of National Intelligence	ODNI				
Office of the United States Trade Representative	USTR				
Overseas Private Investment Corporation	OPIC				
Peace Corps	PC				
Pension Benefit Guaranty Corporation	PBGC				



Agency	Acronym	Overall Score for Section I	Overall Score for Section II	Overall Score for Section III	Overall Score for Section V
Postal Regulatory Commission	PRC				
Railroad Retirement Board	RRB				
Recovery Accountability and Transparency Board	RATB				
Securities and Exchange Commission	SEC				
Selective Service System	SSS				
Small Business Administration	SBA				
Social Security Administration	SSA				
Special Inspector General for Afghanistan Reconstruction	SIGAR				
Surface Transportation Board	STB				
Tennessee Valley Authority	TVA				
U.S. African Development Foundation	USADF				
U.S. Agency for International Development	USAID				
U.S. Commission on Civil Rights	USCCR				
U.S. Consumer Product Safety Commission	USCPSC				
U.S. Copyright Office	USCO				
U.S. International Boundary and Water Commission	USIBWC				
U.S. International Trade Commission	USITC				
U.S. Postal Service	USPS				
U.S. Trade and Development Agency	USTDA				



U.S. Department of Justice

	Section I Steps Taken to Apply the Presumption of Openness											
	FOIA Trai	ning During Reportin					ary Disclosures		Posted all FY			
		FOIA Staff	Estimate of FOIA	Agency Conducted	Process in	All Components		Exemptions Used in	2013	Overall		
	Held Conference/		Staff who Attended		Place to	Have a Process for	Made a Dis.	Connection with Dis.	Quarterly	Score for		
Agency (Conducted Training	Training	Training	Community	Review	Review	Disclosure	Disclosure	Reports	Sec. I		
ACUS		Yes				N/A	N/A	N/A				
/1000		100				14,77	,,,					
ABMC		No				N/A	N/A	N/A				
Amtrak		Yes				N/A	N/A	N/A				
Antrak		103				11/1	ŊА					
AFRH		Yes				N/A	N/A	N/A				
500	_			_		21/2						
FRB		Yes				N/A		8				
BBG		Yes				N/A		2, 5				
								_				
CIA		Yes				N/A		3				
CSB		Yes				N/A	N/A	N/A				
CPPBSD		Yes				N/A		5		_		
СРРБЗД		Tes				N/A		5				
CFPB		Yes				N/A		5	N/A			
CFTC		No				N/A		5				
circ		110						5				
CNCS		Yes				N/A		5				
CIGIE		No				N/A		5				
		INU				IN/A		5				
CEQ		Yes				N/A		5				
CSOSA		No				N/A	N/A	N/A				
CSUSA		NU				IN/A	N/A	IN/A				
DNFSB		No				N/A		N/A				



U.S. Department of Justice

			Sectio	on I Steps Taken to A	pply the Presu	mption of Openness				
	FOIA Tra	ining During Reporti					ary Disclosures		Posted all FY	
		FOIA Staff	Estimate of FOIA	Agency Conducted	Process in	All Components		Exemptions Used in	2013	Overall
	Held Conference/	Attended Outside	Staff who Attended	Outreach w/ Req.	Place to	Have a Process for	Made a Dis.	Connection with Dis.	Quarterly	Score for
Agency	Conducted Training	Training	Training	Community	Review	Review	Disclosure	Disclosure	Reports	Sec. I
									_	
USDA		Yes						5		
DOC		Yes						5		
		100						5		
DOD		Yes						2, 5, 7(E)		
ED		Yes						2, 5		
DOE		Yes						5		
DOE		res						5		
HHS		Yes						2, 5		
DHS		Yes						5, 7(E)		
· · · · -								_		
HUD		Yes						5		
DOJ		Yes						2, 5, 7(D), 7(E)		
Labor		Yes						2, 5, 7		
State		Yes				N/A		5		
DOI		Yes						5		
DOI		103						5		
Treasury		Yes						2, 5, 7		
DOT		Yes						2, 5		
VA		Yes						5		
EPA		Yes				N/A		5, 7		
L										



U.S. Department of Justice

	Section I Steps Taken to Apply the Presumption of Openness											
	FOIA Tra	ining During Reporti					ary Disclosures		Posted all FY			
		FOIA Staff	Estimate of FOIA	Agency Conducted	Process in	All Components		Exemptions Used in	2013	Overall		
_	Held Conference/	Attended Outside	Staff who Attended	Outreach w/ Req.	Place to	Have a Process for	Made a Dis.	Connection with Dis.	Quarterly	Score for		
Agency	Conducted Training	Training	Training	Community	Review	Review	Disclosure	Disclosure	Reports	Sec. I		
EEOC		Yes				N/A		5				
			_		_							
Ex-Im Bank		Yes				N/A		5				
FCA		Yes				N/A		2				
FORIC		M				N1/A		2				
FCSIC		Yes				N/A		2				
500		Maa				N/A		r				
FCC		Yes				N/A		5				
FDIC		Yee			_	N/A						
FDIC		Yes				N/A		5				
FEC		Yes				N/A		5				
FEC		Tes				N/A		5				
FERC		Yes				N/A		5				
TENC		165				N/A		5				
FFIEC		Yes				N/A	N/A	N/A				
THEC		105				N/A	N/A	N/A				
FHFA		Yes				N/A	N/A	N/A				
		105				14/7	11/1	14/7				
FLRA		No				N/A	N/A	N/A				
1 210 1							,,,,					
FMC		Yes				N/A		5				
L						<i></i>		-				
FMCS		No				N/A	N/A	N/A				
L						<i></i>		,···				
FMSHRC		No				N/A		5				
						·						
FOMC		Yes				N/A		5				
L						·						
FRTIB		Yes				N/A	N/A	N/A				
L						•	•	•				



U.S. Department of Justice

	Section I Steps Taken to Apply the Presumption of Openness											
	FOIA Tra	ining During Reporti					ary Disclosures		Posted all FY			
		FOIA Staff Attended Outside	Estimate of FOIA	Agency Conducted	Process in	All Components	Mada a Dia	Exemptions Used in Connection with Dis.	2013 Oversterik	Overall		
Agency	Held Conference/ Conducted Training	Training	Staff who Attended Training	Outreach w/ Req. Community	Place to Review	Have a Process for Review	Made a Dis. Disclosure	Disclosure	Quarterly Reports	Score for Sec. I		
Agency	Conducted training	Training	Training	Community	Review	Review	Disclosure	Disclosure	Reports	Jec. 1		
FTC		Yes				N/A		5				
-												
GSA		Yes				N/A		N/A				
IMLS		Yes				N/A		5				
					_							
IAF		No				N/A	N/A	N/A				
LSC		Yes				N/A	N/A	N/A				
MSPB		Yes				N/A	N/A	N/A				
MCC		Yes				N/A	N/A	N/A				
NASA		Yes				N/A	N/A	N/A				
NARA		Yes				N/A		5				
NCPC		Yes				N/A	N/A	N/A				
NCUA		Yes				N/A		5, 8				
NEA		Yes				N/A	N/A	N/A				
NEH		Yes				N/A		5				
								_				
NIGC		Yes				N/A		5				
NLRB		Yes				N/A		5				
NMB		No				N/A		N/A				
						•		•				



U.S. Department of Justice

			Sectio	on I Steps Taken to A	pply the Presu					
	FOIA Tra	ining During Reporti					ary Disclosures		Posted all FY	
		FOIA Staff	Estimate of FOIA	Agency Conducted	Process in	All Components		Exemptions Used in	2013	Overall
A	Held Conference/	Attended Outside	Staff who Attended	Outreach w/ Req.	Place to	Have a Process for	Made a Dis.	Connection with Dis. Disclosure	Quarterly	Score for
Agency	Conducted Training	Training	Training	Community	Review	Review	Disclosure	Disclosure	Reports	Sec. I
NSF		Yes				N/A		5		
NTSB		Yes				N/A		5		
NRC		Yes				N/A		2, 5		
OSHRC		Yes				N/A		5		
OGE		Yes				N/A		5		
OMB		Yes				N/A		5		
ONB		165				N/A		5		
ONDCP		Yes				N/A		5		
ONHIR		No				N/A	N/A	N/A		
OPM		Yes				N/A		2, 5		
OSTP		Yes				N/A		5		
OSC		Yes				N/A		5		
ODNI		Yes				N/A		5		
USTR		Yes				N/A		5		
OPIC		Yes				N/A		5		
PC		Yes				N/A		5		
PBGC		Yes				N/A		5		
								~		



U.S. Department of Justice

			Sectio	on I Steps Taken to A	pply the Presu					
	FOIA Tra	ining During Reporti	-				ary Disclosures		Posted all FY	
		FOIA Staff	Estimate of FOIA	Agency Conducted	Process in	All Components		Exemptions Used in	2013	Overall
•	Held Conference/	Attended Outside	Staff who Attended	Outreach w/ Req.	Place to	Have a Process for	Made a Dis.	Connection with Dis.	Quarterly	Score for
Agency	Conducted Training	Training	Training	Community	Review	Review	Disclosure	Disclosure	Reports	Sec. I
PRC		Yes				N/A		5		
PRC		res				N/A		2		
RRB		No				N/A		5		
NIND		NO				N/A		5		
RATB		Yes				N/A	N/A	N/A		
							.,			
SEC		Yes				N/A		5		
						-				
SSS		Yes				N/A	N/A	N/A		
SBA		Yes				N/A		5		
SSA		Yes				N/A		5		
SIGAR		No				N/A		2, 5		
			_						_	
STB		Yes				N/A	N/A	N/A		
TVA		Na				N/A		5		
IVA		No				N/A		5		
USADF		Yes				N/A	N/A	N/A		
USADI		163				N/A	NA	N/A		
USAID		Yes				N/A		5		
		. 20						2		
USCCR		Yes				N/A		N/A		
<u> </u>						·				
USCPSC		Yes				N/A		5		
USCO		No				N/A	N/A	N/A		
USIBWC										



Office	of	Information	Policy
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	Section I Steps Taken to Apply the Presumption of Openness										
	FOIA Tra	ining During Reporti	ng Period				Posted all FY				
		FOIA Staff	Estimate of FOIA	Agency Conducted	Process in	All Components		Exemptions Used in	2013	Overall	
	Held Conference/	Attended Outside	Staff who Attended	Outreach w/ Req.	Place to	Have a Process for	Made a Dis.	Connection with Dis.	Quarterly	Score for	
Agency	Conducted Training	Training	Training	Community	Review	Review	Disclosure	Disclosure	Reports	Sec. I	
USITC		Yes				N/A	N/A	N/A			
USPS		Yes				N/A		2, 3, 5			
USTDA		Yes				N/A		N/A			



Assessment of Federal Departments and Agencies

U.S. Department of Justice

		Section II - S	Steps Taken to Ensure that Age	ncy Has an Ef	fective System in Place for	Responding to Requests		
	Perso		Requests for Expedited Pr		Agency has taken steps	Requester Se		
	Has Agency Converted		Did agency maintain an avg.			Agency uses Electronic Means to		Overall
	all FOIA Professionals	Personnel That Have	of 10 calendar days or less to		referrals & consultations	-	requesters of mediation	Score for
Agency	to New GIS Series?	Been Converted	adjudicate expedition	Adjudicate	more efficient	(when feasible)	services offered by OGIS	Sec. II
ACUS	NI / A	NI/A	NI / A	NI / A	NI / A			
ACUS	N/A	N/A	N/A	N/A	N/A			
40440	NI/A	N/A	NI / A	NI / A				
ABMC	N/A	N/A	N/A	N/A				
Amtrak	N/A	N/A		1.00				
Amuak	NA	N/A		1.00				
AFRH	N/A	N/A	N/A	N/A	N/A			
,	,,,,			,,,				
FRB	N/A	N/A		9.00				
	,	•						
BBG	N/A	N/A		12.00	N/A			
	· · · · · ·	· · · · · ·						
CIA		0%		10.00				
CSB	N/A	N/A	N/A	N/A	N/A			
CPPBSD	N/A	N/A	N/A	N/A				
CFPB		100%		1.00	N/A			
					_			
CFTC	N/A	N/A		10.00				
CNCC	NI / A	N1/A		5.50	NI / A			
CNCS	N/A	N/A		5.50	N/A			
CIGIE	N/A	N/A	N/A	N/A	N/A			
CIGIL	IN/A	IN/A	IN/A	IN/A	IN/A			
CEQ	N/A	N/A		116.00				
				110.00				
CSOSA		100%		1.00	N/A			
DNFSB		0%		7.00				
L								



Assessment of Federal Departments and Agencies

U.S. Department of Justice

		Section II - S	Steps Taken to Ensure that Ag	ency Has an Ef	fective System in Place for	r Responding to Requests		
	Perso	onnel	Requests for Expedited F	rocessing	Agency has taken steps	Requester Se		
	Has Agency Converted		Did agency maintain an avg		to make handling of	Agency uses Electronic Means to		Overall
A	all FOIA Professionals	Personnel That Have Been Converted	of 10 calendar days or less to	o to Adjudicate	referrals & consultations		requesters of mediation	Score for
Agency	to New GIS Series?	Been Converted	adjudicate expedition	Adjudicate	more efficient	(when feasible)	services offered by OGIS	Sec. II
USDA		50%		1.75				
0357		3070		1.75				
DOC		0%		9.00				
DOD		50%		5.00				
000		3078		5.00				
ED		15%		6.86	N/A			
DOE		75%		7.06				
DOL		7378		7.00				
HHS		85%		12.00				
DHS		75%		4.09				
DIIS		7570		4.05				
HUD		0%		6.68	N/A			
DOJ		80%		4.19				
Labor		100%		41.40	N/A			
State		3%		6.00				
DOI		100%		5.00				
Treasury		15%		2.63				
DOT		20%		1.03				
VA		15%		6.59	N/A			
EPA		0%		10.53				



Assessment of Federal Departments and Agencies

U.S. Department of Justice

		Section II - S	teps Taken to Ensur	e that Agency Has an Ef	fective System in Place for	Responding to Requests		
	Perso			pedited Processing	Agency has taken steps	Requester Se		
	Has Agency Converted			in an avg. Avg. Time	_	Agency uses Electronic Means to		Overall
_	all FOIA Professionals	Personnel That Have	of 10 calendar days		referrals & consultations	-	requesters of mediation	Score for
Agency	to New GIS Series?	Been Converted	adjudicate expe	dition Adjudicate	more efficient	(when feasible)	services offered by OGIS	Sec. II
EEOC		0%		6.67				
		078		0.07				
Ex-Im Bank		0%		41.00				
FCA	N/A	N/A		2.00	N/A			
FCSIC	N/A	N/A	N/A	N/A	N/A			
FUSIC	N/A	N/A	N/A	N/A	N/A			
FCC		0%		4.50	N/A			
FDIC		100%		2.32	N/A			
FEC	N/A	N/A		3.00	N/A			
	N/ A	N/A		5.00	N/ A			
FERC		0%		7.70	N/A			
								
FFIEC	N/A	N/A	N/A	N/A	N/A			
FHFA		100%		1.50	N/A			
					,			
FLRA	N/A	N/A		14.33				
EN 4C		100%		F 00	NI / A			
FMC		100%		5.00	N/A			
FMCS	N/A	N/A		1.00	N/A			
FMSHRC	N/A	N/A		5.70	N/A			
FOMO	NI/A	NI / A		10.00				
FOMC	N/A	N/A		10.00				
FRTIB		0%	N/A	N/A				
R			-					



Assessment of Federal Departments and Agencies

U.S. Department of Justice

		Section II - S	Steps Taken to Ensure that Age	ncy Has an Ef	fective System in Place for	Responding to Requests		
	Perso		Requests for Expedited P		Agency has taken steps	Requester Se		
	Has Agency Converted		Did agency maintain an avg.		to make handling of	Agency uses Electronic Means to		Overall
	all FOIA Professionals	Personnel That Have	of 10 calendar days or less to		referrals & consultations		requesters of mediation	Score for
Agency	to New GIS Series?	Been Converted	adjudicate expedition	Adjudicate	more efficient	(when feasible)	services offered by OGIS	Sec. II
FTC		0%		4.94	N/A			
FIC		0%		4.94	N/A			
GSA		15%		1.00				
IMLS	N/A	N/A	N/A	N/A				
IAF	N/A	N/A	N/A	N/A	N/A			
LSC	N/A	N/A	N/A	N/A	N/A			
100				,,,				
MSPB		0%	N/A	N/A	N/A			
MCC	N/A	N/A	N/A	N/A	N/A			
NASA		10.0%		4 22	N/A			
NASA		100%		4.22	N/A			
NARA		1%		3.00				
L								
NCPC	N/A	N/A	N/A	N/A	N/A			
NCUA	N/A	N/A		14.00				
NEA	N/A	N/A		1.00				
	/ • •							
NEH	N/A	N/A		1.00				
NIGC	N/A	N/A		20.50				
NLRB	N/A	N/A		4.00				
INLKB	IN/A	IN/A		4.00				
NMB	N/A	N/A		15.00				
	•	•						



Assessment of Federal Departments and Agencies

U.S. Department of Justice

	Section II - Steps Taken to Ensure that Agency Has an Effective System in Place for Responding to Requests										
	Perso	nnel	Requests for Expedited P	rocessing	Agency has taken steps	Requester Se					
	Has Agency Converted	Proportion of	Did agency maintain an avg.		to make handling of	Agency uses Electronic Means to		Overall			
	all FOIA Professionals	Personnel That Have	of 10 calendar days or less to		referrals & consultations	-	requesters of mediation	Score for			
Agency	to New GIS Series?	Been Converted	adjudicate expedition	Adjudicate	more efficient	(when feasible)	services offered by OGIS	Sec. II			
NSF		100%		10.00							
INSF		100%		10.00							
NTSB		0%		24.50							
NRC		100%		1.00							
OSHRC	N/A	N/A	N/A	N/A	N/A						
OGE	N/A	N/A	N/A	N/A							
ОМВ	N/A	N/A	N/A	N/A							
ONID			14/7	14/71							
ONDCP	N/A	N/A	N/A	N/A	N/A						
ONHIR	N/A	N/A	N/A	N/A	N/A						
ОРМ		100%		1.00							
OSTP	N/A	N/A		2.00	N/A						
OSC	N/A	N/A		97.18							
ODNI		0%	N/A	N/A							
USTR		0%		11.00							
OPIC	N/A	N/A		2.00	N/A						
PC		100%	N/A	N/A							
PBGC		100%		29.00	N/A						



Assessment of Federal Departments and Agencies

U.S. Department of Justice

		Section II - S	Steps Taken to Ensure that Age	ncy Has an Ef	fective System in Place for	Responding to Requests			
	Perso		Requests for Expedited Pr		Agency has taken steps	Requester Se	Requester Services		
	Has Agency Converted	Proportion of	Did agency maintain an avg.		to make handling of	Agency uses Electronic Means to		Overall	
	all FOIA Professionals	Personnel That Have	of 10 calendar days or less to		referrals & consultations	-	requesters of mediation	Score for	
Agency	to New GIS Series?	Been Converted	adjudicate expedition	Adjudicate	more efficient	(when feasible)	services offered by OGIS	Sec. II	
PRC	N/A	N/A		5.00	N/A				
i									
RRB	N/A	N/A	N/A	N/A					
								_	
RATB	N/A	N/A	N/A	N/A	N/A				
SEC	N/A	N/A		4.42	N/A				
666	N/ 1	N1/2	N1 / 4	NI / A	N1 / 2				
SSS	N/A	N/A	N/A	N/A	N/A				
SBA		33%		8.00	N/A				
SBA		33%		8.00	IN/A				
SSA		100%	N/A	N/A	N/A				
		10076	N/A	N/A	ЩА				
SIGAR		100%	N/A	N/A					
				.,					
STB		0%	N/A	N/A	N/A				
			·	·	·				
TVA	N/A	N/A		6.00	N/A				
USADF	N/A	N/A	N/A	N/A	N/A				
USAID		100%		78.85	N/A				
USCCR	N/A	N/A		1.00	N/A				
USCPSC		100%	N/A	N/A	N/A				
USCO	N/A	N/A	N/A	N/A	N/A				
USIBWC	N/A	N/A	N/A	N/A					



Assessment of Federal Departments and Agencies

U.S. Department of Justice

		Section II - S	teps Taken to Ensure that Age	ncy Has an Ef	fective System in Place for	Responding to Requests		
	Perso	nnel	Requests for Expedited Pr	ocessing	Agency has taken steps	Requester Se	rvices	
	Has Agency Converted	Proportion of	Did agency maintain an avg.	Avg. Time	to make handling of	Agency uses Electronic Means to	Agency notifies	Overall
	all FOIA Professionals	Personnel That Have	of 10 calendar days or less to	to	referrals & consultations	Communicate with Requesters	requesters of mediation	Score for
Agency	to New GIS Series?	Been Converted	adjudicate expedition	Adjudicate	more efficient	(when feasible)	services offered by OGIS	Sec. II
USITC	N/A	N/A	4	1.00	N/A			
USPS	N/A	N/A	4	3.25	N/A			
USTDA	N/A	N/A	N/A	N/A	N/A			



	Sect	ion III Steps Taken t	o Increase Pro	active Disclosure	es (PDs)			Section IV	Steps Taken to Gre	ater Utilize Technology	
	Agency has	Agency Makin	g Material Mo		Has agency				ne Tracking System		
Agency	System in Place to Identify Records for PD	Beyond Posting, Taking Steps to Make Info. More Useful	Notifies Public of Important PDs	Used Social Media to Publicize PDs	encountered challenges to posting material online?	Overall Score for Sec. III	Can Requester Track Request Status Electronically	Is Functionality Available for All Components	System Provides an Estimated Date of Completion	If not offered by agency or all components, are steps being taken to establish?	Agency taking steps to utilize more advanced tech.
ACUS				Yes	Yes		No	N/A	N/A	No	No
ABMC				No	Yes		No	N/A	N/A	No	No
Amtrak				Yes	No		No	N/A	N/A	No	Yes
AFRH				No	No		No	N/A	N/A	Yes	Yes
FRB				Yes	Yes		No	N/A	N/A	Yes	Yes
BBG				Yes	No		No	N/A	N/A	Yes	No
CIA				No	No		No	N/A	N/A	Yes	Yes
CSB				Yes	No		No	N/A	N/A	Yes	Yes
CPPBSD				No	No		No	N/A	N/A	No	No
CFPB				Yes	Yes		No	N/A	N/A	Yes	Yes
CFTC				Yes	No		No	N/A	N/A	Yes	Yes
CNCS				Yes	Yes		No	N/A	N/A	No	No
CIGIE				No	No		No	N/A	N/A	N/A	No
CEQ				No	No		Yes	N/A	No	N/A	Yes
CSOSA				No	No		No	N/A	N/A	No	No
DNFSB				No	No		No	N/A	N/A	No	Yes
USDA				Yes	Yes		Yes	Yes	No	N/A	Yes
DOC				Yes	Yes		Yes	Yes	No	N/A	Yes



	Sect	ion III Steps Taken t	o Increase Pro	active Disclosure	es (PDs)			Section IV	Steps Taken to Gre	ater Utilize Technology	
	Agency has	Agency Makin		re Useful	Has agency			Onli	ne Tracking System		
Agency	System in Place to Identify Records for PD	Beyond Posting, Taking Steps to Make Info. More Useful	Notifies Public of Important PDs	Used Social Media to Publicize PDs	encountered challenges to posting material online?	Overall Score for Sec. III	Can Requester Track Request Status Electronically	Is Functionality Available for All Components	System Provides an Estimated Date of Completion	If not offered by agency or all components, are steps being taken to establish?	Agency taking steps to utilize more advanced tech.
DOD				Yes	Yes		Yes	No	Yes	Yes	Yes
ED				Yes	Yes		Yes	N/A	No	N/A	Yes
DOE				Yes	Yes		Yes	No	No	Yes	Yes
HHS				Yes	Yes		Yes	No	Yes	Yes	Yes
DHS				Yes	Yes		Yes	No	Yes	Yes	Yes
HUD				No	No		Yes	Yes	Yes	N/A	Yes
DOJ				Yes	Yes		Yes	No	No	Yes	Yes
Labor				No	Yes		Yes	Yes	Yes	N/A	Yes
State				Yes	Yes		No	N/A	N/A	Yes	Yes
DOI				Yes	Yes		Yes	Yes	Yes	N/A	Yes
Treasury				No	Yes		Yes	No	No	Yes	Yes
DOT				Yes	Yes		No	N/A	No	Yes	Yes
VA				Yes	Yes		No	N/A	N/A	Yes	Yes
EPA				Yes	No		Yes	Yes	Yes	N/A	Yes
EEOC				No	Yes		Yes	N/A	Yes	N/A	Yes
Ex-Im Bank				No	No		No	N/A	N/A	Yes	Yes
FCA				No	No		No	N/A	N/A	No	Yes
FCSIC				No	No		No	N/A	N/A	No	Yes



	Sect	ion III Steps Taken t	o Increase Pro	active Disclosure	es (PDs)			Section IV	Steps Taken to Grea	ater Utilize Technology	
	Agency has	Agency Makin		re Useful	Has agency				ne Tracking System		
Agency	System in Place to Identify Records for PD	Beyond Posting, Taking Steps to Make Info. More Useful	Notifies Public of Important PDs	Used Social Media to Publicize PDs	encountered challenges to posting material online?	Overall Score for Sec. III	Can Requester Track Request Status Electronically	Is Functionality Available for All Components	System Provides an Estimated Date of Completion	If not offered by agency or all components, are steps being taken to establish?	Agency taking steps to utilize more advanced tech.
FCC				Yes	Yes		No	N/A	N/A	Yes	Yes
FDIC				Yes	No		Yes	N/A	Yes	N/A	Yes
FEC				Yes	No		No	N/A	N/A	No	Yes
FERC				Yes	No		No	N/A	No	Yes	Yes
FFIEC				No	No		No	N/A	N/A	No	No
FHFA				No	No		Yes	N/A	No	N/A	Yes
FLRA				No	No		Yes	Yes	No	N/A	Yes
FMC				Yes	Yes		No	N/A	N/A	Yes	Yes
FMCS				No	No		No	N/A	N/A	No	Yes
FMSHRC				No	No		No	N/A	N/A	No	Yes
FOMC				Yes	Yes		No	N/A	N/A	No	Yes
FRTIB				Yes	No		No	N/A	N/A	No	No
FTC				Yes	Yes		Yes	N/A	No	N/A	Yes
GSA				Yes	Yes		Yes	N/A	No	Yes	Yes
IMLS				Yes	Yes		Yes	N/A	Yes	N/A	Yes
IAF				Yes	No		No	N/A	N/A	No	Yes
LSC				Yes	No		Yes	N/A	Yes	N/A	Yes
MSPB				Yes	Yes		Yes	N/A	No	N/A	No



	Sect	ion III Steps Taken t	o Increase Pro	active Disclosure	es (PDs)			Section IV	Steps Taken to Gre	ater Utilize Technology	
	Agency has	Agency Makin	g Material Mo		Has agency				ne Tracking System		
Agency	System in Place to Identify Records for PD	Beyond Posting, Taking Steps to Make Info. More Useful	Notifies Public of Important PDs	Used Social Media to Publicize PDs	encountered challenges to posting material online?	Overall Score for Sec. III	Can Requester Track Request Status Electronically	Is Functionality Available for All Components	System Provides an Estimated Date of Completion	If not offered by agency or all components, are steps being taken to establish?	Agency taking steps to utilize more advanced tech.
MCC				No	No		No	N/A	N/A	No	No
NASA				Yes	Yes		No	N/A	N/A	Yes	Yes
NARA				Yes	Yes		Yes	No	No	Yes	Yes
NCPC				Yes	No		No	N/A	N/A	No	No
NCUA				Yes	No		No	N/A	N/A	Yes	No
NEA				Yes	No		No	N/A	N/A	Yes	No
NEH				Yes	No		No	N/A	N/A	No	No
NIGC				Yes	No		No	N/A	N/A	Yes	Yes
NLRB				Yes	No		No	N/A	N/A	Yes	Yes
NMB				No	No		No	N/A	N/A	No	No
NSF				Yes	Yes		No	N/A	N/A	Yes	Yes
NTSB				Yes	Yes		Yes	N/A	No	N/A	Yes
NRC				Yes	Yes		No	N/A	N/A	Yes	Yes
OSHRC				No	No		No	N/A	N/A	No	Yes
OGE				Yes	No		No	N/A	N/A	No	Yes
OMB				Yes	No		No	N/A	N/A	No	Yes
ONDCP				Yes	No		No	N/A	N/A	Yes	No
ONHIR				No	No		No	N/A	N/A	No	No



	Sect	ion III Steps Taken t	o Increase Pro	active Disclosure	es (PDs)			Section IV	Steps Taken to Gre	ater Utilize Technology	
	Agency has	Agency Makin	g Material Mo		Has agency				ne Tracking System		
Agency	System in Place to Identify Records for PD	Beyond Posting, Taking Steps to Make Info. More Useful	Notifies Public of Important PDs	Used Social Media to Publicize PDs	encountered challenges to posting material online?	Overall Score for Sec. III	Can Requester Track Request Status Electronically	Is Functionality Available for All Components	System Provides an Estimated Date of Completion	If not offered by agency or all components, are steps being taken to establish?	Agency taking steps to utilize more advanced tech.
OPM				No	Yes		No	N/A	N/A	Yes	Yes
OSTP				Yes	Yes		No	N/A	N/A	No	Yes
OSC				No	Yes		No	N/A	N/A	Yes	Yes
ODNI				No	No		No	N/A	N/A	No	Yes
USTR				Yes	Yes		No	N/A	N/A	Yes	Yes
OPIC				Yes	No		No	N/A	N/A	No	Yes
PC				No	No		Yes	N/A	No	Yes	Yes
PBGC				Yes	Yes		Yes	N/A	No	N/A	Yes
PRC				No	No		Yes	N/A	Yes	N/A	Yes
RRB				No	No		No	N/A	No	Yes	No
RATB				Yes	Yes		No	N/A	No	No	No
SEC				Yes	No		No	N/A	N/A	Yes	No
SSS				Yes	No		No	N/A	N/A	No	No
SBA				Yes	Yes		No	N/A	N/A	No	Yes
SSA				No	Yes		No	N/A	N/A	No	Yes
SIGAR				Yes	No		No	N/A	N/A	Yes	Yes
STB				No	No		No	N/A	N/A	No	Yes
TVA				Yes	No		No	N/A	N/A	No	Yes



office	of	Information	Policy
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	Sect	ion III Steps Taken t	o Increase Pro	active Disclosure	es (PDs)			Section IV	Steps Taken to Gre	ater Utilize Technology	
	Agency has	Agency Makin		re Useful	Has agency			Onli	ne Tracking System		
Agency	System in Place to Identify Records for PD	Beyond Posting, Taking Steps to Make Info. More Useful	Notifies Public of Important PDs	Used Social Media to Publicize PDs	encountered challenges to posting material online?	Overall Score for Sec. III	Can Requester Track Request Status Electronically	Is Functionality Available for All Components	System Provides an Estimated Date of Completion	If not offered by agency or all components, are steps being taken to establish?	Agency taking steps to utilize more advanced tech.
USADF				Yes	No		No	N/A	N/A	No	Yes
00/101				105	110				, in the second s		103
USAID				No	No		Yes	N/A	N/A	Yes	Yes
USCCR				No	Yes		No	N/A	N/A	No	No
USCPSC				Yes	Yes		No	N/A	N/A	Yes	Yes
USCO				No	No		No	N/A	No	No	No
USIBWC				No	No		No	N/A	No	No	Yes
USITC				No	Yes		No	N/A	N/A	No	No
USPS				Yes	Yes		Yes	Yes	No	N/A	Yes
USTDA				Yes	No		No	N/A	N/A	Yes	No



Assessment of Federal Departments and Agencies

U.S. Department of Justice

					Section V S	Steps Take	en to Imj	prove Time	liness in F	lespondi	ing to Req	uests (R	eq.) and	Reducing Ba	cklogs			
		-	ick Requests in		Decreased		-	f Backlog to		-				Appeals (Ap			_	
Agency	Has a Simple Track	20 Days or Score	Avg. No. of Days to Process	Backlog o Req.	f Req./App.	Req./	App. Re Score	Ceived in FY App.	Score	Consu Req.	If no, # Closed	App.	If not, If no, # Closed	# closed in I Consults.	If no, # Closed	System in Place to Provide (when appropriate)	m Responses % or # of Backlogged Req. that Received Such a Response	Overall Score for Sec. V
ACUS	Yes		1.20			0.00%		N/A	N/A		N/A		N/A		N/A		N/A	
ABMC	No		72.00			33.33%		N/A	N/A		N/A		N/A		N/A		N/A	
Amtrak	Yes		4.17			13.97%		75.00%			N/A		0/1		N/A		1	
AFRH	Yes		14.00			0.00%		N/A	N/A		N/A		N/A		N/A		N/A	
FRB	Yes		5.00			2.27%		3.23%			N/A		N/A		N/A		10%	
BBG	No		44.00			18.97%		0.00%			N/A		N/A		N/A		5	
CIA	Yes		35.18			15.13%		48.22%			N/A		N/A		9/10		1%	
CSB	Yes		4.00			0.00%		N/A	N/A		N/A		N/A		N/A		N/A	
CPPBSD	Yes		9.67			0.00%		N/A	N/A		N/A		N/A		N/A		N/A	
CFPB	Yes		8.36			0.98%		0.00%			N/A		N/A		N/A		N/A	
CFTC	Yes		65.00			12.30%		80.00%			N/A		2/5		N/A		2	
CNCS	Yes		45.00			8.70%		N/A	N/A		N/A		N/A		N/A		Unable to Estimate	
CIGIE	Yes		23.00			18.92%		66.67%			2/4		N/A		N/A		1	
CEQ	Yes		47.00			60.94%		0.00%			7/10		N/A		N/A		12%	
CSOSA	Yes		14.56			7.06%		N/A	N/A		9/10		N/A		N/A		N/A	
DNFSB	Yes		14.53			17.65%		N/A	N/A		N/A		N/A		N/A		N/A	
USDA	Yes		15.52			5.04%		74.67%			4/10		3/10		N/A		50%	
DOC	Yes		9.00			13.06%		44.74%			6/10		9/10		N/A		24%	



Assessment of Federal Departments and Agencies

U.S. Department of Justice

				:	Section V S	teps Take	en to Im	prove Time	iness in I	Respond	ing to Req	uests (R	eq.) and I	Reducing Ba	acklogs			
			ack Requests in		Decreased		-	of Backlog to						Appeals (A		Interi	- Decreases	
Agency	Has a Simple Track	20 Days or Score	Avg. No. of Days to Process	Req.	f Req./App.	Req.	Score	App.	Score	Req.	If no, # Closed	App.	If no, # Closed	# closed in Consults.	If no, #	System in Place to Provide (when appropriate)	m Responses % or # of Backlogged Req. that Received Such a Response	Overall Score for Sec. V
DOD	Yes		10.00			9.69%		60.58%			7/10		N/A		N/A		Unable to Estimate	
ED	Yes		25.51			9.28%		106.98%			6/10		3/10		N/A		35%	
DOE	Yes		22.21			21.08%		3.90%			9/10		8/10		6/10		45%	
HHS	Yes		29.00			7.62%		102.22%			N/A		N/A		N/A		750	
DHS	Yes		36.71			22.36%		4.99%			N/A		7/10		5/10		Unable to Estimate	
HUD	Yes		17.21			11.14%		8.70%			N/A		N/A		N/A		15%	
DOJ	Yes		21.75			9.97%		9.95%			N/A		N/A		N/A		9%	
Labor	Yes		20.40			3.31%		39.44%			N/A		N/A		N/A		Unable to Estimate	
State	Yes		106.00			46.23%		96.45%			N/A		N/A		N/A		4%	
DOI	Yes		3.00			6.69%		93.71%			N/A		N/A		N/A		11%	
Treasury	Yes		15.68			2.77%		10.06%			3/10		6/10		8/10		10%	
DOT	Yes		24.88			8.89%		34.62%			8/10		N/A		2/3		10%	
VA	Yes		16.71			3.73%		73.15%			N/A		0/10		N/A		15%	
EPA	Yes		27.18			12.71%		55.48%			N/A		9/10		N/A		5%	
EEOC	Yes		17.52			1.00%		0.00%			N/A		N/A		N/A		0%	
Ex-Im Bank	Yes		82.20			71.11%		33.33%			8/10		1/2		N/A		1	
FCA	No		9.57			0.00%		0.00%			N/A		N/A		N/A	N/A	N/A	
FCSIC	No		13.25			0.00%		N/A	N/A		N/A		N/A		N/A	N/A	N/A	



Assessment of Federal Departments and Agencies

U.S. Department of Justice Of

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					Section V S	teps Take	en to Imj	prove Timel	iness in F	Respond	ing to Req	uests (R	eq.) and f	Reducing Ba	cklogs			
		-	ack Requests in		Decreased		-	of Backlog to						Appeals (A				
Agency	Has a Simple Track	20 Days or Score	Avg. No. of Days to Process	Req.	of Req./App.	Req./	Арр. ке Score	ceived in FY App.	Score	Req.	If no, # Closed	App.	If no, #	# closed in Consults.	If no, #	System in Place to Provide (when appropriate)	n Responses % or # of Backlogged Req. that Received Such a Response	Overall Score for Sec. V
FCC	Yes		33.30			4.90%		53.57%			N/A		9/10		N/A		0%	
FDIC	Yes		9.89			0.00%		0.00%			N/A		N/A		N/A		N/A	
FEC	Yes		16.30			13.79%		100.00%			6/8		0/1		N/A		58%	
FERC	Yes		23.00			3.00%		0.00%			9/10		N/A		N/A		1	
FFIEC	No		12.20			0.00%		N/A	N/A		N/A		N/A		N/A	N/A	N/A	
FHFA	Yes		15.40			7.88%		0.00%			N/A		N/A		N/A		0	
FLRA	Yes		10.71			0.00%		0.00%			N/A		N/A		N/A		N/A	
FMC	Yes		7.50			69.23%		N/A	N/A		6/10		N/A		N/A		N/A	
FMCS	Yes		3.50			0.00%		0.00%			N/A		N/A		N/A		N/A	
FMSHRC	Yes		3.10			0.00%		0.00%			N/A		N/A		N/A		N/A	
FOMC	Yes		11.70			38.10%		N/A	N/A		4/8		N/A		N/A		0	
FRTIB	No		27.00			8.00%		0.00%			N/A		N/A		N/A		N/A	
FTC	Yes		7.36			0.21%		0.00%			9/10		N/A		N/A		66%	
GSA	Yes		20.06			4.44%		9.52%			7/10		N/A		N/A		40%	
IMLS	Yes		8.90			0.00%		0.00%			N/A		N/A		N/A		N/A	
IAF	No		16.36			0.00%		N/A	N/A		N/A		N/A		N/A		N/A	
LSC	No		9.94			0.00%		N/A	N/A		N/A		N/A		N/A	N/A	N/A	
MSPB	Yes		22.30			0.00%		5.56%			N/A		0/1		N/A		N/A	



Assessment of Federal Departments and Agencies

U.S. Department of Justice Of

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				:	Section V S	iteps Take	en to Imp	prove Time	liness in F	Respond	ing to Req	uests (R	eq.) and I	Reducing Ba	cklogs			
		-	ick Requests in		Decreased		-	f Backlog to		-				Appeals (A				
Agency	Has a Simple Track	20 Days or Score	Avg. No. of Days to Process	Req.	f Req./App.	Req./	Арр. ке Score	App.	Score	Req.	If no, # Closed	App.). If not, If no, # Closed	# closed in Consults.	If no, # Closed	System in Place to Provide (when appropriate)	n Responses % or # of Backlogged Req. that Received Such a Response	Overall Score for Sec. V
MCC	No		17.00			0.00%		0.00%			N/A		N/A		N/A		0	
NASA	Yes		5.65			1.26%		0.00%			N/A		N/A		N/A		30%	
NARA	Yes		42.20			53.91%		12.86%			5/10		5/7		N/A		Unable to Estimate	
NCPC	Yes		3.67			0.00%		N/A	N/A		N/A		N/A		N/A	N/A	N/A	
NCUA	Yes		11.08			0.00%		0.00%			N/A		N/A		N/A		N/A	
NEA	Yes		27.00			5.56%		0.00%			6/10		N/A		N/A		70%	
NEH	Yes		11.60			2.86%		N/A	N/A		N/A		N/A		N/A		1	
NIGC	Yes		109.00			56.00%		0.00%			6/10		N/A		N/A		4	
NLRB	No		6.00			0.09%		0.00%			N/A		N/A		N/A		N/A	
NMB	No		6.00			0.00%		N/A	N/A		N/A		N/A		N/A		N/A	
NSF	No		32.00			33.07%		0.00%			0/10		N/A		N/A		N/A	
NTSB	Yes		29.77			31.55%		0.00%			N/A		N/A		N/A		5	
NRC	Yes		12.00			21.57%		34.78%			4/10		N/A		N/A		58%	
OSHRC	Yes		9.80			0.00%		N/A	N/A		N/A		N/A		N/A		N/A	
OGE	No		8.60			2.13%		0.00%			N/A		N/A		N/A		1	
OMB	No		31.00			18.47%		44.44%			N/A		N/A		N/A		4	
ONDCP	No		49.00			9.52%		N/A	N/A		N/A		N/A		N/A		N/A	
ONHIR	No		8.53			0.00%		N/A	N/A		N/A		N/A		N/A		N/A	



Assessment of Federal Departments and Agencies

U.S. Department of Justice Of

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					Section V S	Steps Take	en to Imp	prove Timel	iness in F	Respond	ing to Req	uests (R	eq.) and I	Reducing Ba	cklogs			
		-	ick Requests in		Decreased		-	f Backlog to						Appeals (Ap				
Agency	Has a Simple Track	20 Days or Score	Less Avg. No. of Days to Process	Backlog o Req.	of Req./App.	Req./	App. Red Score	App.	2013 Score	Req.	If no, # Closed	App.	If no, #	# closed in Consults.	If no, # Closed	System in Place to Provide (when appropriate)	m Responses % or # of Backlogged Req. that Received Such a Response	Overall Score for Sec. V
OPM	Yes		22.00			0.70%		14.63%			N/A		N/A		N/A		32%	
OSTP	Yes		10.80			0.78%		0.00%			N/A		N/A		N/A		33%	
OSC	Yes		31.54			81.88%		133.33%			6/10		2/10		N/A		11	
ODNI	Yes		56.12			39.95%		84.62%			5/10		2/10		N/A		4	
USTR	Yes		19.00			36.08%		33.33%			6/10		N/A		N/A		50%	
OPIC	No		21.68			6.90%		0.00%			N/A		N/A		N/A		100%	
PC	Yes		10.00			23.70%		33.33%			5/10		N/A		N/A		50%	
PBGC	No		10.00			0.14%		20.00%			N/A		N/A		N/A		50%	
PRC	Yes		7.00			0.00%		N/A	N/A		N/A		N/A		N/A		N/A	
RRB	No		13.63			2.46%		0.00%			N/A		N/A		N/A		3	
RATB	No		11.50			0.00%		0.00%			N/A		N/A		N/A		N/A	
SEC	Yes		14.51			1.23%		4.90%			8/10		N/A		N/A		Unable to Estimate	
SSS	No		2.00			0.00%		N/A	N/A		N/A		N/A		N/A		N/A	
SBA	Yes		6.50			0.02%		0.00%			N/A		N/A		N/A		40%	
SSA	Yes		17.00			0.17%		3.76%			N/A		N/A		N/A		1%	
SIGAR	Yes		20.00			3.33%		0.00%			N/A		N/A		N/A		0	
STB	No		6.00			0.00%		N/A	N/A		N/A		N/A		N/A		N/A	
TVA	Yes		9.48			6.17%		0.00%			N/A		N/A		N/A		40%	



Assessment of Federal Departments and Agencies

U.S. Department of Justice

					Section V S	Steps Take	en to Im	prove Time	liness in F	Respond	ing to Req	uests (R	eq.) and I	Reducing Ba	cklogs			
		Simple Tra 20 Days or	ck Requests in Less		Decreased f Req./App.	Percentage of Backlog to # of Req./App. Received in FY 2013				-	-			Appeals (Ap # closed in	• • •	Interir	n Responses	
Agency	Has a Simple Track	Score	Avg. No. of Days to Process	Req.	App.	Req.	Score	App.	Score	Req.	lf no, # Closed	App.	If no, # Closed	Consults.	lf no, # Closed	System in Place to Provide (when appropriate)	% or # of Backlogged Req. that Received Such a Response	Overall Score for Sec. V
USADF	No		7.00			0.00%		N/A	N/A		N/A		N/A		N/A		N/A	
USAID	Yes		74.83			62.63%		222.22%			N/A		N/A		N/A		8%	
USCCR	Yes		3.00			0.00%		N/A	N/A		N/A		N/A		N/A		N/A	
USCPSC	Yes		27.00			11.89%		0.00%			5/10		N/A		N/A		Unable to Estimate	
USCO	Yes		13.40			8.57%		100.00%			3/4		N/A		N/A		N/A	
USIBWC	Yes		14.60			0.00%		N/A	N/A		N/A		N/A		N/A		N/A	
USITC	Yes		10.00			0.00%		N/A	N/A		N/A		N/A		N/A		2	
USPS	Yes		13.63			4.13%		4.58%			5/10		7/10		N/A		Unable to Estimate	
USTDA	Yes	N/A	N/A			15.38%		N/A	N/A		3/4		N/A		N/A		0	



Agency	Acronym	Overall Score for Section I	Overall Score for Section II	Overall Score for Section III	Overall Score for Section V
Administrative Conference of the United States	ACUS	0	DG	DG	DG
American Battle Monuments Commission	ABMC	R	Y	Y	LG
National Railroad Passenger Corporation - Amtrak	Amtrak	0	DG	LG	0
Armed Forces Retirement Home	AFRH	0	DG	Y	DG
Board of Governors of the Federal Reserve System	FRB	Y	DG	DG	DG
Broadcasting Board of Governors	BBG	0	0	DG	LG
Central Intelligence Agency	CIA	DG	0	DG	Y
Chemical Safety and Hazard Investigation Board	CSB	0	DG	LG	DG
Committee for Purchase from People Who Are Blind or Severely Disabled	CPPBSD	0	R	R	DG
Consumer Financial Protection Bureau	CFPB	DG	DG	LG	DG
Commodity Futures Trading Commission	CFTC	0	DG	DG	0
Corporation for National and Community Service	CNCS	0	DG	DG	DG
Council of the Inspectors General on Integrity and Efficiency	CIGIE	R	DG	Y	0
Council on Environmental Quality	CEQ	0	Y	DG	0
Court Services and offender Supervision Agency	CSOSA	R	DG	R	LG
Defense Nuclear Facilities Safety Board	DNFSB	R	0	R	LG
Department of Agriculture	USDA	DG	DG	DG	R
Department of Commerce	DOC	DG	LG	DG	R
Department of Defense	DOD	LG	DG	DG	Y
Department of Education	ED	DG	LG	DG	R



Agency	Acronym	Overall Score for Section I	Overall Score for Section II	Overall Score for Section III	Overall Score for Section V
Department of Energy	DOE	DG	DG	DG	0
Department of Health and Human Services	HHS	DG	LG	DG	Y
Department of Homeland Security	DHS	LG	DG	DG	0
Department of Housing and Urban Development	HUD	Y	LG	Y	DG
Department of Justice	DOJ	DG	DG	DG	LG
Department of Labor	Labor	DG	LG	DG	LG
Department of State	State	DG	LG	DG	Υ
Department of the Interior	DOI	DG	DG	DG	DG
Department of the Treasury	Treasury	LG	LG	DG	R
Department of Transportation	DOT	LG	0	DG	0
Department of Veterans Affairs	VA	Y	LG	DG	0
Environmental Protection Agency	EPA	DG	Y	LG	R
Equal Employment Opportunity Commission	EEOC	LG	LG	LG	DG
Export-Import Bank	Ex-Im Bank	LG	0	LG	R
Farm Credit Administration	FCA	Y	DG	DG	DG
Farm Credit System Insurance Corporation	FCSIC	Y	DG	R	DG
Federal Communications Commission	FCC	LG	LG	DG	0
Federal Deposit Insurance Corporation	FDIC	DG	LG	DG	DG
Federal Election Commission	FEC	0	LG	Y	R
Federal Energy Regulatory Commission	FERC	LG	LG	DG	LG



Agency	Acronym	Overall Score for Section I	Overall Score for Section II	Overall Score for Section III	Overall Score for Section V
Federal Financial Institutions Examination Council	FFIEC	0	DG	DG	DG
Federal Housing Finance Authority	FHFA	Y	DG	Y	DG
Federal Labor Relations Authority	FLRA	R	LG	Y	DG
Federal Maritime Commission	FMC	DG	DG	DG	Y
Federal Mediation and Conciliation Service	FMCS	R	DG	Y	DG
Federal Mine Safety and Health Review Commission	FMSHRC	R	LG	Y	DG
Federal Open Market Committee	FOMC	LG	DG	DG	Y
Federal Retirement Thrift Investment Board	FRTIB	R	R	Y	LG
Federal Trade Commission	FTC	DG	LG	DG	LG
General Services Administration	GSA	Y	LG	DG	LG
Institute of Museum and Library Services	IMLS	DG	DG	DG	DG
Inter-American Foundation	IAF	R	DG	DG	DG
Legal Services Corporation	LSC	Y	DG	DG	DG
Merit Systems Protection Board	MSPB	0	Y	DG	LG
Millennium Challenge Corporation	МСС	R	LG	0	DG
National Aeronautics and Space Administration	NASA	LG	DG	LG	DG
National Archives and Records Administration	NARA	DG	LG	DG	R
National Capital Planning Commission	NCPC	R	LG	DG	DG
National Credit Union Administration	NCUA	DG	LG	DG	DG
National Endowment for the Arts	NEA	R	LG	DG	LG



Agency	Acronym	Overall Score for Section I	Overall Score for Section II	Overall Score for Section III	Overall Score for Section V
National Endowment for the Humanities	NEH	Y	DG	DG	DG
National Indian Gaming Commission	NIGC	Y	LG	DG	Y
National Labor Relations Board	NLRB	0	LG	DG	DG
National Mediation Board	NMB	R	0	DG	DG
National Science Foundation	NSF	Y	DG	DG	Y
National Transportation Safety Board	NTSB	LG	0	DG	Y
Nuclear Regulatory Commission	NRC	DG	DG	DG	Y
Occupational Safety and Health Review Commission	OSHRC	LG	DG	Y	DG
Office of Government Ethics	OGE	DG	DG	DG	DG
Office of Management and Budget	OMB	LG	DG	DG	0
Office of National Drug Control Policy	ONDCP	Y	DG	Y	DG
Office of Navajo and Hopi Indian Relocation	ONHIR	0	0	R	DG
Office of Personnel Management	OPM	DG	DG	DG	Y
Office of Science and Technology Policy	OSTP	DG	DG	DG	DG
Office of Special Counsel	OSC	0	0	DG	R
Office of the Director of National Intelligence	ODNI	Y	LG	R	R
Office of the United States Trade Representative	USTR	LG	0	DG	0
Overseas Private Investment Corporation	OPIC	LG	DG	LG	DG
Peace Corps	PC	Y	LG	R	0
Pension Benefit Guaranty Corporation	PBGC	DG	LG	DG	LG



Agency	Acronym	Overall Score for Section I	Overall Score for Section II	Overall Score for Section III	Overall Score for Section V
	, kerenym				
Postal Regulatory Commission	PRC	DG	DG	DG	DG
Railroad Retirement Board	RRB	R	Y	LG	DG
Recovery Accountability and Transparency Board	DATD	LG		10	
	RATB	LG	LG	LG	DG
Securities and Exchange Commission	SEC	DG	DG	DG	DG
Selective Service System	SSS	0	DG	DG	DG
Small Business Administration	SBA	DG	LG	DG	DG
Social Security Administration	SSA	DG	DG	Y	LG
	00/1			·	
Special Inspector General for Afghanistan Reconstruction	SIGAR	DG	DG	DG	DG
Surface Transportation Board	STB	R	Y	DG	DG
Tennessee Valley Authority	TVA	0	DG	DG	DG
	IVA	0	50	60	66
U.S. African Development Foundation	USADF	0	DG	DG	DG
U.S. Agency for International Development	USAID	DG	LG	DG	0
U.S. Commission on Civil Rights	USCCR	R	DG	0	DG
	USCCR	ĸ	DG	0	DG
U.S. Consumer Product Safety Commission	USCPSC	Y	LG	DG	LG
U.S. Copyright Office	USCO	R	DG	Y	Y
				_	
U.S. International Boundary and Water Commission	USIBWC	R	Y	R	DG
U.S. International Trade Commission	USITC	LG	DG	LG	DG
	00.10				
U.S. Postal Service	USPS	Y	DG	DG	0
U.S. Trade and Development Agency	USTDA	0	DG	DG	Y



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	Section I Steps Taken to Apply the Presumption of Openness											
	FOIA Tra	ining During Reporti	-				ary Disclosures		Posted all FY			
		FOIA Staff Attended Outside	Estimate of FOIA	Agency Conducted	Process in	All Components	Mada a Dia	Exemptions Used in Connection with Dis.	2013	Overall		
	Held Conference/		Staff who Attended	Outreach w/ Req.	Place to	Have a Process for	Made a Dis.	Disclosure	Quarterly	Score for		
Agency	Conducted Training	Training	Training	Community	Review	Review	Disclosure	Disclosure	Reports	Sec. I		
							N1 / A	N1 / A				
ACUS	R	Yes	DG	R	DG	N/A	N/A	N/A	LG	0		
45146						N 1/A	N1 / A	N / A				
ABMC	R	No	R	R	R	N/A	N/A	N/A	R	R		
Amsterals	DG	Yes	0	R	DG	N/A	NI / A	N/A	DG	0		
Amtrak	DG	res	0	ĸ	DG	N/A	N/A	N/A	DG	0		
AFRH	R	Yes	DG	R	DG	N/A	N/A	N/A	LG	0		
ΑΓΝΠ	n	Tes	DG	n	DG	N/A	N/A	N/A	10	0		
FRB	DG	Yes	DG	R	Y	N/A	DG	8	Y	Y		
	50	163	50	N	I	N/A	DG	0	I	I		
BBG	R	Yes	Y	R	Y	N/A	DG	2, 5	DG	0		
660	K	105	1	IX.		14/74	20	2, 3	50	0		
CIA	DG	Yes	LG	DG	DG	N/A	DG	3	Y	DG		
CSB	R	Yes	DG	Y	DG	N/A	N/A	N/A	R	0		
<u>.</u>												
CPPBSD	R	Yes	DG	R	DG	N/A	DG	5	R	0		
CFPB	DG	Yes	DG	DG	DG	N/A	DG	5	N/A	DG		
CFTC	LG	No	R	R	DG	N/A	DG	5	Y	0		
CNCS	R	Yes	Υ	R	DG	N/A	DG	5	LG	0		
CIGIE	R	No	R	R	DG	N/A	DG	5	Y	R		
r												
CEQ	LG	Yes	DG	R	Y	N/A	DG	5	R	0		
CSOSA	LG	No	DG	R	R	N/A	N/A	N/A	R	R		
	_	• •	_	-			-		-	-		
DNFSB	R	No	R	R	R	N/A	R	N/A	R	R		



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	Section I Steps Taken to Apply the Presumption of Openness									
	FOIA Tra	ining During Reporti					Posted all FY			
Agency	Held Conference/ Conducted Training	FOIA Staff Attended Outside Training	Estimate of FOIA Staff who Attended Training	Agency Conducted Outreach w/ Req. Community	Process in Place to Review	All Components Have a Process for Review	Made a Dis. Disclosure	Exemptions Used in Connection with Dis. Disclosure	2013 Quarterly Reports	Overall Score for Sec. I
USDA	DG	Yes	Y	DG	DG	DG	DG	5	LG	DG
DOC	DG	Yes	Y	DG	DG	DG	DG	5	LG	DG
DOD	DG	Yes	LG	DG	DG	R	DG	2, 5, 7(E)	DG	LG
ED	DG	Yes	DG	DG	DG	DG	DG	2, 5	DG	DG
DOE	DG	Yes	LG	DG	DG	DG	DG	5	Y	DG
HHS	DG	Yes	LG	DG	DG	DG	DG	2, 5	DG	DG
DHS	DG	Yes	DG	DG	DG	R	DG	5, 7(E)	DG	LG
HUD	DG	Yes	DG	R	DG	R	DG	5	Y	Y
DOJ	DG	Yes	LG	DG	DG	DG	DG	2, 5, 7(D), 7(E)	DG	DG
Labor	DG	Yes	DG	DG	DG	DG	DG	2, 5, 7	LG	DG
State	DG	Yes	DG	DG	DG	N/A	DG	5	DG	DG
DOI	DG	Yes	DG	DG	DG	DG	DG	5	DG	DG
Treasury	DG	Yes	DG	DG	DG	DG	DG	2, 5, 7	R	LG
DOT	DG	Yes	LG	DG	Y	R	DG	2, 5	DG	LG
VA	DG	Yes	Y	R	DG	R	DG	5	DG	Y
EPA	DG	Yes	DG	DG	DG	N/A	DG	5, 7	DG	DG



U.S. Department of Justice

	Section I Steps Taken to Apply the Presumption of Openness										
	FOIA Tra	ining During Reporti	-				ary Disclosures		Posted all FY		
		FOIA Staff	Estimate of FOIA	Agency Conducted	Process in	All Components		Exemptions Used in	2013	Overall	
	Held Conference/	Attended Outside	Staff who Attended	Outreach w/ Req.	Place to	Have a Process for	Made a Dis.	Connection with Dis.	Quarterly	Score for	
Agency	Conducted Training	Training	Training	Community	Review	Review	Disclosure	Disclosure	Reports	Sec. I	
EEOC	DG	Yes	DG	DG	R	N/A	DG	5	DG	LG	
_											
Ex-Im Bank	R	Yes	DG	DG	DG	N/A	DG	5	DG	LG	
FCA	LG	Yes	DG	R	Y	N/A	DG	2	DG	Y	
L						-					
FCSIC	LG	Yes	Y	R	Y	N/A	DG	2	DG	Y	
			•				20	_	20		
FCC	DG	Yes	0	Y	DG	N/A	DG	5	DG	LG	
100	50	105	0	I	50	N/A	50	5	bG	10	
FDIC	DC	Maa	DC	Y	DC	N/A	DC	5	DC	DC	
FDIC	DG	Yes	DG	Ŷ	DG	N/A	DG	5	DG	DG	
								_			
FEC	R	Yes	Y	Y	Y	N/A	DG	5	DG	0	
						/ .					
FERC	DG	Yes	DG	R	DG	N/A	DG	5	Y	LG	
FFIEC	R	Yes	DG	R	Y	N/A	N/A	N/A	DG	0	
FHFA	LG	Yes	DG	R	DG	N/A	N/A	N/A	LG	Y	
FLRA	R	No	R	R	DG	N/A	N/A	N/A	DG	R	
_											
FMC	DG	Yes	DG	Y	DG	N/A	DG	5	DG	DG	
FMCS	R	No	R	R	DG	N/A	N/A	N/A	DG	R	
								2			
FMSHRC	R	No	R	R	DG	N/A	DG	5	LG	R	
								-			
FOMC	LG	Yes	LG	R	DG	N/A	DG	5	DG	LG	
- Onic		103	10	11	20	17/17	20	5	20	10	
FRTIB	R	Yes	Y	R	R	N/A	N/A	N/A	Y	R	
FNIID	n	103	I	n	n	IN/A	IN/A	IN/A	I	n	



U.S. Department of Justice

	Section I Steps Taken to Apply the Presumption of Openness										
	FOIA Tra	ining During Reporti	-			-	ary Disclosures		Posted all FY		
		FOIA Staff	Estimate of FOIA	Agency Conducted	Process in	All Components		Exemptions Used in	2013	Overall	
	Held Conference/	Attended Outside	Staff who Attended	Outreach w/ Req.	Place to	Have a Process for	Made a Dis.	Connection with Dis.	Quarterly	Score for	
Agency	Conducted Training	Training	Training	Community	Review	Review	Disclosure	Disclosure	Reports	Sec. I	
FTC	DG	Yes	DG	Y	DG	N/A	DG	5	DG	DG	
-											
GSA	DG	Yes	DG	Y	DG	N/A	R	N/A	LG	Y	
-											
IMLS	LG	Yes	DG	DG	DG	N/A	DG	5	LG	DG	
-											
IAF	R	No	R	R	DG	N/A	N/A	N/A	R	R	
										1	
LSC	DG	Yes	Y	R	DG	N/A	N/A	N/A	DG	Y	
										1	
MSPB	R	Yes	Y	Y	Y	N/A	N/A	N/A	DG	0	
										1	
MCC	R	Yes	Y	Y	Y	N/A	N/A	N/A	Y	R	
NASA	DG	Yes	DG	Y	Y	N/A	N/A	N/A	DG	LG	
NARA	DG	Yes	0	DG	DG	N/A	DG	5	DG	DG	
	-										
NCPC	R	Yes	0	DG	Y	N/A	N/A	N/A	Y	R	
	20		D C	D C	20	NI / A		- 0		D.C	
NCUA	DG	Yes	DG	DG	DG	N/A	DG	5, 8	DG	DG	
NEA	R	Yes	Y	R	Y	N/A	NI / A	N/A	R	D	
NEA	ĸ	res	ř	ĸ	ř	N/A	N/A	N/A	ĸ	R	
NEH	LG	Yes	DG	R	Y	N/A	DG	5	DG	Y	
	LG	res	DG	ň	Ĭ	IN/A	DG	2	DG	ř	
NIGC	R	Yes	LG	R	DG	N/A	DG	5	DG	Y	
NIGC	ĸ	185	10	ń	טע	IN/A	DG	3	DG	ť	
NLRB	R	Yes	0	R	DG	N/A	DG	5	DG	0	
INLKB	ĸ	185	0	ń	טע	IN/A	DG	3	DG	0	
NMB	R	No	R	R	R	N/A	R	N/A	DG	R	
INIVID	n	INU	n	n	n	IN/A	n	IN/ A	DG	n	



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POID Training During Reporting Period Held Conference/ Conducted Training Period Staff Staff Mod Attended Training Concert Staff Mod Staff Training Concert Staff Mod Staff Training Disclosure Staff Mod Staff Training Concert Staff Mod Staff Place to Review Made a Disclosure Review Connection With Dis Made a Disclosure Porcess Disclosure Porcess Disclosure Porces Disclosure Porces Disclosure		Section I Steps Taken to Apply the Presumption of Openness										
AgencyHeld Conference/ Conducted TrainingAttended Outside TrainingStaff who Attended CommunityOutreach w/ Req. ReviewPlace to ReviewMade a Dis. ReviewConnection with Dis. DisclosureQuarterly ReportsScore for Sec. INSFLGYesLGDGYN/ADGSRYNTSBDGYesDGRYN/ADGSDGLGNRCDGYesDGDGDGN/ADG2,5DGDGOSHRCLGYesDGDGDGN/ADGSDGDGOGELGYesDGYDGN/ADGSDGDGOGELGYesDGYDGN/ADGSDGDGOMBRYesDGYDGN/ADGSDGDGOMBRYesDGRYDGN/ADGSDGDGONDCPDGYesDGRYN/ADGSLGDGONHIRDGNoDGRYN/ADGSLGDGONHIRDGYesDGDGDGN/ADGSLGDGONHIRDGYesDGDGDGN/ADGSROOPMDGYesDGDGDGN/ADGS		FOIA Tra		ng Period			Discretiona	ary Disclosures				
AgencyConducted TrainingTrainingTrainingCommunityReviewReviewDisclosureDisclosureReportsSec.1NSFLGYesLGDGYN/ADGSRYNTS8DGYesDGRYN/ADGSDGLGNRCDGYesDGDGDGDGN/ADGSDGDGOSHRCLGYesDGDGDGN/ADGSDGDGOSHRCLGYesDGYDGN/ADGSDGDGOGELGYesDGYDGN/ADGSDGDGOGELGYesDGYDGN/ADGSRYONDCPDGYesDGRDGN/ADGSRYONHRDGYesDGRYN/AN/AN/ARDGOPMDGYesLGDGDGN/ADGSLGDGOSCLGYesDGRYN/ADGSRQOPHDGYesDGRYN/ADGSLGDGOPHDGYesDGDGDGN/ADGSROOPHDGYesDGDGDGN/ADGSRO <th></th> <th></th> <th></th> <th></th> <th></th> <th>Process in</th> <th></th> <th></th> <th></th> <th></th> <th></th>						Process in						
NSF LG Yes LG DG Y N/A DG S R Y NTSB DG Yes DG R Y N/A DG S DG LG NRC DG Yes DG DG DG DG N/A DG 2, S DG DG OSHRC LG Yes DG DG DG N/A DG 2, S DG DG OSHRC LG Yes DG DG DG N/A DG 5 DG LG OGE LG Yes DG Y DG N/A DG 5 DG LG OMB R Yes DG Y DG N/A DG 5 DG LG ONDCP DG Yes DG R DG N/A DG 5 R Y ONHIR DG No DG R Y N/A N/A N/A R 0 OPM DG Yes DG DG DG N/A DG 5 LG DG OSTP DG Yes DG DG <t< th=""><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th>-</th><th></th></t<>										-		
NTSB DG Yes DG R Y N/A DG S DG LG NRC DG Yes DG DG DG DG DG N/A DG 2,5 DG DG DG OSHRC LG Yes O DG DG N/A DG 5 DG LG OGE LG Yes DG Y DG N/A DG 5 DG DG OMB R Yes DG Y DG N/A DG 5 DG LG OMB R Yes DG R DG N/A DG 5 DG LG ONDCP DG Yes DG R DG N/A DG 5 R Y ONHIR DG No DG R Y N/A N/A N/A R 0 OPM DG Yes DG DG DG N/A DG 5 LG DG	Agency	Conducted Training	Training	Training	Community	Review	Review	Disclosure	Disclosure	Reports	Sec. I	
NTSB DG Yes DG R Y N/A DG S DG LG NRC DG Yes DG DG DG DG DG N/A DG 2,5 DG DG DG OSHRC LG Yes O DG DG N/A DG 5 DG LG OGE LG Yes DG Y DG N/A DG 5 DG DG OMB R Yes DG Y DG N/A DG 5 DG LG OMB R Yes DG R DG N/A DG 5 DG LG ONDCP DG Yes DG R DG N/A DG 5 R Y ONHIR DG No DG R Y N/A N/A N/A R 0 OPM DG Yes DG DG DG N/A DG 5 LG DG												
NRC DG Yes DG DG DG DG DG N/A DG 2,5 DG DG OSHRC LG Yes O DG DG N/A DG 5 DG LG OGE LG Yes DG Y DG N/A DG 5 DG DG OMB R Yes DG Y DG N/A DG 5 DG LG ONDCP DG Yes DG R DG N/A DG 5 R Y ONHIR DG No DG R Y N/A N/A DG 2,5 LG DG OPM DG Yes LG DG DG N/A DG 2,5 LG DG OSTP DG Yes DG DG DG N/A DG 5 R O ODNI LG Yes DG R Y N/A DG 5 R O ODNI LG Yes DG R Y N/A DG 5 R O ODNI LG Yes DG </td <td>NSF</td> <td>LG</td> <td>Yes</td> <td>LG</td> <td>DG</td> <td>Y</td> <td>N/A</td> <td>DG</td> <td>5</td> <td>R</td> <td>Y</td>	NSF	LG	Yes	LG	DG	Y	N/A	DG	5	R	Y	
NRC DG Yes DG DG DG DG DG N/A DG 2,5 DG DG OSHRC LG Yes O DG DG N/A DG 5 DG LG OGE LG Yes DG Y DG N/A DG 5 DG DG OMB R Yes DG Y DG N/A DG 5 DG LG ONDCP DG Yes DG R DG N/A DG 5 R Y ONHIR DG No DG R Y N/A N/A DG 2,5 LG DG OPM DG Yes LG DG DG N/A DG 2,5 LG DG OSTP DG Yes DG DG DG N/A DG 5 R O ODNI LG Yes DG R Y N/A DG 5 R O ODNI LG Yes DG R Y N/A DG 5 R O ODNI LG Yes DG </td <td></td>												
OSHRC LG Yes O DG DG N/A DG S DG LG OGE LG Yes DG Y DG N/A DG S DG DG OMB R Yes DG Y DG N/A DG S DG DG ONDCP DG Yes DG R DG N/A DG S R Y ONHIR DG No DG R Y N/A N/A N/A R O OPM DG No DG R Y N/A N/A DG S LG DG OSTP DG Yes DG DG DG N/A DG S LG DG OSTP DG Yes DG DG DG N/A DG S LG DG OSC LG Yes DG DG DG N/A DG S R Q ODNI LG Yes DG DG DG N/A DG S R LG OPNI LG Yes DG DG DG <td>NTSB</td> <td>DG</td> <td>Yes</td> <td>DG</td> <td>R</td> <td>Y</td> <td>N/A</td> <td>DG</td> <td>5</td> <td>DG</td> <td>LG</td>	NTSB	DG	Yes	DG	R	Y	N/A	DG	5	DG	LG	
OSHRC LG Yes O DG DG N/A DG S DG LG OGE LG Yes DG Y DG N/A DG S DG DG OMB R Yes DG Y DG N/A DG S DG DG ONDCP DG Yes DG R DG N/A DG S R Y ONHIR DG No DG R Y N/A N/A N/A R O OPM DG No DG R Y N/A N/A DG S LG DG OSTP DG Yes DG DG DG N/A DG S LG DG OSTP DG Yes DG DG DG N/A DG S LG DG OSC LG Yes DG DG DG N/A DG S R Q ODNI LG Yes DG DG DG N/A DG S R LG OPNI LG Yes DG DG DG <td></td>												
OGE LG Yes DG Y DG N/A DG S DG DG OMB R Yes DG Y DG N/A DG S DG LG ONDCP DG Yes DG R DG N/A DG S R Y ONHIR DG No DG R Y N/A N/A N/A R O OPM DG Yes LG DG DG N/A DG 2,5 LG DG OSTP DG Yes DG DG DG N/A DG 5 R O OSTP DG Yes DG R Y N/A DG 5 LG DG OSTP DG Yes DG R Y N/A DG 5 R O ODNI LG Yes LG R Y N/A DG 5 R O USTR LG Yes DG DG DG N/A DG 5 LG LG OPIC DG Yes Y Y DG N/A	NRC	DG	Yes	DG	DG	DG	N/A	DG	2, 5	DG	DG	
OGE LG Yes DG Y DG N/A DG S DG DG OMB R Yes DG Y DG N/A DG S DG LG ONDCP DG Yes DG R DG N/A DG S R Y ONHIR DG No DG R Y N/A N/A N/A R O OPM DG Yes LG DG DG N/A DG 2,5 LG DG OSTP DG Yes DG DG DG N/A DG 5 R O OSTP DG Yes DG R Y N/A DG 5 LG DG OSTP DG Yes DG R Y N/A DG 5 R O ODNI LG Yes LG R Y N/A DG 5 R O USTR LG Yes DG DG DG N/A DG 5 LG LG OPIC DG Yes Y Y DG N/A												
OGE LG Yes DG Y DG N/A DG S DG DG OMB R Yes DG Y DG N/A DG S DG LG ONDCP DG Yes DG R DG N/A DG S R Y ONHIR DG No DG R Y N/A N/A N/A R O OPM DG Yes LG DG DG N/A DG 2,5 LG DG OSTP DG Yes DG DG DG N/A DG 5 R O OSTP DG Yes DG R Y N/A DG 5 R O OSTP DG Yes DG R Y N/A DG 5 R O ODNI LG Yes LG R Y N/A DG 5 R O USTR LG Yes DG DG DG N/A DG 5 LG LG OPIC DG Yes Y Y DG N/A	OSHRC	LG	Yes	0	DG	DG	N/A	DG	5	DG	LG	
OMB R Yes DG Y DG N/A DG 5 DG LG ONDCP DG Yes DG R DG N/A DG 5 R Y ONHIR DG No DG R Y N/A N/A N/A R O OPM DG Yes LG DG DG N/A DG 2,5 LG DG OSTP DG Yes DG DG DG N/A DG 5 LG DG OSC LG Yes DG R Y N/A DG 5 R O ODNI LG Yes DG R Y N/A DG 5 R O USTR LG Yes DG DG DG N/A DG 5 R LG OPIC DG Yes Y Y DG N/A DG 5 LG LG PC LG Yes <												
OMB R Yes DG Y DG N/A DG 5 DG LG ONDCP DG Yes DG R DG N/A DG 5 R Y ONHIR DG No DG R Y N/A N/A N/A R O OPM DG Yes LG DG DG N/A DG 2,5 LG DG OSTP DG Yes DG DG DG N/A DG 5 LG DG OSC LG Yes DG R Y N/A DG 5 R O ODNI LG Yes DG R Y N/A DG 5 R O USTR LG Yes DG DG DG N/A DG 5 R LG OPIC DG Yes Y Y DG N/A DG 5 LG LG PC LG Yes <	OGE	LG	Yes	DG	Y	DG	N/A	DG	5	DG	DG	
ONDCP DG Yes DG R DG N/A DG S R Y ONHIR DG No DG R Y N/A N/A N/A R O OPM DG Yes LG DG DG N/A DG 2, 5 LG DG OSTP DG Yes DG DG DG N/A DG 5 LG DG OSC LG Yes DG R Y N/A DG 5 R O ODNI LG Yes DG R Y N/A DG 5 DG Y USTR LG Yes DG DG DG N/A DG 5 LG LG OPIC DG Yes Y Y DG N/A DG 5 LG LG PC LG Yes Y R DG N/A DG 5 LG Y				-				_		-	-	
ONDCP DG Yes DG R DG N/A DG S R Y ONHIR DG No DG R Y N/A N/A N/A R O OPM DG Yes LG DG DG N/A DG 2, 5 LG DG OSTP DG Yes DG DG DG N/A DG 5 LG DG OSC LG Yes DG R Y N/A DG 5 R O ODNI LG Yes DG R Y N/A DG 5 DG Y USTR LG Yes DG DG DG N/A DG 5 LG LG OPIC DG Yes Y Y DG N/A DG 5 LG LG PC LG Yes Y R DG N/A DG 5 LG Y	OMB	R	Yes	DG	Y	DG	N/A	DG	5	DG	IG	
ONHIR DG No DG R Y N/A N/A N/A R O OPM DG Yes LG DG DG N/A DG 2,5 LG DG OSTP DG Yes DG DG DG N/A DG 5 LG DG OSC LG Yes DG R Y N/A DG 5 R O ODNI LG Yes LG R Y N/A DG 5 R O USTR LG Yes DG DG DG N/A DG 5 LG LG PC LG Yes Y R DG N/A DG 5 LG Y	OND	N N	105	50	•	20		50	3	50	20	
ONHIR DG No DG R Y N/A N/A N/A R O OPM DG Yes LG DG DG N/A DG 2,5 LG DG OSTP DG Yes DG DG DG N/A DG 5 LG DG OSC LG Yes DG R Y N/A DG 5 R O ODNI LG Yes LG R Y N/A DG 5 R O USTR LG Yes DG DG DG N/A DG 5 LG LG PC LG Yes Y R DG N/A DG 5 LG Y		DG	Vec	DG	P	DG	Ν/Λ	DG	5	P	v	
OPM DG Yes LG DG DG N/A DG 2,5 LG DG OSTP DG Yes DG DG DG N/A DG 5 LG DG OSC LG Yes DG R Y N/A DG 5 R O ODNI LG Yes LG R Y N/A DG 5 DG Y USTR LG Yes DG DG DG N/A DG 5 R LG OPIC DG Yes Y Y DG N/A DG 5 LG LG PC LG Yes Y R DG N/A DG 5 LG Y	ONDER	50	105	20	N	20	N/A	50	5	K	,	
OPM DG Yes LG DG DG N/A DG 2,5 LG DG OSTP DG Yes DG DG DG N/A DG 5 LG DG OSC LG Yes DG R Y N/A DG 5 R O ODNI LG Yes LG R Y N/A DG 5 DG Y USTR LG Yes DG DG DG N/A DG 5 R LG OPIC DG Yes Y Y DG N/A DG 5 LG LG PC LG Yes Y R DG N/A DG 5 LG Y	ONHIR	DG	No	DG	R	v	Ν/Δ	Ν/Δ	Ν/Δ	R	0	
OSTP DG Yes DG DG DG N/A DG S LG DG OSC LG Yes DG R Y N/A DG S R O ODNI LG Yes LG R Y N/A DG S DG Y USTR LG Yes DG DG DG N/A DG S R LG OPIC DG Yes Y Y DG N/A DG S LG LG PC LG Yes Y R DG N/A DG S LG Y	ONTIN	50	NO	50	N	1	N/A	N/A	N/A	N	U	
OSTP DG Yes DG DG DG N/A DG S LG DG OSC LG Yes DG R Y N/A DG S R O ODNI LG Yes LG R Y N/A DG S DG Y USTR LG Yes DG DG DG N/A DG S R LG OPIC DG Yes Y Y DG N/A DG S LG LG PC LG Yes Y R DG N/A DG S LG Y	OPM	DG	Voc	16	DG	DG	Ν/Λ	DG	2 5	16	DG	
OSC LG Yes DG R Y N/A DG S R O ODNI LG Yes LG R Y N/A DG 5 DG Y USTR LG Yes DG DG DG N/A DG 5 R LG OPIC DG Yes Y Y DG N/A DG 5 LG LG PC LG Yes Y R DG N/A DG 5 LG Y	OFIN	00	165	10	00	DG	NA	50	2, 3	10	DG	
OSC LG Yes DG R Y N/A DG S R O ODNI LG Yes LG R Y N/A DG 5 DG Y USTR LG Yes DG DG DG N/A DG 5 R LG OPIC DG Yes Y Y DG N/A DG 5 LG LG PC LG Yes Y R DG N/A DG 5 LG Y		DC	Vac	DC	DC	DC	NI / A	DC	F		DC	
ODNI LG Yes LG R Y N/A DG 5 DG Y USTR LG Yes DG DG DG N/A DG 5 R LG OPIC DG Yes Y Y DG N/A DG 5 LG LG PC LG Yes Y R DG N/A DG 5 LG Y	031P	DG	Tes	DG	DG	DG	N/A	DG	5	10	DG	
ODNI LG Yes LG R Y N/A DG 5 DG Y USTR LG Yes DG DG DG N/A DG 5 R LG OPIC DG Yes Y Y DG N/A DG 5 LG LG PC LG Yes Y R DG N/A DG 5 LG Y	020	10	Yee	DC	P	V	NI / A	DC		P	0	
USTR LG Yes DG DG DG N/A DG 5 R LG OPIC DG Yes Y Y DG N/A DG 5 LG LG PC LG Yes Y R DG N/A DG 5 LG Y	USC	LG	Yes	DG	К	Ŷ	N/A	DG	5	ĸ	0	
USTR LG Yes DG DG DG N/A DG 5 R LG OPIC DG Yes Y Y DG N/A DG 5 LG LG PC LG Yes Y R DG N/A DG 5 LG Y	0001						NI / A	56			, v	
OPIC DG Yes Y Y DG N/A DG 5 LG LG PC LG Yes Y R DG N/A DG 5 LG Y	ODNI	LG	Yes	LG	К	Ŷ	N/A	DG	5	DG	Ŷ	
OPIC DG Yes Y Y DG N/A DG 5 LG LG PC LG Yes Y R DG N/A DG 5 LG Y				2.0								
PC LG Yes Y R DG N/A DG 5 LG Y	USTR	LG	Yes	DG	DG	DG	N/A	DG	5	К	LG	
PC LG Yes Y R DG N/A DG 5 LG Y												
	OPIC	DG	Yes	Y	Y	DG	N/A	DG	5	LG	LG	
PBGC LG Yes LG DG DG N/A DG 5 LG DG	PC	LG	Yes	Y	R	DG	N/A	DG	5	LG	Y	
PBGC LG Yes LG DG DG N/A DG 5 LG DG	-											
	PBGC	LG	Yes	LG	DG	DG	N/A	DG	5	LG	DG	



U.S. Department of Justice

	Section I Steps Taken to Apply the Presumption of Openness										
	FOIA Tra	ining During Reporti	-				ry Disclosures		Posted all FY		
		FOIA Staff	Estimate of FOIA	Agency Conducted	Process in	All Components		Exemptions Used in	2013	Overall	
	Held Conference/	Attended Outside	Staff who Attended	Outreach w/ Req.	Place to	Have a Process for	Made a Dis.	Connection with Dis.	Quarterly	Score for	
Agency	Conducted Training	Training	Training	Community	Review	Review	Disclosure	Disclosure	Reports	Sec. I	
PRC	DG	Yes	DG	DG	DG	N/A	DG	5	DG	DG	
RRB	R	No	R	R	DG	N/A	DG	5	Y	R	
RATB	LG	Yes	DG	Y	DG	N/A	N/A	N/A	LG	LG	
R						-					
SEC	DG	Yes	DG	DG	Y	N/A	DG	5	DG	DG	
					-			-			
SSS	R	Yes	Y	DG	Y	N/A	N/A	N/A	DG	0	
	i c	105	•	50	·			,,,,	20	U	
SBA	DG	Yes	DG	DG	DG	N/A	DG	5	LG	DG	
JBA	00	165	DG	00	DG	N/A	DG	5	10	DG	
SSA	DG	Yes	DG	DG	DG	N/A	DG	5	DG	DG	
55A	DG	Tes	DG	DG	DG	N/A	DG	5	DG	DG	
SIGAR	DG	No	DG	Y	DG	N/A	DG	2, 5	DG	DG	
SIGAR	DG	INU	DG	ř	DG	N/A	DG	2, 5	DG	DG	
STB	R	Vee	10	Y		NI / A	NI / A	NI / A	DC	D	
SIB	ĸ	Yes	LG	Ŷ	R	N/A	N/A	N/A	DG	R	
TVA	R	No	R	Y	Y	N/A	DG	5	DG	0	
						/ .					
USADF	R	Yes	DG	R	DG	N/A	N/A	N/A	Y	0	
USAID	DG	Yes	DG	DG	DG	N/A	DG	5	DG	DG	
											
USCCR	R	Yes	LG	R	DG	N/A	R	N/A	DG	R	
USCPSC	LG	Yes	0	R	DG	N/A	DG	5	DG	Y	
USCO	R	No	R	R	DG	N/A	N/A	N/A	DG	R	
USIBWC	R	No	R	R	R	N/A	DG	5	DG	R	



			Sectio	on I Steps Taken to A	Apply the Presu	mption of Openness				
	FOIA Tra	ining During Reporti	ng Period		Discretionary Disclosures				Posted all FY	
Agency	Held Conference/ Conducted Training	FOIA Staff Attended Outside Training	Estimate of FOIA Staff who Attended Training	Agency Conducted Outreach w/ Req. Community	Process in Place to Review	All Components Have a Process for Review	Made a Dis. Disclosure	Exemptions Used in Connection with Dis. Disclosure	2013 Quarterly Reports	Overall Score for Sec. I
USITC	DG	Yes	DG	R	DG	N/A	N/A	N/A	DG	LG
USPS	LG	Yes	DG	R	R	N/A	DG	2, 3, 5	DG	Y
USTDA	R	Yes	DG	Y	DG	N/A	R	N/A	Y	0

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Assessment of Federal Departments and Agencies

U.S. Department of Justice

		Section II - S	Steps Taken to Ensure that Age	ncy Has an Ef	fective System in Place for	Responding to Requests		
	Perso	onnel	Requests for Expedited Pr	ocessing	Agency has taken steps	Requester Se	ervices	
	Has Agency Converted	Proportion of	Did agency maintain an avg.	Avg. Time	to make handling of	Agency uses Electronic Means to	Agency notifies	Overall
	all FOIA Professionals	Personnel That Have	of 10 calendar days or less to	to	referrals & consultations	Communicate with Requesters	requesters of mediation	Score for
Agency	to New GIS Series?	Been Converted	adjudicate expedition	Adjudicate	more efficient	(when feasible)	services offered by OGIS	Sec. II
	-	•	•			•		
ACUS	N/A	N/A	N/A	N/A	N/A	DG	DG	DG
	,		,	,	,		-	
ABMC	N/A	N/A	N/A	N/A	R	DG	DG	Y
Abivic	14/7	N/X	14/7	N/X	i.	200		
Amtrak	N/A	N/A	DG	1.00	DG	DG	DG	DG
AIIIUAK	IN/A	N/A	BG	1.00	DG	BG	DG	DG
AFRH	N/A	N/A	N/A	N/A	N/A	DG	DG	DG
FRB	N/A	N/A	DG	9.00	DG	DG	Y	DG
BBG	N/A	N/A	0	12.00	N/A	DG	Y	0
CIA	R	0%	DG	10.00	DG	R	DG	0
CSB	N/A	N/A	N/A	N/A	N/A	DG	DG	DG
CPPBSD	N/A	N/A	N/A	N/A	R	DG	R	R
CITESE	N/A	N/A	11/2	NA	N	50	N	K
CFPB	DG	100%	DG	1.00	N/A	DG	DG	DC
СЕРВ	DG	100%	DG	1.00	N/A	DG	DG	DG
CFTC	N/A	N/A	DG	10.00	DG	DG	DG	DG
CNCS	N/A	N/A	DG	5.50	N/A	DG	DG	DG
-								
CIGIE	N/A	N/A	N/A	N/A	N/A	DG	DG	DG
CEQ	N/A	N/A	R	116.00	DG	DG	Y	Y
	·							
CSOSA	DG	100%	DG	1.00	N/A	DG	Y	DG
0000,1	20	20070	25	2.00				
DNFSB	R	0%	DG	7.00	R	DG	DG	0
DINESP	ĸ	U%	DG	7.00	К	DG	טע	0



Assessment of Federal Departments and Agencies

U.S. Department of Justice

		Section II - S	teps Taken to Ensure that Ager	ncy Has an Ef	fective System in Place for	Responding to Requests		
	Perso	onnel	Requests for Expedited Pro	ocessing	Agency has taken steps	Requester Se	ervices	
	Has Agency Converted	Proportion of	Did agency maintain an avg.	Avg. Time	to make handling of	Agency uses Electronic Means to	Agency notifies	Overall
	all FOIA Professionals	Personnel That Have	of 10 calendar days or less to	to	referrals & consultations	Communicate with Requesters	requesters of mediation	Score for
Agency	to New GIS Series?	Been Converted	adjudicate expedition	Adjudicate	more efficient	(when feasible)	services offered by OGIS	Sec. II
						•		
USDA	Y	50%	DG	1.75	DG	DG	DG	DG
DOC	R	0%	DG	9.00	DG	DG	DG	LG
DOC	Ν	078	20	9.00	DG	60	DG	10
DOD	Ŷ	F.00/	DC.	F 00	DC		DC	DC
DOD	Y	50%	DG	5.00	DG	DG	DG	DG
ED	R	15%	DG	6.86	N/A	DG	DG	LG
-								
DOE	LG	75%	DG	7.06	DG	DG	DG	DG
HHS	DG	85%	0	12.00	DG	DG	DG	LG
DHS	LG	75%	DG	4.09	DG	DG	DG	DG
HUD	R	0%	DG	6.68	N/A	DG	DG	LG
nob	N	078	20	0.08	N/A	60	00	10
DOL		000/	20	4.40	DC	50	D C	
DOJ	LG	80%	DG	4.19	DG	DG	DG	DG
								1
Labor	DG	100%	R	41.40	N/A	DG	DG	LG
State	R	3%	DG	6.00	DG	DG	DG	LG
DOI	DG	100%	DG	5.00	DG	DG	DG	DG
Treasury	R	15%	DG	2.63	DG	DG	DG	LG
, succes			- •				- •	
DOT	R	20%	DG	1.03	R	DG	DG	0
001	n	2070	DG	1.02	n	טע	טע	0
	_	4		a = -			D -	
VA	R	15%	DG	6.59	N/A	DG	DG	LG
EPA	R	0%	Y	10.53	DG	DG	DG	Y



Assessment of Federal Departments and Agencies

U.S. Department of Justice

	Section II - Steps Taken to Ensure that Agency Has an Effective System in Place for Responding to Requests Personnel Requests for Expedited Processing Agency has taken steps Requester Services											
		-	Requests for Expedited Pr			Requester Se						
	Has Agency Converted	Proportion of	Did agency maintain an avg.	Avg. Time	to make handling of	Agency uses Electronic Means to	Agency notifies	Overall				
	all FOIA Professionals	Personnel That Have	of 10 calendar days or less to		referrals & consultations	Communicate with Requesters	requesters of mediation	Score for				
Agency	to New GIS Series?	Been Converted	adjudicate expedition	Adjudicate	more efficient	(when feasible)	services offered by OGIS	Sec. II				
EEOC	R	0%	DG	6.67	DG	DG	DG	LG				
Ex-Im Bank	R	0%	R	41.00	DG	DG	DG	0				
		0,0		12.00	20			U U				
FCA	N/A	N/A	DG	2.00	N/A	DG	DG	DG				
FCA	N/A	N/A	DG	2.00	N/A	69	DG	DG				
FCSIC	N/A	N/A	N/A	N/A	N/A	DG	DG	DG				
-												
FCC	R	0%	DG	4.50	N/A	DG	DG	LG				
FDIC	DG	100%	DG	2.32	N/A	DG	R	LG				
FEC	N/A	N/A	DG	3.00	N/A	DG	Y	LG				
FERC	R	0%	DG	7.70	N/A	DG	DG	LG				
		0,0										
FFIEC	N/A	N/A	N/A	N/A	N/A	DG	DG	DG				
FFILC	N/A	N/A	N/A	N/A	N/A	DG	DG	DG				
	~ ~	1000/										
FHFA	DG	100%	DG	1.50	N/A	DG	DG	DG				
-												
FLRA	N/A	N/A	R	14.33	DG	DG	DG	LG				
FMC	DG	100%	DG	5.00	N/A	DG	DG	DG				
FMCS	N/A	N/A	DG	1.00	N/A	DG	DG	DG				
L	·	·			•							
FMSHRC	N/A	N/A	DG	5.70	N/A	DG	Y	LG				
TWISHING	14/1	14/1	255	5.70	14/1		I.					
FONC	NI / A	NI / A		10.00	DG		γ					
FOMC	N/A	N/A	DG	10.00	νG	DG	۲	DG				
FRTIB	R	0%	N/A	N/A	R	DG	R	R				



Assessment of Federal Departments and Agencies

U.S. Department of Justice

Office	of Info	ormation	Policy
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Personal Requests for Logarity of Processing Bit POIA Professionals Requests for Logarity of Dia Science all POIA Professionals Requests for Logarity of Dia Science alguidate expedition Agenny Agenny Agenny b New GiS Scries? Requests for Logarity of Dia Science alguidate expedition Agenny Agenny Agenny Agenny Agenny Agenny Agenny Mark Banding of Basen Converted Open alguidate expedition Agenny Agenny Agenny Agenny Agenny Agenny Agenny Basen Converted Open alguidate expedition Agenny Agenny Agenny Agenny Agenny Agenny Agenny Basen Converted Open alguidate expedition Agenny Agenny Agenny Agenny Agenny Agenny Agenny Basen Converted Open alguidate expedition Agenny Agenny Agenny Agenny Agenny Basen Converted Open alguidate expedition Agenny Agenny Agenny Agenny Basen Converted Open alguidate expedition Agenny Agenny Agenny Agenny Basen Converted Open alguidate expedition Agenny Agenny Agenny Agenny Basen Converted Open alguidate expedition Converting Agenny Ag			Section II - S	Steps Taken to Ensure that Age	ncy Has an Ef	fective System in Place for	Responding to Requests		
Agencyall FOIA ProfessionalsPersonnel That Have Been Convertedof 10 catendar days or less to adjudicate expeditionto referrate & consultations more efficientcommunicate with Requesters (when feasible)requesters of medication services offered by OGISScore for services offered by OGISFTCR0%DG4.94N/ADGDGLGGSAR15%DG1.00DGDGDGDGDGIMISN/AN/AN/AN/AN/ADGDGDGDGIMISN/AN/AN/AN/AN/ADGDGDGDGIMISN/AN/AN/AN/AN/ADGDGDGDGIMISN/AN/AN/AN/AN/ADGDGDGDGIMISN/AN/AN/AN/AN/ADGDGDGDGIMISN/AN/AN/AN/AN/ADGDGDGDGIMISN/AN/AN/AN/AN/ADGDGDGDGIMICN/AN/AN/AN/AN/AN/ADGDGDGDGIMICN/AN/AN/AN/AN/AN/ADGDGDGDGDGIMISN/AN/AN/AN/AN/AN/ADGDGDGDGDGIMICN/AN/AN/AN/AN/AN/AN/			nnel	Requests for Expedited Pr	ocessing	Agency has taken steps	Requester Se	ervices	
Agencyto New GIS Series?Been Convertedadjudicate expeditionAdjudicatemore efficient(when feasible)services offered by OGISSec. IIFTCR0%DG4.94N/ADGDGDGIGGSAR15%DG1.00DGDGDGDGIGIMLSN/AN/AN/AN/ADGDGDGDGDGIAFN/AN/AN/AN/AN/ADGDGDGDGIAFN/AN/AN/AN/AN/ADGDGDGDGIAFN/AN/AN/AN/AN/ADGDGDGDGIAFN/AN/AN/AN/AN/ADGDGDGDGIAFN/AN/AN/AN/AN/ADGDGDGDGIAFN/AN/AN/AN/AN/ADGDGDGDGIAFN/AN/AN/AN/AN/ADGDGDGDGIAFN/AN/AN/AN/AN/ADGDGDGDGDGIAFN/AN/AN/AN/AN/AN/ADGDGDGDGDGIAFN/AN/AN/AN/AN/AN/ADGDGDGDGDGDGIAFN/AN/AN/AN/AN/AN/ADGDGDGDG		Has Agency Converted	Proportion of	Did agency maintain an avg.	Avg. Time	to make handling of	Agency uses Electronic Means to	Agency notifies	Overall
FTC R 0% DG 4.94 N/A DG DG LG GSA R 15% DG 1.00 DG DG DG LG IMLS N/A N/A N/A N/A DG DG DG DG DG IMLS N/A N/A N/A N/A DG DG DG DG DG IAF N/A N/A N/A N/A N/A DG DG DG DG LSC N/A N/A N/A N/A N/A DG DG DG DG MSPB R 0% N/A N/A N/A N/A DG DG QG Y MCC N/A N/A N/A N/A N/A DG DG DG DG DG NASA DG 100% DG 4.22 N/A DG DG DG IG NARA R 1% DG 3.00 DG DG DG IG IG		all FOIA Professionals	Personnel That Have	of 10 calendar days or less to	to	referrals & consultations	Communicate with Requesters	requesters of mediation	Score for
GSA R 15% DG 1.00 DG	Agency	to New GIS Series?	Been Converted	adjudicate expedition	Adjudicate	more efficient	(when feasible)	services offered by OGIS	Sec. II
GSA R 15% DG 1.00 DG			•						
GSA R 15% DG 1.00 DG	FTC	R	0%	DG	4 94	N/A	DG	DG	IG
IMLS N/A N/A N/A N/A DG DG DG DG IAF N/A N/A N/A N/A N/A N/A DG DG DG LSC N/A N/A N/A N/A N/A DG DG DG MSPB R 0% N/A N/A N/A DG DG Y MCC N/A N/A N/A N/A DG DG Y LG NASA DG 100% DG 4.22 N/A DG DG DG NARA R 1% DG 3.00 DG DG DG LG NCPC N/A N/A N/A N/A N/A DG DG LG NCUA N/A N/A N/A N/A DG DG LG NEA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A R	110	N	0/0	20	+.5+	N/X		50	20
IMLS N/A N/A N/A N/A DG DG DG DG IAF N/A N/A N/A N/A N/A N/A DG DG DG LSC N/A N/A N/A N/A N/A DG DG DG MSPB R 0% N/A N/A N/A DG DG Y MCC N/A N/A N/A N/A DG DG Y LG NASA DG 100% DG 4.22 N/A DG DG DG NARA R 1% DG 3.00 DG DG DG LG NCPC N/A N/A N/A N/A N/A DG DG LG NCUA N/A N/A N/A N/A DG DG LG NEA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A R	664		450/	50	1.00	50		DC	
IAF N/A N/A N/A N/A DG DG DG DG LSC N/A N/A N/A N/A N/A N/A DG DG DG MSPB R 0% N/A N/A N/A N/A DG DG Y MCC N/A N/A N/A N/A N/A DG DG Y NASA DG 100% DG 4.22 N/A DG DG DG NASA DG 100% DG 3.00 DG DG DG LG NARA R 1% DG 3.00 DG DG LG NCPC N/A N/A N/A N/A N/A QG LG NCUA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 DG DG DG DG	GSA	ĸ	15%	DG	1.00	DG	DG	DG	LG
IAF N/A N/A N/A N/A N/A DG DG DG DG LSC N/A N/A N/A N/A N/A N/A DG DG DG DG MSPB R 0% N/A N/A N/A N/A DG DG Y MCC N/A N/A N/A N/A N/A DG DG Y NASA DG 100% DG 4.22 N/A DG DG DG NASA DG 100% DG 3.00 DG DG DG LG NARA R 1% DG 3.00 DG DG LG NCPC N/A N/A N/A N/A N/A QG LG NCUA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 DG D									
LSC N/A N/A N/A N/A N/A DG DG DG MSPB R 0% N/A N/A N/A N/A DG DG Y MCC N/A N/A N/A N/A N/A DG DG Y LG NASA DG 100% DG 4.22 N/A DG DG DG DG NARA R 1% DG 3.00 DG DG LG NCPC N/A N/A N/A N/A DG LG NCPC N/A N/A N/A N/A DG LG NCUA N/A N/A N/A N/A DG LG NCUA N/A N/A N/A N/A DG LG NEA N/A N/A N/A N/A DG LG NEA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R <	IMLS	N/A	N/A	N/A	N/A	DG	DG	DG	DG
LSC N/A N/A N/A N/A N/A DG DG DG MSPB R 0% N/A N/A N/A N/A DG DG Y MCC N/A N/A N/A N/A N/A DG DG Y LG NASA DG 100% DG 4.22 N/A DG DG DG DG NARA R 1% DG 3.00 DG DG LG NCPC N/A N/A N/A N/A DG LG NCPC N/A N/A N/A N/A DG LG NCUA N/A N/A N/A N/A DG LG NCUA N/A N/A N/A N/A DG LG NEA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG <td< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></td<>									
MSPB R 0% N/A N/A N/A DG DG Y MCC N/A N/A N/A N/A N/A DG Y LG NASA DG 100% DG 4.22 N/A DG DG DG NARA R 1% DG 3.00 DG DG DG LG NARA R 1% N/A N/A N/A DG LG NCPC N/A N/A N/A N/A N/A DG LG NCUA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 DG DG DG DG NIGC N/A N/A R 20.50 DG DG DG LG	IAF	N/A	N/A	N/A	N/A	N/A	DG	DG	DG
MSPB R 0% N/A N/A N/A DG DG Y MCC N/A N/A N/A N/A N/A DG Y LG NASA DG 100% DG 4.22 N/A DG DG DG NARA R 1% DG 3.00 DG DG DG LG NARA R 1% DG 3.00 DG DG Y LG NCPC N/A N/A N/A N/A N/A DG LG NCUA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 DG DG DG DG NIGC N/A N/A R 20.50 DG DG DG LG									
MCC N/A N/A N/A N/A N/A DG Y LG NASA DG 100% DG 4.22 N/A DG DG DG NARA R 1% DG 3.00 DG DG DG LG NARA R 1% DG 3.00 DG DG DG LG NCPC N/A N/A N/A N/A N/A DG Y LG NCUA N/A N/A N/A N/A DG DG DG LG NEA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 DG DG DG DG NIGC N/A N/A R 20.50 DG DG DG LG	LSC	N/A	N/A	N/A	N/A	N/A	DG	DG	DG
MCC N/A N/A N/A N/A N/A DG Y LG NASA DG 100% DG 4.22 N/A DG DG DG NARA R 1% DG 3.00 DG DG DG LG NARA R 1% DG 3.00 DG DG DG LG NCPC N/A N/A N/A N/A N/A DG Y LG NCUA N/A N/A N/A N/A DG DG DG LG NEA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 DG DG DG DG NIGC N/A N/A R 20.50 DG DG DG LG									
MCC N/A N/A N/A N/A N/A DG Y LG NASA DG 100% DG 4.22 N/A DG DG DG NARA R 1% DG 3.00 DG DG DG LG NARA R 1% DG 3.00 DG DG DG LG NCPC N/A N/A N/A N/A N/A DG Y LG NCUA N/A N/A N/A N/A DG DG DG LG NEA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 DG DG DG DG NIGC N/A N/A R 20.50 DG DG DG LG	MSPB	R	0%	N/A	N/A	N/A	DG	DG	Y
NASA DG 100% DG 4.22 N/A DG DG DG NARA R 1% DG 3.00 DG DG DG LG NCPC N/A N/A N/A N/A N/A DG Y LG NCUA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 DG DG DG LG NEH N/A N/A DG 1.00 DG DG DG DG DG NEH N/A N/A R 20.50 DG DG DG LG			•••						
NASA DG 100% DG 4.22 N/A DG DG DG NARA R 1% DG 3.00 DG DG DG LG NCPC N/A N/A N/A N/A N/A DG Y LG NCUA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 DG DG DG LG NEH N/A N/A DG 1.00 DG DG DG DG DG NEH N/A N/A R 20.50 DG DG DG LG	MCC	N/A	Ν/Λ	N/A	N/A	N/A	DG	V	IG
NARAR1%DG3.00DGDGDGDGLGNCPCN/AN/AN/AN/AN/AN/ADGYLGNCUAN/AN/AR14.00DGDGDGLGNEAN/AN/ADG1.00RDGDGLGNEHN/AN/ADG1.00DGDGDGDGNIGCN/AN/AR20.50DGDGDGLG	Wiee	N/A	N/A	11/1	N/A	N/A	83	I	10
NARAR1%DG3.00DGDGDGDGLGNCPCN/AN/AN/AN/AN/AN/ADGYLGNCUAN/AN/AR14.00DGDGDGLGNEAN/AN/ADG1.00RDGDGLGNEHN/AN/ADG1.00DGDGDGDGNIGCN/AN/AR20.50DGDGDGLG	NACA	DC	100%		4.22	N1/A		DC	DC
NCPC N/A N/A N/A N/A DG Y LG NCUA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 R DG DG DG NIGC N/A N/A R 20.50 DG DG DG LG	NASA	DG	100%	DG	4.22	N/A	DG	DG	DG
NCPC N/A N/A N/A N/A DG Y LG NCUA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 R DG DG DG NIGC N/A N/A R 20.50 DG DG DG LG									
NCUA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 DG DG DG DG NEH N/A N/A R 20.50 DG DG DG LG	NARA	R	1%	DG	3.00	DG	DG	DG	LG
NCUA N/A N/A R 14.00 DG DG DG LG NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 DG DG DG DG NEH N/A N/A R 20.50 DG DG DG LG									
NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 DG DG DG DG DG NIGC N/A N/A R 20.50 DG DG DG LG	NCPC	N/A	N/A	N/A	N/A	N/A	DG	Y	LG
NEA N/A N/A DG 1.00 R DG DG LG NEH N/A N/A DG 1.00 DG DG DG DG DG NIGC N/A N/A R 20.50 DG DG DG LG									
NEH N/A N/A DG 1.00 DG DG DG DG DG NIGC N/A N/A R 20.50 DG DG DG LG	NCUA	N/A	N/A	R	14.00	DG	DG	DG	LG
NEH N/A N/A DG 1.00 DG DG DG DG DG NIGC N/A N/A R 20.50 DG DG DG LG									
NEH N/A N/A DG 1.00 DG DG DG DG DG NIGC N/A N/A R 20.50 DG DG DG LG	NEA	N/A	N/A	DG	1.00	R	DG	DG	LG
NIGC N/A N/A R 20.50 DG DG LG			•						
NIGC N/A N/A R 20.50 DG DG LG	NFH	N/A	N/A	DG	1 00	DG	DG	DG	DG
		1.1/1	14/1	25	1.00	20	20	20	50
	NICC	NI/A	N/A	D	20 50	DC	DC	DC	IC
NLRB N/A N/A DG 4.00 R DG LG	NIGC	IN/A	IN/A	ĸ	20.50	טע	DG	טע	10
NLRB N/A N/A DG 4.00 R DG LG									
	NLRB	N/A	N/A	DG	4.00	R	DG	DG	LG
NMB N/A R 15.00 R DG DG O	NMB	N/A	N/A	R	15.00	R	DG	DG	0



Assessment of Federal Departments and Agencies

U.S. Department of Justice

	Section II - Steps Taken to Ensure that Agency Has an Effective System in Place for Responding to Requests													
	Perso	onnel	Requests for Expedited Pr	ocessing	Agency has taken steps	Requester Se	ervices							
	Has Agency Converted	Proportion of	Did agency maintain an avg.	Avg. Time	to make handling of	Agency uses Electronic Means to		Overall						
	all FOIA Professionals	Personnel That Have	of 10 calendar days or less to	to	referrals & consultations	Communicate with Requesters	requesters of mediation	Score for						
Agency	to New GIS Series?	Been Converted	adjudicate expedition	Adjudicate	more efficient	(when feasible)	services offered by OGIS	Sec. II						
<u> </u>						· · ·	· · ·							
NSF	DG	100%	DG	10.00	DG	DG	DG	DG						
1131	DG	10078	ba	10.00	DG	60	DG	DG						
		001			~ ^									
NTSB	R	0%	R	24.50	DG	DG	DG	0						
-														
NRC	DG	100%	DG	1.00	DG	DG	DG	DG						
OSHRC	N/A	N/A	N/A	N/A	N/A	DG	DG	DG						
OGE	N/A	N/A	N/A	N/A	DG	DG	DG	DG						
OMB	N/A	N/A	N/A	N/A	DG	DG	DG	DG						
OIVIB	IN/A	N/A	N/A	N/A	DG	DG	DG	DG						
								1						
ONDCP	N/A	N/A	N/A	N/A	N/A	DG	DG	DG						
-														
ONHIR	N/A	N/A	N/A	N/A	N/A	DG	R	0						
OPM	DG	100%	DG	1.00	DG	DG	DG	DG						
OSTP	N/A	N/A	DG	2.00	N/A	DG	DG	DG						
OSC	N/A	N/A	R	97.18	R	DG	DG	0						
030	N/A	N/A	R	97.10	n	DG	DG	0						
					~ ^ ^									
ODNI	R	0%	N/A	N/A	DG	DG	DG	LG						
USTR	R	0%	Y	11.00	DG	DG	Y	0						
OPIC	N/A	N/A	DG	2.00	N/A	DG	DG	DG						
PC	DG	100%	N/A	N/A	R	DG	DG	LG						
	~~						- •							
PBGC	DG	100%	R	29.00	N/A	DG	DG	LG						
FDGC	טע	100%	n	29.00	IN/A	DG	DG	10						



Assessment of Federal Departments and Agencies

U.S. Department of Justice

		Section II - S	iteps Taken to Ensure that Age	ncy Has an Ef	fective System in Place for	Responding to Requests		
	Perso		Requests for Expedited Pr	ocessing	Agency has taken steps	Requester Se		
	Has Agency Converted		Did agency maintain an avg.	Avg. Time	to make handling of	Agency uses Electronic Means to	Agency notifies	Overall
	all FOIA Professionals	Personnel That Have	of 10 calendar days or less to	to	referrals & consultations	Communicate with Requesters	requesters of mediation	Score for
Agency	to New GIS Series?	Been Converted	adjudicate expedition	Adjudicate	more efficient	(when feasible)	services offered by OGIS	Sec. II
						•		
PRC	N/A	N/A	DG	5.00	N/A	DG	DG	DG
	,		_		,		-	-
RRB	N/A	N/A	N/A	N/A	R	DG	DG	Y
KIND	N/A	N/A	N/A	N/A	N	60	DG	1
DATE	NI / A	N1/A	21/2	N1 / A	N1/A	50		
RATB	N/A	N/A	N/A	N/A	N/A	DG	Y	LG
1								1
SEC	N/A	N/A	DG	4.42	N/A	DG	DG	DG
SSS	N/A	N/A	N/A	N/A	N/A	DG	DG	DG
SBA	0	33%	DG	8.00	N/A	DG	DG	LG
					,			
SSA	DG	100%	N/A	N/A	N/A	DG	DG	DG
33,1	50	100/0		,,,		20		50
SIGAR	DG	100%	N/A	N/A	DG	DG	DG	DG
SIGAN	DG	100%	N/A	N/A	DG	BG	DG	DG
070		00/						
STB	R	0%	N/A	N/A	N/A	DG	DG	Y
TVA	N/A	N/A	DG	6.00	N/A	DG	DG	DG
USADF	N/A	N/A	N/A	N/A	N/A	DG	DG	DG
USAID	DG	100%	R	78.85	N/A	DG	DG	LG
USCCR	N/A	N/A	DG	1.00	N/A	DG	DG	DG
OJCCIN	17/7		50	1.00	11/1		20	55
USCPSC	DG	1000/	N/A	N/A	N/A	DG	γ	LG
USCPSC	DG	100%	IN/A	N/A	IN/A	DG	Y	LG
								1
USCO	N/A	N/A	N/A	N/A	N/A	DG	DG	DG
USIBWC	N/A	N/A	N/A	N/A	R	DG	DG	Y
P								



Assessment of Federal Departments and Agencies

U.S. Department of Justice *Office of Information Policy*

		Section II - S	teps Taken to Ensure that Ager	icy Has an Ef	fective System in Place for	Responding to Requests		
	Perso	nnel	Requests for Expedited Pro	ocessing	Agency has taken steps	Requester Se	rvices	
	Has Agency Converted	Proportion of	of Did agency maintain an avg. Avg. Time to make handling of		Agency uses Electronic Means to	Agency notifies	Overall	
	all FOIA Professionals	Personnel That Have	of 10 calendar days or less to	to	referrals & consultations	Communicate with Requesters	requesters of mediation	Score for
Agency	to New GIS Series?	Been Converted	adjudicate expedition	Adjudicate	more efficient	(when feasible)	services offered by OGIS	Sec. II
USITC	N/A	N/A	DG	1.00	N/A	DG	DG	DG
USPS	N/A	N/A	DG	3.25	N/A	DG	DG	DG
USTDA	N/A	N/A	N/A	N/A	N/A	DG	DG	DG



	Sect	tion III Steps Taken 1	to Increase Pro	active Disclosure	es (PDs)		Section IV Steps Taken to Greater Utilize Technology				
	Agency has	Agency Makin	g Material Mo	re Useful	Has agency				ne Tracking System		
Agency	System in Place to Identify Records for PD	Beyond Posting, Taking Steps to Make Info. More Useful	Notifies Public of Important PDs	Used Social Media to Publicize PDs	encountered challenges to posting material online?	Overall Score for Sec. III	Can Requester Track Request Status Electronically	Is Functionality Available for All Components	System Provides an Estimated Date of Completion	If not offered by agency or all components, are steps being taken to establish?	Agency taking steps to utilize more advanced tech.
ACUS	DG	DG	DG	Yes	Yes	DG	No	N/A	N/A	No	No
ABMC	DG	DG	R	No	Yes	Y	No	N/A	N/A	No	No
Amtrak	Y	DG	DG	Yes	No	LG	No	N/A	N/A	No	Yes
AFRH	DG	DG	R	No	No	Y	No	N/A	N/A	Yes	Yes
FRB	DG	DG	DG	Yes	Yes	DG	No	N/A	N/A	Yes	Yes
BBG	DG	DG	DG	Yes	No	DG	No	N/A	N/A	Yes	No
CIA	DG	DG	DG	No	No	DG	No	N/A	N/A	Yes	Yes
CSB	Y	DG	DG	Yes	No	LG	No	N/A	N/A	Yes	Yes
CPPBSD	R	DG	R	No	No	R	No	N/A	N/A	No	No
CFPB	Y	DG	DG	Yes	Yes	LG	No	N/A	N/A	Yes	Yes
CFTC	DG	DG	DG	Yes	No	DG	No	N/A	N/A	Yes	Yes
CNCS	DG	DG	DG	Yes	Yes	DG	No	N/A	N/A	No	No
CIGIE	DG	DG	R	No	No	Y	No	N/A	N/A	N/A	No
CEQ	DG	DG	DG	No	No	DG	Yes	N/A	No	N/A	Yes
CSOSA	Y	R	R	No	No	R	No	N/A	N/A	No	No
DNFSB	R	Y	R	No	No	R	No	N/A	N/A	No	Yes
USDA	DG	DG	DG	Yes	Yes	DG	Yes	Yes	No	N/A	Yes
DOC	DG	DG	DG	Yes	Yes	DG	Yes	Yes	No	N/A	Yes



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	Sect	ion III Steps Taken t	to Increase Pro	active Disclosure	es (PDs)		Section IV Steps Taken to Greater Utilize Technology					
	Agency has	-	g Material Mo		Has agency				ne Tracking System			
Agency	System in Place to Identify Records for PD	Beyond Posting, Taking Steps to Make Info. More Useful	Notifies Public of Important PDs	Used Social Media to Publicize PDs	encountered challenges to posting material online?	Overall Score for Sec. III	Can Requester Track Request Status Electronically	Is Functionality Available for All Components	System Provides an Estimated Date of Completion	If not offered by agency or all components, are steps being taken to establish?	Agency taking steps to utilize more advanced tech.	
DOD	DG	DG	DG	Yes	Yes	DG	Yes	No	Yes	Yes	Yes	
ED	DG	DG	DG	Yes	Yes	DG	Yes	N/A	No	N/A	Yes	
DOE	DG	DG	DG	Yes	Yes	DG	Yes	No	No	Yes	Yes	
HHS	DG	DG	DG	Yes	Yes	DG	Yes	No	Yes	Yes	Yes	
DHS	DG	DG	DG	Yes	Yes	DG	Yes	No	Yes	Yes	Yes	
HUD	DG	DG	R	No	No	Y	Yes	Yes	Yes	N/A	Yes	
DOJ	DG	DG	DG	Yes	Yes	DG	Yes	No	No	Yes	Yes	
Labor	DG	DG	DG	No	Yes	DG	Yes	Yes	Yes	N/A	Yes	
State	DG	DG	DG	Yes	Yes	DG	No	N/A	N/A	Yes	Yes	
DOI	DG	DG	DG	Yes	Yes	DG	Yes	Yes	Yes	N/A	Yes	
Treasury	DG	DG	DG	No	Yes	DG	Yes	No	No	Yes	Yes	
DOT	DG	DG	DG	Yes	Yes	DG	No	N/A	No	Yes	Yes	
VA	DG	DG	DG	Yes	Yes	DG	No	N/A	N/A	Yes	Yes	
EPA	Y	DG	DG	Yes	No	LG	Yes	Yes	Yes	N/A	Yes	
EEOC	Y	DG	DG	No	Yes	LG	Yes	N/A	Yes	N/A	Yes	
Ex-Im Bank	DG	DG	Y	No	No	LG	No	N/A	N/A	Yes	Yes	
FCA	DG	DG	DG	No	No	DG	No	N/A	N/A	No	Yes	
FCSIC	DG	R	R	No	No	R	No	N/A	N/A	No	Yes	



	Sect	ion III Steps Taken t	to Increase Pro	active Disclosure	es (PDs)			Section IV	Steps Taken to Grea	ater Utilize Technology	
	Agency has	Agency Makin			Has agency				ne Tracking System		
Agency	System in Place to Identify Records for PD	Beyond Posting, Taking Steps to Make Info. More Useful	Notifies Public of Important PDs	Used Social Media to Publicize PDs	encountered challenges to posting material online?	Overall Score for Sec. III	Can Requester Track Request Status Electronically		System Provides an Estimated Date of Completion	If not offered by agency or all components, are steps being taken to establish?	Agency taking steps to utilize more advanced tech.
FCC	DG	DG	DG	Yes	Yes	DG	No	N/A	N/A	Yes	Yes
FDIC	DG	DG	DG	Yes	No	DG	Yes	N/A	Yes	N/A	Yes
FEC	R	DG	DG	Yes	No	Y	No	N/A	N/A	No	Yes
FERC	DG	DG	DG	Yes	No	DG	No	N/A	No	Yes	Yes
FFIEC	DG	DG	DG	No	No	DG	No	N/A	N/A	No	No
FHFA	DG	DG	R	No	No	Y	Yes	N/A	No	N/A	Yes
FLRA	DG	DG	R	No	No	Y	Yes	Yes	No	N/A	Yes
FMC	DG	DG	DG	Yes	Yes	DG	No	N/A	N/A	Yes	Yes
FMCS	DG	DG	R	No	No	Y	No	N/A	N/A	No	Yes
FMSHRC	DG	DG	R	No	No	Y	No	N/A	N/A	No	Yes
FOMC	DG	DG	DG	Yes	Yes	DG	No	N/A	N/A	No	Yes
FRTIB	R	DG	DG	Yes	No	Y	No	N/A	N/A	No	No
FTC	DG	DG	DG	Yes	Yes	DG	Yes	N/A	No	N/A	Yes
GSA	DG	DG	DG	Yes	Yes	DG	Yes	N/A	No	Yes	Yes
IMLS	DG	DG	DG	Yes	Yes	DG	Yes	N/A	Yes	N/A	Yes
IAF	DG	DG	DG	Yes	No	DG	No	N/A	N/A	No	Yes
LSC	DG	DG	DG	Yes	No	DG	Yes	N/A	Yes	N/A	Yes
MSPB	DG	DG	DG	Yes	Yes	DG	Yes	N/A	No	N/A	No



	Sect	tion III Steps Taken 1	to Increase Pro	active Disclosure	es (PDs)		Section IV Steps Taken to Greater Utilize Technology					
	Agency has		g Material Mo		Has agency				ne Tracking System			
Agency	System in Place to Identify Records for PD	Beyond Posting, Taking Steps to Make Info. More Useful	Notifies Public of Important PDs	Used Social Media to Publicize PDs	encountered challenges to posting material online?	Overall Score for Sec. III	Can Requester Track Request Status Electronically	Is Functionality Available for All Components	System Provides an Estimated Date of Completion	If not offered by agency or all components, are steps being taken to establish?	Agency taking steps to utilize more advanced tech.	
MCC	Y	DG	R	No	No	0	No	N/A	N/A	No	No	
NASA	Y	DG	DG	Yes	Yes	LG	No	N/A	N/A	Yes	Yes	
NARA	DG	DG	DG	Yes	Yes	DG	Yes	No	No	Yes	Yes	
NCPC	DG	DG	DG	Yes	No	DG	No	N/A	N/A	No	No	
NCUA	DG	DG	DG	Yes	No	DG	No	N/A	N/A	Yes	No	
NEA	DG	DG	DG	Yes	No	DG	No	N/A	N/A	Yes	No	
NEH	DG	DG	DG	Yes	No	DG	No	N/A	N/A	No	No	
NIGC	DG	DG	DG	Yes	No	DG	No	N/A	N/A	Yes	Yes	
NLRB	DG	DG	DG	Yes	No	DG	No	N/A	N/A	Yes	Yes	
NMB	DG	DG	DG	No	No	DG	No	N/A	N/A	No	No	
NSF	DG	DG	DG	Yes	Yes	DG	No	N/A	N/A	Yes	Yes	
NTSB	DG	DG	DG	Yes	Yes	DG	Yes	N/A	No	N/A	Yes	
NRC	DG	DG	DG	Yes	Yes	DG	No	N/A	N/A	Yes	Yes	
OSHRC	DG	DG	R	No	No	Y	No	N/A	N/A	No	Yes	
OGE	DG	DG	DG	Yes	No	DG	No	N/A	N/A	No	Yes	
OMB	DG	DG	DG	Yes	No	DG	No	N/A	N/A	No	Yes	
ONDCP	DG	R	DG	Yes	No	Y	No	N/A	N/A	Yes	No	
ONHIR	R	R	R	No	No	R	No	N/A	N/A	No	No	



	Sect	ion III Steps Taken 1	to Increase Pro	active Disclosure	es (PDs)		Section IV Steps Taken to Greater Utilize Technology				
	Agency has	Agency Makin	g Material Mo	re Useful	Has agency				ne Tracking System		
Agency	System in Place to Identify Records for PD	Beyond Posting, Taking Steps to Make Info. More Useful	Notifies Public of Important PDs	Used Social Media to Publicize PDs	encountered challenges to posting material online?	Overall Score for Sec. III	Can Requester Track Request Status Electronically	Is Functionality Available for All Components	System Provides an Estimated Date of Completion	If not offered by agency or all components, are steps being taken to establish?	Agency taking steps to utilize more advanced tech.
OPM	DG	DG	DG	No	Yes	DG	No	N/A	N/A	Yes	Yes
OSTP	DG	DG	DG	Yes	Yes	DG	No	N/A	N/A	No	Yes
OSC	DG	DG	DG	No	Yes	DG	No	N/A	N/A	Yes	Yes
ODNI	Y	R	R	No	No	R	No	N/A	N/A	No	Yes
USTR	DG	DG	DG	Yes	Yes	DG	No	N/A	N/A	Yes	Yes
OPIC	Y	DG	DG	Yes	No	LG	No	N/A	N/A	No	Yes
PC	R	Y	R	No	No	R	Yes	N/A	No	Yes	Yes
PBGC	DG	DG	DG	Yes	Yes	DG	Yes	N/A	No	N/A	Yes
PRC	DG	DG	DG	No	No	DG	Yes	N/A	Yes	N/A	Yes
RRB	DG	DG	Y	No	No	LG	No	N/A	No	Yes	No
RATB	Y	DG	DG	Yes	Yes	LG	No	N/A	No	No	No
SEC	DG	DG	DG	Yes	No	DG	No	N/A	N/A	Yes	No
SSS	DG	DG	DG	Yes	No	DG	No	N/A	N/A	No	No
SBA	DG	DG	DG	Yes	Yes	DG	No	N/A	N/A	No	Yes
SSA	DG	DG	R	No	Yes	Y	No	N/A	N/A	No	Yes
SIGAR	DG	DG	DG	Yes	No	DG	No	N/A	N/A	Yes	Yes
STB	DG	DG	DG	No	No	DG	No	N/A	N/A	No	Yes
TVA	DG	DG	DG	Yes	No	DG	No	N/A	N/A	No	Yes



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	Sect	ion III Steps Taken t	o Increase Pro	active Disclosure	es (PDs)		Section IV Steps Taken to Greater Utilize Technology				
	Agency has	Agency Makin		re Useful	Has agency			Onli	ne Tracking System		
Agency	System in Place to Identify Records for PD	Beyond Posting, Taking Steps to Make Info. More Useful	Notifies Public of Important PDs	Used Social Media to Publicize PDs		Can Requester Track Request Status Electronically	Is Functionality Available for All Components	System Provides an Estimated Date of Completion	If not offered by agency or all components, are steps being taken to establish?	Agency taking steps to utilize more advanced tech.	
	20	20		N	N		Nie	N1/A	21/2	N -	N
USADF	DG	DG	DG	Yes	No	DG	No	N/A	N/A	No	Yes
USAID	DG	DG	DG	No	No	DG	Yes	N/A	N/A	Yes	Yes
USCCR	DG	Y	R	No	Yes	0	No	N/A	N/A	No	No
USCPSC	DG	DG	DG	Yes	Yes	DG	No	N/A	N/A	Yes	Yes
USCO	DG	DG	R	No	No	Y	No	N/A	No	No	No
USIBWC	R	Y	R	No	No	R	No	N/A	No	No	Yes
USITC	DG	DG	Y	No	Yes	LG	No	N/A	N/A	No	No
USPS	DG	DG	DG	Yes	Yes	DG	Yes	Yes	No	N/A	Yes
USTDA	DG	DG	DG	Yes	No	DG	No	N/A	N/A	Yes	No



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	Section V Steps Taken to Improve Timeliness in Responding to Requests (Req.) and Reducing Backlogs Processed Simple Track Requests in Agency Decreased Percentage of Backlog to # of Agency Closed Ten Oldest Req., Appeals (App.), &														cklogs			
		•	•				-	-										
Agency	Has a Simple Track	20 Days or Score	Less Avg. No. of Days to Process	Backlog o Req.	f Req./App. App.	Req./	App. Rec Score	eived in F\ App.	C 2013 Score	Consu Req.	Itations (0 If no, # Closed). If not, If no, # Closed	# closed in Consults.	If no, #	Interin System in Place to Provide (when appropriate)	n Responses % or # of Backlogged Req. that Received Such a Response	Overall Score for Sec. V
ACUS	Yes	DG	1.20	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
A005	105		1.20			0.0070	20	N/A	N/A	50	N/A	20	N/A	20	N/A	20	14/75	50
ABMC	No	Y	72.00	Y	DG	33.33%	0	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	LG
Amtrak	Yes	DG	4.17	R	R	13.97%	LG	75.00%	R	DG	N/A	R	0/1	DG	N/A	DG	1	0
AFRH	Yes	DG	14.00	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
FRB	Yes	DG	5.00	DG	DG	2.27%	DG	3.23%	DG	DG	N/A	DG	N/A	DG	N/A	DG	10%	DG
TRD	103	50	5.00	20	20	2.2770	20	3.2370	20	50	N/A	20	N/A	20	N/A		10/0	20
BBG	No	Y	44.00	R	DG	18.97%	LG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	5	LG
CIA	Yes	R	35.18	DG	DG	15.13%	LG	48.22%	R	DG	N/A	DG	N/A	R	9/10	DG	1%	Y
CSB	Yes	DG	4.00	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
CPPBSD	Yes	DG	9.67	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
CFPB	Yes	DG	8.36	DG	DG	0.98%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
CFTC	Yes	R	65.00	DG	Y	12.30%	LG	80.00%	R	DG	N/A	R	2/5	DG	N/A	DG	2	0
CNCS	Yes	R	45.00	DG	DG	8.70%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	Unable to Estimate	DG
CIGIE	Yes	Y	23.00	Y	Y	18.92%	LG	66.67%	R	R	2/4	DG	N/A	DG	N/A	DG	1	0
CEQ	Yes	R	47.00	R	DG	60.94%	R	0.00%	DG	R	7/10	DG	N/A	DG	N/A	DG	12%	0
CSOSA	Yes	DG	14.56	R	DG	7.06%	DG	N/A	N/A	R	9/10	DG	N/A	DG	N/A	DG	N/A	LG
																	· · · · · · · · · · · · · · · · · · ·	
DNFSB	Yes	DG	14.53	Y	DG	17.65%	LG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	R	N/A	LG
USDA	Yes	DG	15.52	R	R	5.04%	DG	74.67%	R	R	4/10	R	3/10	DG	N/A	DG	50%	R
DOC	Yes	DG	9.00	R	R	13.06%	LG	44.74%	R	R	6/10	R	9/10	DG	N/A	DG	24%	R



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					Section V S	Steps Take	n to Imp	orove Time	liness in F	Respondi	ng to Req	uests (R	eq.) and I	Reducing Ba	acklogs			
			ck Requests in	Agency I	Decreased	Perce	entage o	f Backlog to	o # of	Agen	cy Closed	Ten Old	est Req.,	Appeals (Ap	pp.), &		_	
Agency	Has a Simple Track	20 Days or Score	Less Avg. No. of Days to Process	Backlog o Req.	f Req./App.	Req.//	App. Red Score	Ceived in Fi	Score	Consul Req.	If no, # Closed). If not, If no, # Closed	# closed in Consults.	If no, # Closed	Interin System in Place to Provide (when appropriate)	n Responses % or # of Backlogged Req. that Received Such a Response	Overall Score for Sec. V
DOD	Yes	DG	10.00	R	DG	9.69%	DG	60.58%	R	R	7/10	DG	N/A	DG	N/A	DG	Unable to Estimate	Y
ED	Yes	R	25.51	DG	R	9.28%	DG	106.98%	R	R	6/10	R	3/10	DG	N/A	DG	35%	R
DOE	Yes	Y	22.21	DG	DG	21.08%	Y	3.90%	DG	R	9/10	R	8/10	R	6/10	DG	45%	0
HHS	Yes	R	29.00	DG	R	7.62%	DG	102.22%	R	DG	N/A	DG	N/A	DG	N/A	DG	750	Y
DHS	Yes	R	36.71	R	DG	22.36%	Y	4.99%	DG	DG	N/A	R	7/10	R	5/10	DG	Unable to Estimate	0
HUD	Yes	DG	17.21	DG	DG	11.14%	LG	8.70%	DG	DG	N/A	DG	N/A	DG	N/A	DG	15%	DG
DOJ	Yes	LG	21.75	R	DG	9.97%	DG	9.95%	DG	DG	N/A	DG	N/A	DG	N/A	DG	9%	LG
Labor	Yes	LG	20.40	DG	R	3.31%	DG	39.44%	0	DG	N/A	DG	N/A	DG	N/A	DG	Unable to Estimate	LG
State	Yes	R	106.00	DG	DG	46.23%	R	96.45%	R	DG	N/A	DG	N/A	DG	N/A	DG	4%	Y
DOI	Yes	DG	3.00	DG	DG	6.69%	DG	93.71%	R	DG	N/A	DG	N/A	DG	N/A	DG	11%	DG
Treasury	Yes	DG	15.68	R	R	2.77%	DG	10.06%	LG	R	3/10	R	6/10	R	8/10	DG	10%	R
DOT	Yes	0	24.88	R	DG	8.89%	DG	34.62%	0	R	8/10	DG	N/A	R	2/3	DG	10%	0
VA	Yes	DG	16.71	R	R	3.73%	DG	73.15%	R	DG	N/A	R	0/10	DG	N/A	DG	15%	0
EPA	Yes	R	27.18	R	R	12.71%	LG	55.48%	R	DG	N/A	R	9/10	DG	N/A	DG	5%	R
EEOC	Yes	DG	17.52	R	DG	1.00%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	0%	DG
Ex-Im Bank	Yes	R	82.20	DG	DG	71.11%	R	33.33%	0	R	8/10	R	1/2	DG	N/A	DG	1	R
FCA	No	DG	9.57	DG	DG	0.00%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	N/A	N/A	DG
FCSIC	No	DG	13.25	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	N/A	N/A	DG



U.S. Department of Justice

				:	Section V S	Steps Take	en to Imp	prove Time	liness in F	Respondi	ng to Req	uests (R	eq.) and I	Reducing Ba	acklogs			
		-	ck Requests in		Decreased		-	f Backlog to						Appeals (Ap				
-	Has a Simple	20 Days or	Less Avg. No. of Days to	Backlog o	f Req./App.	Req./	App. Red	ceived in FY	2013	Consul	If no, #	onsults.	If no, #	# closed in	If no, #	System in Place to Provide (when	n Responses % or # of Backlogged Req. that Received Such	Overall Score for
Agency	Track	Score	Process	Req.	App.	Req.	Score	App.	Score	Req.	Closed	App.	Closed	Consults.	Closed	appropriate)	a Response	Sec. V
FCC	Yes	R	33.30	DG	R	4.90%	DG	53.57%	R	DG	N/A	R	9/10	DG	N/A	DG	0%	0
FDIC	Yes	DG	9.89	DG	DG	0.00%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
			5105	20		010070	20	010070			,,,	20	,		,,,			
FEC	Yes	DG	16.30	R	R	13.79%	LG	100.00%	R	R	6/8	R	0/1	DG	N/A	DG	58%	R
FERC	Yes	Y	23.00	DG	DG	3.00%	DG	0.00%	DG	R	9/10	DG	N/A	DG	N/A	DG	1	LG
FFIEC	No	DG	12.20	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	N/A	N/A	DG
THEC	110	50	12.20	50	20	0.0070	20	N/A	N/A	50	ŊА	20	N/A	20	N/A	M/A	M/A	20
FHFA	Yes	DG	15.40	R	DG	7.88%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	0	DG
FLRA	Yes	DG	10.71	DG	DG	0.00%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
FMC	Yes	DG	7.50	Y	DG	69.23%	R	N/A	N/A	R	6/10	DG	N/A	DG	N/A	DG	N/A	Y
		20	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	•	20	0012070		,	,		0/20		,,.	20	,,,			·
FMCS	Yes	DG	3.50	DG	DG	0.00%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
FMSHRC	Yes	DG	3.10	DG	DG	0.00%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
FOMC	Yes	DG	11.70	Y	DG	38.10%	0	N/A	N/A	R	4/8	DG	N/A	DG	N/A	DG	0	Y
		-	-		-		-	,	,		1-	-	,		,		-	
FRTIB	No	Y	27.00	Y	DG	8.00%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	R	N/A	LG
			7.00			0.040(0.000/			0/10						C C C (
FTC	Yes	DG	7.36	Y	DG	0.21%	DG	0.00%	DG	R	9/10	DG	N/A	DG	N/A	DG	66%	LG
GSA	Yes	LG	20.06	Y	DG	4.44%	DG	9.52%	DG	R	7/10	DG	N/A	DG	N/A	DG	40%	LG
		-				-	-		-		, -	-	,	-	,			
IMLS	Yes	DG	8.90	DG	DG	0.00%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
	•		46.25			0.000/		N1 / 2	N1 / A		N1 / 2		N1 / 2	F2	NI / A	5 2	NI / 1	5.0
IAF	No	DG	16.36	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
LSC	No	DG	9.94	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	N/A	N/A	DG
								· ·	·				·		·	·	•	
MSPB	Yes	Y	22.30	DG	Y	0.00%	DG	5.56%	DG	DG	N/A	R	0/1	DG	N/A	DG	N/A	LG



U.S. Department of Justice

	Section V Steps Taken to Improve Timeliness in Responding to Requests (Req.) and Reducing Backlogs Processed Simple Track Requests in Agency Decreased Percentage of Backlog to # of Agency Closed Ten Oldest Req., Appeals (App.), &														cklogs			
		-	-				-	-										
Agency	Has a Simple Track	20 Days or Score	Less Avg. No. of Days to Process	Backlog o Req.	f Req./App.	Req.//	App. Rec Score	eived in F	(2013 Score	Consu Req.	Itations (C If no, # Closed	Consults.). If not, If no, # Closed	# closed in I	FY 2013 If no, # Closed	Interin System in Place to Provide (when appropriate)	n Responses % or # of Backlogged Req. that Received Such a Response	Overall Score for Sec. V
																«pp.op		
MCC	No	DG	17.00	DG	DG	0.00%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	0	DG
NASA	Yes	DG	5.65	DG	DG	1.26%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	30%	DG
NARA	Yes	R	42.20	DG	R	53.91%	R	12.86%	LG	R	5/10	R	5/7	DG	N/A	DG	Unable to Estimate	R
NCPC	Yes	DG	3.67	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	N/A	N/A	DG
NCUA	Yes	DG	11.08	DG	DG	0.00%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
NCOA	103	20	11.00	20	50	0.0070	Ъ	0.0070	20	DQ	N/A	20	N/A	20	ŊА	80	14/74	20
NEA	Yes	R	27.00	DG	DG	5.56%	DG	0.00%	DG	R	6/10	DG	N/A	DG	N/A	DG	70%	LG
NEH	Yes	DG	11.60	Y	DG	2.86%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	1	DG
NIGC	Yes	R	109.00	DG	DG	56.00%	R	0.00%	DG	R	6/10	DG	N/A	DG	N/A	DG	4	Y
NLRB	No	DG	6.00	DG	DG	0.09%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
NMB	No	DG	6.00	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
NSF	No	Y	32.00	R	DG	33.07%	0	0.00%	DG	R	0/10	DG	N/A	DG	N/A	DG	N/A	Y
NTSB	Yes	R	29.77	R	DG	31.55%	0	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	5	Y
NRC	Yes	DG	12.00	DG	R	21.57%	Y	34.78%	0	R	4/10	DG	N/A	DG	N/A	DG	58%	Y
OSHRC	Yes	DG	9.80	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
OGE	No	DG	8.60	Y	DG	2.13%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	1	DG
OMB	No	Y	31.00	R	R	18.47%	LG	44.44%	R	DG	N/A	DG	N/A	DG	N/A	DG	4	0
ONDCP	No	Y	49.00	Y	DG	9.52%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
ONHIR	No	DG	8.53	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG



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					Section V S	Steps Take	en to Imp	prove Time	liness in F	Respondi	ing to Req	uests (R	eq.) and I	Reducing Ba	cklogs			
		•	ack Requests in		Decreased		-	f Backlog to						Appeals (A				
Agency	Has a Simple Track	20 Days or Score	Less Avg. No. of Days to Process	Backlog o Req.	f Req./App.	Req./	App. Red Score	ceived in FY	(2013 Score	Consu Req.	Itations (C If no, # Closed	Consults. App.). If not, If no, # Closed	# closed in Consults.	If no, #	Interin System in Place to Provide (when appropriate)	n Responses % or # of Backlogged Req. that Received Such a Response	Overall Score for Sec. V
OPM	Yes	LG	22.00	R	R	0.70%	DG	14.63%	LG	DG	N/A	DG	N/A	DG	N/A	DG	32%	Y
OSTP	Yes	DG	10.80	Y	DG	0.78%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	33%	DG
OSC	Yes	R	31.54	R	R	81.88%	R	133.33%	R	R	6/10	R	2/10	DG	N/A	DG	11	R
ODNI	Yes	R	56.12	R	R	39.95%	0	84.62%	R	R	5/10	R	2/10	DG	N/A	DG	4	R
USTR	Yes	DG	19.00	R	R	36.08%	0	33.33%	0	R	6/10	DG	N/A	DG	N/A	DG	50%	0
0.010		10	24.62		2.2				2.2							2.2	1000/	
OPIC	No	LG	21.68	Y	DG	6.90%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	100%	DG
PC	Yes	DG	10.00	R	R	23.70%	Y	33.33%	0	R	5/10	DG	N/A	DG	N/A	DG	50%	0
PBGC	No	DG	10.00	R	R	0.14%	DG	20.00%	LG	DG	N/A	DG	N/A	DG	N/A	DG	50%	LG
PRC	Yes	DG	7.00	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
RRB	No	DG	13.63	Y	DG	2.46%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	3	DG
RATB	No	DG	11.50	DG	DG	0.00%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
SEC	Yes	DG	14.51	DG	DG	1.23%	DG	4.90%	DG	R	8/10	DG	N/A	DG	N/A	DG	Unable to Estimate	DG
SSS	No	DG	2.00	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
SBA	Yes	DG	6.50	DG	DG	0.02%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	40%	DG
SSA	Yes	DG	17.00	R	R	0.17%	DG	3.76%	DG	DG	N/A	DG	N/A	DG	N/A	DG	1%	LG
SIGAR	Yes	DG	20.00	DG	DG	3.33%	DG	0.00%	DG	DG	, N/A	DG	N/A	DG	N/A	DG	0	DG
				_	-													
STB	No	DG	6.00	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
TVA	Yes	DG	9.48	DG	DG	6.17%	DG	0.00%	DG	DG	N/A	DG	N/A	DG	N/A	DG	40%	DG



U.S. Department of Justice

Office of In	formation	Policy
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					Section V S	Steps Take	en to Imp	orove Time	liness in F	Respondi	ing to Req	uests (R	eq.) and I	Reducing Ba	acklogs			
		Simple Tra 20 Days or	ick Requests in Less		Decreased of Req./App.		-	f Backlog to ceived in F		-	-		• •	Appeals (A # closed in	• • •	Interin	n Responses	
Agency	Has a Simple Track	Score	Avg. No. of Days to Process	Req.	App.	Req.	Score	App.	Score	Req.	lf no, # Closed	App.	If no, # Closed	Consults.	lf no, # Closed	System in Place to Provide (when appropriate)	% or # of Backlogged Req. that Received Such a Response	Overall Score for Sec. V
USADF	No	DG	7.00	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
USAID	Yes	R	74.83	R	DG	62.63%	R	222.22%	R	DG	N/A	DG	N/A	DG	N/A	DG	8%	0
USCCR	Yes	DG	3.00	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
USCPSC	Yes	R	27.00	DG	DG	11.89%	LG	0.00%	DG	R	5/10	DG	N/A	DG	N/A	DG	Unable to Estimate	LG
USCO	Yes	DG	13.40	DG	R	8.57%	DG	100.00%	R	R	3/4	DG	N/A	DG	N/A	DG	N/A	Y
USIBWC	Yes	DG	14.60	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	N/A	DG
USITC	Yes	DG	10.00	DG	DG	0.00%	DG	N/A	N/A	DG	N/A	DG	N/A	DG	N/A	DG	2	DG
USPS	Yes	DG	13.63	R	R	4.13%	DG	4.58%	DG	R	5/10	R	7/10	DG	N/A	DG	Unable to Estimate	0
USTDA	Yes	N/A	N/A	Y	DG	15.38%	LG	N/A	N/A	R	3/4	DG	N/A	DG	N/A	DG	0	Y