AO 245B (Rev. 06/05) Judgment in a Criminal Case
Sheet 1

UNITED STATES DISTRICT COURT

EASTERN District of NEW YORK

UNITED STATES OF AMERICA
V.

Lester Parris

JUDGMENT IN A CRIMINAL CASE

Case Number: CR-05-636(S-2)-2(FB)

USM Number: 73551-053
Douglas Burns, Esq., 1475 Franklin Ave., Garden City, NY 11530
Defendant's Attorney

THE DEFENDANT:

☐ pleaded guilty to count(s) _____

☐ pleaded nolo contendere to count(s) _____
which was accepted by the court.

☒ was found guilty on count(s) ONE THROUGH EIGHT OF THE SUPERSEDING INDICTMENT
after a plea of not guilty.

The defendant is adjudicated guilty of these offenses:

Title & Section	Nature of Offense	Offense Ended	Count
T. 18 U.S.C.371	CONSPIRACY TO COMMIT SECURITIES FRAUD		1(S-2)
T. 15 U.S.C. 78j(b)&78ff	SECURITIES FRAUD		2(S-2) to 6(S-2)
18 U.S.C. 1512(b)(1)	WITNESS TAMPERING		7(S-2)
18 U.S.C. 1512(b)(1)	ATTEMPTED WITNESS TAMPERING		8(S-2)

The defendant is sentenced as provided in pages 2 through _____ of this judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

☐ The defendant has been found not guilty on count(s) _____

☒ Count(s) UNDERLYING INDICTMENT ☐ is ☐ are dismissed on the motion of the United States.

It is ordered that the defendant must notify the United States attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant must notify the court and United States attorney of material changes in economic circumstances.

AUGUST 14, 2008
Date of Imposition of Judgment

s/Frederic Block
Signature of Judge

FREDERIC BLOCK, U.S.D.J.
Name and Title of Judge

August 14 2008
Date

DEFENDANT: Lester Parris
CASE NUMBER: CR-05-636(S-2)-2(FB)

IMPRISONMENT

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of:

SIXTY (60) MONTHS AS TO COUNTS ONE THRU EIGHT OF THE SUPERSEDING INDICTMENT. THE SENTENCE IMPOSED ON EACH COUNT SHALL RUN CONCURRENTLY TO EACH OTHER FOR A TOTAL OF SIXTY (60) MONTHS.

☐ The court makes the following recommendations to the Bureau of Prisons:

☐ The defendant is remanded to the custody of the United States Marshal.

☐ The defendant shall surrender to the United States Marshal for this district:

☐ at _____ ☐ a.m. ☐ p.m. on _____ .

☐ as notified by the United States Marshal.

☒ The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons:

☒ before 2 p.m. on 10/10/08 .

☒ as notified by the United States Marshal.

☐ as notified by the Probation or Pretrial Services Office.

RETURN

I have executed this judgment as follows:

Defendant delivered on _____ to _____

at _____ , with a certified copy of this judgment.

_____
UNITED STATES MARSHAL

By _____
DEPUTY UNITED STATES MARSHAL

DEFENDANT: Lester Parris
CASE NUMBER: CR-05-636(S-2)-2(FB)

SUPERVISED RELEASE

Upon release from imprisonment, the defendant shall be on supervised release for a term of :

THREE (3) YEARS ON COUNTS ONE THRU EIGHT OF THE SUPERSEDING INDICTMENT. THE TERMS OF SUPERVISED RELEASE IMPOSED ON EACH COUNT SHALL RUN CONCURRENTLY TO EACH OTHER FOR A TOTAL TERM OF THREE (3) YEARS.

The defendant must report to the probation office in the district to which the defendant is released within 72 hours of release from the custody of the Bureau of Prisons.

The defendant shall not commit another federal, state or local crime.

The defendant shall not unlawfully possess a controlled substance. The defendant shall refrain from any unlawful use of a controlled substance. The defendant shall submit to one drug test within 15 days of release from imprisonment and at least two periodic drug tests thereafter, as determined by the court.

☐ The above drug testing condition is suspended, based on the court's determination that the defendant poses a low risk of future substance abuse. (Check, if applicable.)

☐ The defendant shall not possess a firearm, ammunition, destructive device, or any other dangerous weapon. (Check, if applicable.)

☐ The defendant shall cooperate in the collection of DNA as directed by the probation officer. (Check, if applicable.)

☐ The defendant shall register with the state sex offender registration agency in the state where the defendant resides, works, or is a student, as directed by the probation officer. (Check, if applicable.)

☐ The defendant shall participate in an approved program for domestic violence. (Check, if applicable.)

If this judgment imposes a fine or restitution, it is a condition of supervised release that the defendant pay in accordance with the Schedule of Payments sheet of this judgment.

The defendant must comply with the standard conditions that have been adopted by this court as well as with any additional conditions on the attached page.

STANDARD CONDITIONS OF SUPERVISION

1) the defendant shall not leave the judicial district without the permission of the court or probation officer;
2) the defendant shall report to the probation officer and shall submit a truthful and complete written report within the first five days of each month;
3) the defendant shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer;
4) the defendant shall support his or her dependents and meet other family responsibilities;
5) the defendant shall work regularly at a lawful occupation, unless excused by the probation officer for schooling, training, or other acceptable reasons;
6) the defendant shall notify the probation officer at least ten days prior to any change in residence or employment;
7) the defendant shall refrain from excessive use of alcohol and shall not purchase, possess, use, distribute, or administer any controlled substance or any paraphernalia related to any controlled substances, except as prescribed by a physician;
8) the defendant shall not frequent places where controlled substances are illegally sold, used, distributed, or administered;
9) the defendant shall not associate with any persons engaged in criminal activity and shall not associate with any person convicted of a felony, unless granted permission to do so by the probation officer;
10) the defendant shall permit a probation officer to visit him or her at any time at home or elsewhere and shall permit confiscation of any contraband observed in plain view of the probation officer;
11) the defendant shall notify the probation officer within seventy-two hours of being arrested or questioned by a law enforcement officer;
12) the defendant shall not enter into any agreement to act as an informer or a special agent of a law enforcement agency without the permission of the court; and
13) as directed by the probation officer, the defendant shall notify third parties of risks that may be occasioned by the defendant's criminal record or personal history or characteristics and shall permit the probation officer to make such notifications and to confirm the defendant's compliance with such notification requirement.

DEFENDANT: Lester Parris
CASE NUMBER: CR-05-636(S-2)-2(FB)

ADDITIONAL SUPERVISED RELEASE TERMS

1) THE DEFENDANT SHALL NOT BE A DIRECTOR, OFFICER OR MAJOR SHAREHOLDER (DEFINED AS OWNING 1% OR MORE OF ALL OUTSTANDING SHARES) OF ANY PUBLICLY TRADED COMPANY DURING THE PERIOD OF SUPERVISED RELEASE.

DEFENDANT: Lester Parris
CASE NUMBER: CR-05-636(S-2)-2(FB)

CRIMINAL MONETARY PENALTIES

The defendant must pay the total criminal monetary penalties under the schedule of payments on Sheet 6.

	Assessment	Fine	Restitution
TOTALS	$ 800.00	$ 00.00	$ 00.00

☐ The determination of restitution is deferred until _____. An *Amended Judgment in a Criminal Case* (AO 245C) will be entered after such determination.

☐ The defendant must make restitution (including community restitution) to the following payees in the amount listed below.

If the defendant makes a partial payment, each payee shall receive an approximately proportioned payment, unless specified otherwise in the priority order or percentage payment column below. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.

Name of Payee	Total Loss*	Restitution Ordered	Priority or Percentage

TOTALS $ 0 $ 0

☐ Restitution amount ordered pursuant to plea agreement $ _____

☐ The defendant must pay interest on restitution and a fine of more than $2,500, unless the restitution or fine is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on Sheet 6 may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).

☐ The court determined that the defendant does not have the ability to pay interest and it is ordered that:

☐ the interest requirement is waived for the ☐ fine ☐ restitution.

☐ the interest requirement for the ☐ fine ☐ restitution is modified as follows:

* Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

DEFENDANT: Lester Parris
CASE NUMBER: CR-05-636(S-2)-2(FB)

ADDITIONAL FORFEITED PROPERTY

THE DEFENDANTS SHALL JOINTLY AND SEVERALLY FORFEIT THE TOTAL SUM OF $2,297,525.00 AND THEIR RIGHTS, ,TITLE AND INTEREST IN THE ONTARIO PROPERTY. SEE THE PRELIMINARY ORDER OF FORFEITURE DATED MAY 4, 2007 AND THE FINAL ORDER OF FORFEITURE DATED AUGUST 14, 2008.

EB:LDM
F. #2005R00185
F. #2006V00091

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

- - - - - - - - - - - - - - - - - -X

UNITED STATES OF AMERICA

- against -

LENNOX PARRIS and
LESTER PARRIS,

Defendants.

- - - - - - - - - - - - - - - - - -X

PRELIMINARY ORDER OF FORFEITURE

Cr. No. 05-636 (FB)

WHEREAS, in the forfeiture allegation of the above-captioned Superseding Indictment (the "Indictment"), the United States of America sought forfeiture of certain property of the defendants LENNOX PARRIS and LESTER PARRIS, pursuant to 18 U.S.C. § 981(a)(1)(C), 28 U.S.C. § 2461(c), and 21 U.S.C. § 853(p), as property that constitutes or is derived from proceeds traceable to an offense constituting a "specified unlawful activity," and/or as substitute property;

WHEREAS, on March 21, 2007, a jury found the defendants LENNOX PARRIS and LESTER PARRIS guilty of, among other offenses, conspiracy to commit securities fraud and securities fraud, as charged in Counts One through Six of the Indictment; and

WHEREAS, on March 21, 2007, the jury further found that the property that constitutes or is derived from proceeds traceable to the offenses charged in Counts One through Six of

the Indictment is (a) a sum of money equal to two million, two hundred ninety-seven thousand, five hundred twenty-five dollars ($2,297,525.00) in United States currency and (b) the real property known as Part Lots 27 and 28, Concession 7, Township of Havelock-Belmont-Methuen, County of Peterborough, Ontario, Canada (the "Ontario Property"). The sum of money equal to two million, two hundred ninety-seven thousand, five hundred twenty-five dollars and the Ontario Property are collectively referred to herein as the "Forfeited Assets."

NOW, THEREFORE, IT IS HEREBY ORDERED, ADJUDGED AND DECREED, as follows:

1. The defendants shall forfeit all of their right, title and interest in two million, two hundred ninety-seven thousand, five hundred twenty-five dollars ($2,297,525.00) in United States currency, and a forfeiture money judgment in that amount shall be entered against the defendants LENNOX PARRIS and LESTER PARRIS, pursuant to 18 U.S.C. § 981(a)(1)(C), 28 U.S.C. 2461(c), and 21 U.S.C. § 853(p).

2. The defendants shall also forfeit all of their right, title, and interest in the Ontario Property, and all proceeds traceable thereto, pursuant to 18 U.S.C. § 981(a)(1)(C), 28 U.S.C. 2461(c), and 21 U.S.C. § 853(p).

3. Upon entry of this Order, the United States Attorney General or his designee is authorized to seize the

Forfeited Assets, to execute the above-referenced forfeiture judgments against any and all property, real or personal, of the defendants, respectively, to conduct any proper discovery, in accordance with Fed. R. Crim. P. 32.2(b)(3) and (c), and to commence any applicable proceeding to comply with statutes governing third party rights, including giving notice of this Order.

4. The United States Marshals Service shall publish notice of this Order, in accordance with the custom and practice in this district, in a newspaper of general circulation and of its intent to dispose of the Forfeited Assets in such a manner as the Attorney General or his designee may direct.

5. Any person, other than the defendants, asserting a legal interest in the Forfeited Assets may, within thirty days of the final publication of notice or receipt of notice, whichever is earlier, petition the court for a hearing without a jury to adjudicate the validity of his or her alleged interest in the property, and for an amendment of this Order, pursuant to 21 U.S.C. § 853(n)(6).

6. The United States shall have clear title to the Forfeited Assets identified above following the Court's disposition of all third-party interests, or, if none, following the expiration of the period provided in 21 U.S.C. § 853(n)(2).

7. Pursuant to Fed. R. Crim. P. 32.2(b)(3), this

Order shall become final as to the defendants at the time of sentencing and shall be made part of the sentence and included in the judgment. If no third party files a timely claim, this Order, together with Supplemental Preliminary Orders of Forfeiture, if any, shall become the Final Order of Forfeiture, as provided by Fed. R. Crim. P. 32.2(c)(2).

8. This Order shall be final and binding only upon the Court's "so ordering" of this Order.

9. The Court shall retain jurisdiction over this action to ensure compliance with the terms of this Order.

10. The Clerk of the Court is directed to send, by inter-office mail, five certified copies of this executed Order to Assistant United States Attorney Laura D. Mantell, United States Attorney's Office, Eastern District of New York, One Pierrepont Plaza, 16th Floor, Brooklyn, New York 11201.

Dated: Brooklyn, New York
May 4, 2007

s/Frederic Block

HONORABLE FREDERIC BLOCK
UNITED STATES DISTRICT JUDGE

SLR:LDM
F.#2005R00185

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

- - - - - - - - - - - - - - - - -X

UNITED STATES OF AMERICA

- against -

LENNOX PARRIS and
LESTER PARRIS,

Defendants.

- - - - - - - - - - - - - - - - -X

FINAL ORDER OF FORFEITURE

Cr. No. 05-636 (S-2) (FB)

WHEREAS, in the forfeiture allegation of the above-captioned Superseding Indictment (the "Indictment"), the United States of America sought forfeiture of certain property of the defendants LENNOX PARRIS and LESTER PARRIS, pursuant to 18 U.S.C. § 981(a)(1)(C), 28 U.S.C. § 2461(c), and 21 U.S.C. § 853(p), as property that constitutes or is derived from proceeds traceable to a violation of an offense constituting a "specified unlawful activity," and/or as substitute property;

WHEREAS, on March 21, 2007, a jury found the defendants LENNOX PARRIS and LESTER PARRIS guilty of, among other offenses, conspiracy to commit securities fraud and securities fraud, as charged in Counts One through Six of the Indictment;

WHEREAS, on March 21, 2007, the jury found that the property that constitutes or is derived from proceeds traceable to the offenses charged in Counts One through Six of the Indictment is: (a) a sum of money equal to two million, two

hundred ninety-seven thousand, five hundred twenty-five dollars ($2,297,525.00) in United States currency; and (b) the real property known as Part Lots 27 and 28, Concession 7, Township of Havelock-Belmont-Methuen, County of Peterborough, Ontario, Canada (the "Ontario Property"). The sum of money equal to two million, two hundred ninety-seven thousand, five hundred twenty-five dollars and the Ontario Property are collectively referred to herein as the "Forfeited Assets;"

WHEREAS, on May 4, 2007, this Court so ordered a Preliminary Order of Forfeiture against the defendants ordering the forfeiture of any and all right, title and interest that they may have in the Forfeited Assets;

WHEREAS, in accordance with Fed. R. Crim. P. 32.2(b)(3), legal notice of publication of the Preliminary Order of Forfeiture was made in (a) the New York Post, a newspaper of general circulation in the Eastern District of New York, on July 10, 17, and 24, 2007; and (b) The Globe and Mail in Ontario, Canada, on November 16, 23, and 30, 2007; and

WHEREAS, no third party has filed with the Court any petition or claim in connection with the Forfeited Assets, and the time to do so under 21 U.S.C. § 853(n)(2) has expired.

NOW THEREFORE IT IS HEREBY ORDERED, ADJUDGED AND DECREED, as follows:

1. Pursuant to Fed. R. Crim. P. 32.2(c)(2), the

Preliminary Order of Forfeiture previously entered by this Court, requiring the defendants to forfeit all of their right, title and interest in the Forfeited Assets, is hereby made a Final Order of Forfeiture.

2. All right, title and interest in the Forfeited Assets is hereby forfeited to the United States, pursuant to 18 U.S.C. § 981(a)(1)(C), 28 U.S.C. § 2461(c), and 21 U.S.C. § 853.

3. The United States Marshal's Service for the Eastern District of New York is hereby directed to dispose of the Forfeited Assets in accordance with all applicable laws and rules.

4. The Clerk of Court shall forward three certified copies of this order to Assistant United States Attorney Laura D. Mantell, United States Attorney's Office, Eastern District of New York, 271 Cadman Plaza East, 7th Floor Brooklyn, New York 11201.

Dated: Brooklyn, New York
August 14, 2008

s/Frederic Block

HONORABLE FREDERIC BLOCK
UNITED STATES DISTRICT JUDGE