

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA
Criminal No.:

UNITED STATES OF AMERICA,)
)
Plaintiff,)
)
v.)
)
CHRISTOPHER PETTENGILL,)
)
Defendant.)

INFORMATION
(15 U.S.C. § 77q(a))
(15 U.S.C. § 77x)
(18 U.S.C. § 371)
(18 U.S.C. § 1957)

CR 11-192 DSD

THE UNITED STATES ATTORNEY CHARGES THAT:

COUNT 1
Securities Fraud

On or about July 9, 2008, in the State and District of Minnesota, the defendant,

CHRISTOPHER PETTENGILL,

aiding and abetting other individuals, and being aided and abetted by such individuals, did knowingly, willfully, and unlawfully, by the use of means and instrumentalities of interstate commerce, directly and indirectly, use and employ manipulative and deceptive devices in connection with the purchase and sale of securities involving investor D.B., and did make untrue statements of material facts and omit to state material facts necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading in connection with the purchase and sale of said securities, in violation of Title 15, United States Code, Sections 77q(a) and 77x.

SCANNED
JUN 13 2011
U.S. DISTRICT COURT MPLS

FILED JUN 13 2011
RICHARD D. SLETEN, CLERK
JUDGMENT ENTERED
DEPUTY CLERK'S INITIALS

COUNT 2

Conspiracy To Commit Wire Fraud

From on or about February 27, 2008 through on or about November 17, 2008, in the State and District of Minnesota and elsewhere, the defendant,

CHRISTOPHER PETTENGILL,

did unlawfully and knowingly conspire, combine, confederate and agree with other individuals known and unknown to the grand jury to commit an offense against the United States, that is, to devise a scheme and artifice to defraud and to obtain money by means of material false and fraudulent representations and promises, and, for the purpose of executing such scheme, to transmit and cause to be transmitted by means of interstate wire certain writings, signs, signals, pictures, and sounds, including and not limited to an email dated March 14, 2008, wherein the defendant indicates Oxford Global Advisors is formulating a plan to resolve R.C.G.F.'s issues with Crown Forex, SA; all in violation of Title 18, United States Code, Section 371.

COUNT 3

**Engaging In A Monetary Transaction In Property Derived
From A Specified Unlawful Activity**

On or about September 3, 2008, in the State and District of Minnesota and elsewhere, the defendant,

CHRISTOPHER PETTENGILL,

did knowingly engage and attempt to engage in a monetary

transaction by, through, or to a financial institution, affecting interstate commerce, in criminally derived property of a value greater than \$10,000.00, namely a "credit card web payment" of \$11,369.19 drawn on the defendant's personal bank account and paid to credit card account xxxxxxxxxxxx2725, using proceeds derived from securities fraud; all in violation of Title 18, United States Code, Section 1957.

FORFEITURE

If convicted of Count 1, alleging securities fraud in violation of 15 U.S.C. §§ 77q(a) and 77x, the defendant shall forfeit, pursuant to 18 U.S.C. § 981(a)(1)(C) and 28 U.S.C. § 2461(c), any and all real and personal property constituting or derived, directly or indirectly, from proceeds of the offense charged in Count 1.

If convicted of Count 2, alleging conspiracy to commit wire fraud in violation of 18 U.S.C. § 371 and 18 U.S.C. § 1343, the defendant shall forfeit to the United States, pursuant to 18 U.S.C. § 981(a)(1)(C) and 28 U.S.C. § 2461(c), any and all real and personal property constituting or derived, directly or indirectly, from proceeds of the offense charged in Count 2.

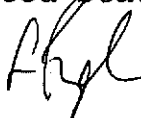
If convicted of Count 3 charging the defendant with violating 18 U.S.C. § 1957, the defendant shall forfeit to the United States, pursuant to 18 U.S.C. § 982(a)(1), any and all real and personal property involved in the offense charged in Count 3, and any

property traceable to such property.

If any of the above-described property is unavailable for forfeiture as described in 21 U.S.C. § 853(p), the United States intends to seek the forfeiture of substitute assets pursuant to 21 U.S.C. § 853(p) as incorporated by 18 U.S.C. § 982(b) and 28 U.S.C. § 2461(c).

Dated: 6/13/2011

B. TODD JONES
United States Attorney



BY: Tracy L. Perzel
Assistant U.S. Attorney
Attorney ID No. 296326