

**SEALED  
BY COURT ORDER**

**UNITED STATES DISTRICT COURT**

for the

Northern District of California

United States of America

v.

Richard Thomas Grant

*Defendant*

)  
)  
)  
)  
)  
)

Case No. CR-14-00590-PJH

**ARREST WARRANT**

To: Any authorized law enforcement officer

**YOU ARE COMMANDED** to arrest and bring before a United States magistrate judge without unnecessary delay  
(name of person to be arrested) RICHARD THOMAS GRANT,

who is accused of an offense or violation based on the following document filed with the court:

- Indictment     Superseding Indictment     Information     Superseding Information     Complaint
- Probation Violation Petition     Supervised Release Violation Petition     Violation Notice     Order of the Court

This offense is briefly described as follows:

26:7201 Tax Evasion (3 counts)

Date: Dec 4, 2014

*Issuing officer's signature*

City and state: Oakland, CA

Kelly Collins, Deputy Clerk

*Printed name and title*

**Return**

This warrant was received on (date) \_\_\_\_\_, and the person was arrested on (date) \_\_\_\_\_  
at (city and state) \_\_\_\_\_.

Date: \_\_\_\_\_

*Arresting officer's signature*

*Printed name and title*

12/04/2014 15:00

United States District Court

FOR THE  
NORTHERN DISTRICT OF CALIFORNIA

VENUE: OAKLAND

FILED  
2014 DEC 4 P 12:49  
RICHARD W. WIEKING  
CLERK, U.S. DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

UNITED STATES OF AMERICA,

v.

CR 14-590 RJH

RICHARD THOMAS GRANT

SEALED  
BY COURT ORDER

DEFENDANT(S).

**SUPERSEDING INDICTMENT**

26 U.S.C. § 7201 - Tax Evasion

A true bill.

*Nancy J. Peterson*  
Foreman

Filed in open court this 4<sup>th</sup> day of

December 2014

*D. Swett*  
Clerk.

Bail, \$ no bail warrant

1 MELINDA HAAG (CABN 132612)  
2 United States Attorney

**FILED**  
2014 DEC -4 P 12:50  
RICHARD W. WIEKING  
CLERK, U.S. DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

**SEALED  
BY COURT ORDER**

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
OAKLAND DIVISION

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UNITED STATES OF AMERICA,  
Plaintiff,  
v.  
RICHARD THOMAS GRANT,  
Defendant.

Criminal No. *CR14-590 RTA*  
VIOLATIONS: 26 U.S.C. § 7201 -- Tax  
Evasion  
OAKLAND VENUE  
UNDER SEAL

FIRST SUPERSEDING INDICTMENT

The Grand Jury charges:

COUNT ONE: (26 U.S.C. § 7201 -- Tax Evasion)

On or about May 10, 2005, and continuing to April 18, 2012, in the Northern District of California, the defendant,

RICHARD THOMAS GRANT,

a resident of Point Richmond, California, did willfully attempt to evade and defeat the payment of the tax due and owing by him to the United States of America for the calendar years 2005, 2006, and 2007, by concealing and attempting to conceal from the Internal Revenue Service the nature and extent of his assets and the location thereof, by using a warehouse bank, prepaid debit cards, cashier's checks, and postal money orders to conceal his assets.

INDICTMENT

1 All in violation of Title 26, United States Code, Section 7201.

2 COUNT TWO: (26 U.S.C. § 7201 – Tax Evasion)

3 During the calendar year 2008, in the Northern District of California, the defendant,

4 RICHARD THOMAS GRANT,

5 a resident of Point Richmond, California, had and received taxable income in the sum of  
6 \$310,129. Upon that taxable income there was due and owing to the United States of America by  
7 the defendant an amount of income tax for the calendar year 2008. Well knowing the foregoing  
8 facts, and failing to make an individual income tax return or a joint individual income tax return  
9 on or before April 15, 2009, as required by law, to any proper officer of the Internal Revenue  
10 Service, and pay to the Internal Revenue Service the income tax, GRANT did willfully attempt  
11 to evade and defeat the income tax due and owing by him to the United States of America for the  
12 calendar year by attempting to conceal from the Internal Revenue Service his share of  
13 partnership income from Grant Engineering by causing Grant Engineering's 2008 Form 1065,  
14 U.S. Return of Partnership Income, and Schedules K-1 to not be filed with the Internal Revenue  
15 Service, and by using cashier's checks and postal money orders to conceal his assets and Grant  
16 Engineering partnership income from the Internal Revenue Service.

17 All in violation of Title 26, United States Code, Section 7201.

18 COUNT THREE: (26 U.S.C. § 7201 – Tax Evasion)

19 During the calendar year 2009, in the Northern District of California, the defendant,

20 RICHARD THOMAS GRANT,

21 a resident of Point Richmond, California, had and received taxable income in the sum of  
22 \$327,729. Upon that taxable income there was due and owing to the United States of America by  
23 the defendant an amount of income tax for the calendar year 2009. Well knowing the foregoing  
24 facts, and failing to make an individual income tax return or a joint individual income tax return  
25 on or before April 15, 2010, as required by law, to any proper officer of the Internal Revenue  
26 Service, and pay to the Internal Revenue Service the income tax, GRANT did willfully attempt  
27 to evade and defeat the income tax due and owing by him to the United States of America for the  
28 calendar year by attempting to conceal from the Internal Revenue Service his share of

1 partnership income from Grant Engineering by causing Grant Engineering's 2009 Form 1065,  
2 U.S. Return of Partnership Income, and Schedules K-1 to not be filed with the Internal Revenue  
3 Service, and by using cashier's checks and postal money orders to conceal his assets and Grant  
4 Engineering partnership income from the Internal Revenue Service.

5 All in violation of Title 26, United States Code, Section 7201.

6  
7 A TRUE BILL

8 December 4, 2014  
9 DATE

Nancy J. Peterson  
FOREPERSON

10  
11  
12 MELINDA HAAG  
United States Attorney

13 J. Douglas Wilson  
14 J. DOUGLAS WILSON  
15 Chief, Criminal Division  
16

17 Approved as to Form

18 Colin Sampson  
19 COLIN SAMPSON  
20 Assistant United States Attorney  
Tax Division  
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DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY:  COMPLAINT  INFORMATION  INDICTMENT  SUPERSEDING

OFFENSE CHARGED

26 U.S.C. § 7201 - Tax Evasion

Petty  
 Minor  
 Misdemeanor  
 Felony

PENALTY:

26 U.S.C. § 7201- 5 years prison, \$250,000 or twice the gross gain or loss, whichever is greater, 3 years supervised release, \$100 assessment

PROCEEDING

Name of Complainant Agency, or Person (& Title, if any)

INTERNAL REVENUE SERVICE

person is awaiting trial in another Federal or State Court, give name of court

this person/proceeding is transferred from another district per (circle one) FRCrP 20, 21 or 40. Show District

this is a re prosecution of charges previously dismissed which were dismissed on motion of:

U.S. Atty  Defense

this prosecution relates to a pending case involving this same defendant

prior proceedings or appearance(s) before U.S. Magistrate regarding this defendant were recorded under

SHOW DOCKET NO.

MAGISTRATE CASE NO.

Name and Office of Person Furnishing Information on THIS FORM MELINDA HAAG

U.S. Atty  Other U.S. Agency

Name of Asst. U.S. Atty (if assigned) COLIN C. SAMPSON, AUSA, Tax Division

Name of District Court, and/or Judge/Magistrate Location NORTHERN DISTRICT OF CALIFORNIA OAKLAND DIVISION

DEFENDANT - U.S.

RICHARD THOMAS GRANT

DISTRICT COURT NUMBER

CR-14-00590-PJH

SEALED BY COURT ORDER

DEFENDANT

IS NOT IN CUSTODY

- 1)  Has not been arrested, pending outcome this proceeding. If not detained give date any prior summons was served on above charges
- 2)  Is a Fugitive
- 3)  Is on Bail or Release from (show District)

FILED

DEC - 4 2014

RICHARD W. WIEKING CLERK, U.S. DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

IS IN CUSTODY

- 4)  On this charge
- 5)  On another conviction
- 6)  Awaiting trial on other charges

Fed'l  State

If answer to (6) is "Yes", show name of institution

Has detainer been filed?  Yes  No } If "Yes" give date filed

DATE OF ARREST Month/Day/Year

Or... if Arresting Agency & Warrant were not

DATE TRANSFERRED TO U.S. CUSTODY Month/Day/Year

This report amends AO 257 previously submitted

ADDITIONAL INFORMATION OR COMMENTS

PROCESS:

SUMMONS  NO PROCESS\*  WARRANT Bail Amount: \_\_\_\_\_

If Summons, complete following:

Arraignment  Initial Appearance

Defendant Address: \_\_\_\_\_

\*Where defendant previously apprehended on complaint, no new summons or warrant needed, since Magistrate has scheduled arraignment

Date/Time: \_\_\_\_\_

Before Judge: \_\_\_\_\_

Comments: \_\_\_\_\_

1 MELINDA HAAG (CABN 132612)  
United States Attorney

**FILED**  
2014 DEC -4 P 12:49  
RICHARD W. WIEKING  
CLERK, U.S. DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

**SEALED  
BY COURT ORDER**

7 UNITED STATES DISTRICT COURT  
8 NORTHERN DISTRICT OF CALIFORNIA  
9  
10 OAKLAND DIVISION

11 UNITED STATES OF AMERICA,	)	CASE NO. CR-14-00590-PJH
12 Plaintiff,	)	
13 v.	)	UNITED STATES' MOTION TO SEAL
14 RICHARD THOMAS GRANT,	)	FIRST SUPERSEDING INDICTMENT AND
15 Defendant.	)	ARREST WARRANT AND [PROPOSED]
	)	ORDER.
	)	OAKLAND VENUE
	)	(UNDER SEAL)

17 The government hereby moves the Court for an order sealing this motion, the First Superseding  
18 Indictment, the Sealing Order, and the arrest warrant of the above-captioned defendant until further  
19 order of the Court. The government believes that disclosure of the existence of the First Superseding  
20 Indictment, the arrest warrant, this motion, and any corresponding order may jeopardize the progress of  
21 the ongoing investigation. Nothing in this order shall prevent the government from providing a copy of  
22 such documents to members of law enforcement and the United States Attorney's Office, and to  
23 RICHARD THOMAS GRANT, and his attorney, when appropriate.

24 Respectfully submitted,  
25 MELINDA HAAG  
26 United States Attorney  
27 *Colin Sampson*  
28 COLIN C. SAMPSON  
Assistant United States Attorney

12/04/2014 10:00

1 ORDER

2 Based upon the motion of the government and for good cause shown, IT IS HEREBY  
3 ORDERED that the government's motion, the Indictment, this Sealing Order, and the arrest warrant of  
4 the defendant in this matter shall be sealed until further order of the Court. Nothing in this Order shall  
5 prevent the government from providing a copy of the arrest warrant and first superseding indictment to  
6 members of law enforcement and the United States Attorney's Office, and to RICHARD THOMAS  
7 GRANT, and his attorney, when appropriate.

8  
9 DATED: 12-4-2014

  
LAUREL BEELER  
United States Magistrate Judge