

# United States Attorneys Bulletin



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UNITED STATES DEPARTMENT OF JUSTICE

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APPENDIX: FEDERAL RULES OF CRIMINAL PROCEDURE  
These pages should be placed on permanent file,  
by Rule, in each United States Attorney's  
office Library.

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Citations for the slip opinions are available  
on FTS 739-3754.

APPENDIX: FEDERAL RULES OF EVIDENCE  
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file, by Rule, in each United States  
Attorney's office Library.

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Citations for the slip opinions are available  
on FTS 739-3754.

COMMENDATIONS

Assistant United States Attorney James J. West, Western District of Pennsylvania, has been commended by Anthony J. Camona, Special Agent in Charge, United States Secret Service, for the successful prosecution of United States v. Thomas C. Moone.

Assistant United States Attorney D. Broward Segrest, Middle District of Alabama, has been commended by C. Edwin Enright, Special Agent in Charge, Mobile Division, Federal Bureau of Investigation, for his outstanding work in the case of United States v. Robert Anthony Fucci, et al.

POINTS TO REMEMBER

## UNITED STATES ATTORNEYS' MANUAL--BLUESHEETS

The following Bluesheets have been sent to press in accordance with 1-1.550 since the last issue of the Bulletin.

<u>DATE</u>	<u>AFFECTS USAM</u>	<u>SUBJECT</u>
5-5-78	4-3.210	Payment of judgments by GAO
5-5-78	4-1.313	Addition of "direct referral cases" to USAM 4-1.313

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**UNITED STATES ATTORNEYS' MANUAL--TRANSMITTALS**

The following United States Attorneys' Manual Transmittals have been issued to date in accordance with USAM 1-1.500. This monthly listing may be removed from the Bulletin and used as a check list to assure that your Manual is up to date.

<b>Transmittal Affecting Title</b>	<b>Transmittal No. / Date Mo/Day/Yr</b>	<b>Date of Text</b>	<b>Contents</b>	
1	1	8/20/76	8/31/76	Ch. 1,2&3
	2	9/3/76	9/15/76	Ch.5
	3	9/14/76	9/24/76	Ch.8
	4	9/16/76	10/1/76	Ch.4
	5	2/4/77	1/10/77	Ch.6,10&12
	6	3/10/77	1/14/77	Ch.11
	7	6/24/77	6/15/77	Ch.13
	8	1/18/78	2/1/78	Ch.14
2	1	6/25/76	7/4/76	Ch. 1 to 4
	2	8/11/76	7/4/76	Index
3	1	7/23/76	7/30/76	Ch.1 to 7
	2	11/19/76	7/30/76	Index
4	1	1/3/77	1/3/77	Ch.3 to 15
	2	1/21/77	1/3/77	Ch.1 & 2
	3	3/15/77	1/3/77	Index
	4	11/28/77	11/1/77	Revisions to Ch. 1-6, 11-15. Index
5	1	2/4/77	1/11/77	Ch.1 to 9
	2	3/17/77	1/11/77	Ch.10 to 12
	3	6/22/77	4/5/77	Revisions to Ch. 1 - 8

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6	1	3/31/77	1/19/77	Ch.1 to 6
	2	4/26/77	1/19/77	Index
7	1	11/18/76	11/22/76	Ch.1 to 6
	2	3/16/77	11/22/76	Index
8	1	1/4/77	1/7/77	Ch.4 & 5
	2	1/21/77	9/30/77	Ch.1 to 3
	3	5/13/77	1/7/77	Index
	4	6/21/77	9/30/76	Ch.3 (pp 3-6)
	5	2/9/78	1/31/78	Revisions to Ch. 2
9	1	1/12/77	1/10/77	Ch.4,11,17,18, 34,37,38
	2	1/15/77	1/10/77	Ch.7,100,122
	3	1/18/77	1/17/77	Ch.12,14,16, 40,41,42,43
	4	1/31/77	1/17/77	Ch. 130 to 139
	5	2/2/77	1/10/77	Ch.1,2,8,10,15, 101,102,104, 120,121
	6	3/16/77	1/17/77	Ch.20,60,61,63, 64,65,66,69,70, 71,72,73,75,77, 78,85,90,110
	7	9/8/77	8/1/77	Ch. 4 (pp 81-129) Ch. 9, 39
	8	10/17/77	10/1/77	Revisions to Ch. 1
*9	4/4/78	3/18/78	Index to Title 9	

\*Transmittals to be distributed to Manual Holders soon.

(Executive Office)

## CIVIL DIVISION

Assistant Attorney General Barbara Allen Babcock

State of North Carolina v. Califano, 46 U.S.L.W. 3642 (Sup. Ct. No. 77-971 April 17, 1978) DJ 141-16-925

## Federalism; Conditions on Federal Grants to States

Plaintiffs, including two states and the American Medical Association, challenged the constitutionality of the National Health Planning and Resources Act of 1974 in federal district court. That statute requires the states to adopt a "certificate of need program" (to monitor and limit construction and expansion of public and private medical facilities) as a pre-condition to receiving funds under some 50 health care programs. Plaintiffs contended that the Act was "coercive", thus exceeding Congress' legitimate spending power, and that the Act usurped the states' traditional authority to regulate local health care and thus violated the Tenth Amendment under standards formulated in National League of Cities v. Usery. A three-judge district court ruled in our favor, holding that National League of Cities was inapplicable to cases involving conditional federal grants (as opposed to mandatory regulation under the Commerce Clause). On direct appeal by plaintiffs, the Supreme Court has just granted our motion to affirm.

Attorney: Mark H. Gallant (Civil Division)  
FTS 739-2689

Davis v. Passman, No. 75-1691 (5th Cir. April 18, 1978)  
DJ 145-11-0

## Fifth Amendment Cause of Action for Damages

Former Congressman Passman's former Deputy Administrative Assistant brought suit against him for damages personally, alleging that he violated the Fifth Amendment by discharging her solely because she was a woman. The court of appeals, after rehearing en banc, held (12 to 2) that the Fifth Amendment does not support a damage remedy in these circumstances. The court treated the availability of remedy as a question of federal common law, and found, based in part on Congress' exemption of its employees from Title VII of the Civil Rights Act of 1964, that a damage remedy was not to be implied. The court implicitly rejected the application of legislative immunity by stating that an employee of a current Member "might still seek equitable relief."

Attorney: Barbara L. Herwig (Civil Division)  
FTS 739-3469

Disabled Officers Association v. Brown, No. 77-1504 (C.A.D.C. April 18, 1978) DJ 145-15-899

Freedom of Information Act; Privacy Exemption; Mailing Lists

The D.C. Circuit has just affirmed, on the basis of the district court opinion (reported at 428 F. Supp. 454), an order that names and current addresses of all former officers of the U.S. armed forces who retired with a disability be disclosed under the Freedom of Information Act. The district court had reasoned that the privacy exemption does not apply because disclosure of the mailing list would only reveal that a given person is disabled and open that person to possibly offensive solicitation; these were called "not very substantial" invasions of privacy, and the solicitation aspects seem to have been held outside of the exemption. Balanced against this was what the district court found to be the significant public benefit of helping the Disabled Officers Association solicit vitally needed new members.

Attorney: Frank A. Rosenfeld (Civil Division)  
FTS 739-3969

Edwards, et al. v. Carter, No. 78-1166 (C.A.D.C. April 6, 1978) DJ 145-1-613

Treaty Ratification Power; Disposal of Property

Plaintiffs, sixty members of the House of Representatives, brought this action against the President, claiming that since the Panama Canal Treaty disposed of property of the United States, it must be approved by the House as well as the Senate under Article IV, Sec. 3, Cl. 2 of the Constitution. The district court dismissed the complaint for lack of standing. The court of appeals, reaching the constitutional issue on the merits, has just affirmed. Assuming arguendo the existence of standing and jurisdiction, the court of appeals held that a treaty, which is subject to approval by the Senate alone, was sufficient to authorize the transfer of property of the United States.

Attorneys: Robert Kopp (Civil Division)  
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Steven Frank (Civil Division)  
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Brook Hedge (Civil Division)  
FTS 739-3529

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Eli Lilly & Co. v. Staats, No. 77-1280 (7th Cir. April 12, 1978) DJ 145-121-38

Federal Contractors; Access by GAO to Records

Statutes require negotiated U.S. contracts to include a provision by which the contractor agrees to allow the Comptroller General to examine records "directly pertinent" to the contract. The district court accepted the position of plaintiff pharmaceutical companies that access was limited to catalogues and that examination of cost records such as overhead and research was not permitted. The Seventh Circuit has reversed in an extensive opinion applicable to all types of industries.

Attorney: Harland F. Leathers (Civil Division)  
FTS 739-2493

Dr. John T. MacDonald Foundation v. Califano, No. 75-2966 (5th Cir. April 17, 1978) DJ 145-16-724

Medicare Provider Reimbursement; Jurisdiction

In this Medicare provider reimbursement dispute arising prior to the 1973 amendments to the Medicare law, a panel of the Fifth Circuit had held that federal jurisdiction was available. On rehearing en banc the full court of appeals agreed with the Government that jurisdiction in the district courts was precluded by 42 U.S.C. 405(h). The court however found that the Court of Claims will review Medicare Act claims, and accordingly transferred the case directly to that court.

Attorneys: Robert E. Kopp (Civil Division)  
FTS 739-3389  
Richard A. Olderman (Civil Division)  
FTS 739-5325  
John M. Rogers (Formerly of the Civil Division)

CIVIL RIGHTS DIVISION  
Assistant Attorney General Drew S. Days, III

City of Los Angeles v. Manhart (No. 76-1810) April 25, 1978  
DJ 170-12C-101

Equal Retirement Benefits

On April 25, 1978 the Supreme Court held that Title VII forbids an employer from requiring female employees to pay more than males for equal retirement benefits. Mr. Justice Stevens, writing for the majority, acknowledged that women, as a class, live longer than men, but held that Title VII prohibits the use of such class generalizations when it works to the detriment of individual employees on account of their sex. The Chief Justice and Mr. Justice Rehnquist dissented.

Attorney: Cindy Attwood (Civil Rights Division)  
FTS 739-2195

United States v. Rent-a-Home Systems, et al., F. Supp.,  
CA No. S-CIV-76-0157 (S.D. Ill., March 31, 1978) DJ 175-25-11

Monetary Damages Under 42 U.S.C. §3613

On March 31, 1978 the United States District Court held that the U.S. may not recover monetary damages of a legal nature on behalf of individuals in fair housing suits brought pursuant to 42 U.S.C. §3613, though it may recover damages of an "equitable nature". The Court also certified the issue to the Court of Appeals for the Seventh Circuit pursuant to 28 U.S.C. §1292b.

Attorney: Daniel O'Hanlon (Civil Rights Division)  
FTS 739-4139

Chase v. McMasters, F.2d \_\_\_\_ (8th Cir. April 5, 1978) No. 77-1317 DJ 180-56-14

Indian Reorganization Act

On April 5, 1978 the Court of Appeals for the Eighth Circuit decided Chase v. McMasters, in which the U.S. had filed an amicus brief. As we had argued, the court held that the Indian Reorganization Act authorized the Secretary of the Interior to receive land from an individual Indian to be held in trust. The court also held that the town's refusal to provide water and sewer service to the property, because it was exempt from local

taxes, impaired the Indian's statutory right to enjoy the use of the trust land, and, therefore, was precluded by the Supremacy Clause.

Attorney: Judy Wolf (Civil Rights Division)  
FTS 739-4126

Doi v. Bell, \_\_\_\_\_ F.Supp. \_\_\_\_\_, CA No. 77-0256 (D. Hawaii, March 28, 1978) DJ 166-21-3

Section 203 of the Voting Rights Act  
Amendments of 1975

On March 28, 1978 the United States District Court (Judge Samuel King) denied the State of Hawaii's motion for summary judgment in Doi v. Bell, the lawsuit brought by the State on behalf of each of its counties seeking to bailout from the bilingual election requirements (Section 203) of the Voting Rights Act Amendments of 1975.

The State had argued at the March hearing that its own updated (1976) population survey revealed that the illiteracy rates (illiteracy defined as failure to complete the fifth primary grade) of the Chinese and Japanese language minority groups had fallen below the 1970 national level or that the adjusted illiteracy rates of such groups (illiteracy redefined to exclude those who responded to the survey by stating that they could read and understand English easily) had dropped below the 1976 estimated national average. The U.S. contended, and the Court agreed, that the plaintiff's burden under the Act is to show that illiteracy rates of the applicable language minority groups have fallen below the national rate closest in time to plaintiff's own survey (in this case the 1976 estimated national rate); and that plaintiff's proposed adjusted illiteracy rate is not likely permitted by the Act's strict definition of illiteracy, but that if it is, plaintiff must prove at trial the scientific reliability and validity of an adjusted illiteracy rate.

Attorney: S. Michael Scadron (Civil Rights Division)  
FTS 739-4492

Bolden v. City of Mobile, \_\_\_\_\_ F.2d \_\_\_\_\_ (5th Cir., March 29, 1978)  
Nos. 76-4210 and 77-2042 DJ 166-012-3

Voting Dilution

On March 29, 1978 the Court of Appeals for the Fifth Circuit affirmed the district court decision in Bolden v. City of Mobile, that Mobile's at-large-elected commission government

unconstitutionally diluted the black vote. The United States had filed a brief as amicus curiae urging affirmance. The court of appeals' decision was one of a group of four, led by Nevett v. Sides, in which the court considered the impact of Washington v. Davis and Arlington Heights on voting dilution cases. A majority of the court held that racial purpose must be found, but may be proved directly or by a showing of "Zimmer factors" (i.e., circumstantial evidence), and the requisite intent may be found in the institution or perpetuation of the at-large plan. Judge Wisdom, dissenting in Nevett and concurring specially in Bolden, would have found racial effect sufficient for a violation of the Fifteenth Amendment and the voting rights acts. The court held, however, that as to Mobile, purpose had been shown both directly and by means of the Zimmer factors.

Attorney: Miriam Eisenstein (Civil Rights Division)  
FTS 739-4126

18/1/78 (17)

OFFICE OF LEGISLATIVE AFFAIRS  
Assistant Attorney General Patricia M. Wald

SELECTED CONGRESSIONAL AND LEGISLATIVE ACTIVITIES

APRIL 18 - MAY 2, 1978

D.C. Voter Representation. On April 17, Assistant Attorney General John Harmon of OLC testified before the Senate Judiciary Subcommittee on the Constitution in support of S.J. Res. 65, a proposed constitutional amendment to grant residents of the District of Columbia voting representation in the Congress.

Drug Enforcement-Mexican Border. On April 19, DEA Administrator Peter Bensinger and Charles Sava, Associate Commissioner for Enforcement at the INS, testified before the Senate Judiciary Subcommittee to Investigate Juvenile Delinquency concerning U.S. efforts to halt the smuggling of heroin and other dangerous drugs from Mexico. The Subcommittee Chairman, Senator Culver of Iowa, expressed concern about duplication of effort between agencies, particularly Customs and INS. The Senator advocated the consolidation of the inspections and law enforcement functions of both Customs and INS in a single border management agency. In this regard, Senator Culver scored the Administration and OMB in particular for the delay in submitting to the Congress a reorganization plan concerning border control functions.

Omnibus Judgeship Legislation. House and Senate conferees continue to meet to resolve differences between the Senate and House-passed versions of the omnibus judgeship legislation, H.R. 7843. On April 18, the conferees agreed to all of the additional judgeships created by both versions of the bill, except the additional district court judgeship for Wyoming in the House version and the temporary 5-year judgeship for the Southern District of Florida in the Senate version. The conference committee is tentatively scheduled to reconvene during the week of May 8. It is anticipated that the conferees will discuss section 6 of the Senate-passed version of the bill, which would designate Alabama, Florida, Georgia, Mississippi, and the Canal Zone as the Fifth Circuit and Louisiana and Texas as the Eleventh Circuit. The House version has no comparable provision.

Immigration Bills. On April 20, the House Judiciary Subcommittee on Immigration, Citizenship, and International Law approved for full committee action clean bills in lieu of H.R. 9652, a bill to provide for a world-wide system of numerical limitations of visa numbers rather than the present

system of separate hemispheric limitations; H.R. 412, a bill establishing a new ground for exclusion and deportation aimed at aliens who engaged in the persecution of others on account of religion, race, national origin, or political opinion; and H.R. 6488, a bill which would eliminate the portion of the Immigration and Nationality Act which imposes a limit of two on the number of petitions that can be approved for one petitioner in behalf of alien adopted children. The Department supported all of these bills in testimony or formal reports. The most significant amendment approved by the Subcommittee added a section to H.R. 9652 which would authorize a joint Legislative and Executive Branch Commission to revise the Immigration and Nationality Act. Congressman Eilberg, the Chairman of the Subcommittee, indicated that these three non-controversial bills approved by the Subcommittee should be promptly reported out of the full Judiciary Committee. Mr. Eilberg then hopes to bring each bill up on the floor of the House under a suspension of the rules.

Inspector General. On April 18, the House, under suspension of the rules, passed H.R. 8588, a bill which will establish offices of Inspector General in various specified Executive Branch departments and agencies. The Administration supports this bill.

Lobby Reform. The House, on April 26, passed by a vote of 259 to 140, H.R. 8494, the comprehensive lobbying reform bill, which is strongly supported by the Administration. The Senate Governmental Affairs Committee has indicated that it will markup lobbying reform legislation, S. 1785, on May 10.

Foreign Intelligence Wiretapping. On April 29 the Senate, by a vote of 95-1, passed S. 1566, our legislative proposal concerning foreign intelligence electronic surveillance.

Department Authorization. The House Judiciary Committee, after adopting a number of amendments, on April 26, ordered favorably reported H.R. 12005, the Department of Justice Authorization bill for FY 1979. Significant action on amendments was as follows:

1. Adopted a Holtzman amendment which requires the Attorney General to conduct a study of the extent to which complaints of violations of federal criminal laws are not prosecuted and to make recommendations for improving the percentages of such complaints which are prosecuted by the Department. The study and recommendations are to be submitted to the Congress within six months of the date of enactment of the bill.

2. Adopted Eilberg/Fish amendments adding \$22 million to the INS authorization, earmarking \$2 million of the INS funds for the Nazi unit and preventing the use of any FY 1979 funds to transfer any INS personnel or functions to any other Department or Agency.

3. Adopted a Butler amendment restoring to the FBI authorization for \$3 million for their program against terrorism and domestic violence "deleted by OMB."

4. Adopted a Danielson amendment to provide authorization for funds for the Bureau of Prisons to take action toward obtaining a Federal Detention Center in the Los Angeles area.

5. Defeated by a vote of 13 to 12 a Cohen amendment requiring the Attorney General to adopt a merit selection system for U.S. District Court judges. Those voting against the amendment indicated that the provisions in the pending Omnibus Judgeship bill which is in conference express the Committee sentiments on this subject.

On the Senate side there are two more days of hearings scheduled -- LEAA on May 1 and 8 and the Civil Rights Division on May 8. Committee staff is working on material in anticipation of a quick markup upon completion of the hearings.

Court Reform bills. On May 4, Deputy Assistant Attorney General Raymond S. Calamaro (Office of Legislative Affairs) will testify before the House Judiciary Subcommittee on Courts, Civil Liberties and the Administration of Justice. The hearings will deal with several court reform measures including the Department's witness and marshal fees proposals. The testimony will, of course, strongly support the Department's bills and will be generally supportive of the other bills as well. On April 27, the Senate passed the Departments legislative proposals concerning fees of U.S. Marshals (S. 2016) and witness fees (S. 2049). The Senate also passed S. 2411, a bill which the Department supports that will permit the U.S. Marshals to provide transportation expenses to certain prisoners who have to travel between districts. Further, the Senate passed S. 1819, which would establish a Federal Criminal Diversion program. We had testified that we have established such a program administratively and that we do not feel that legislation on this subject is necessary at the present time.

Attorneys Fees. On April 26, Deputy Assistant Attorney General Paul Nejelski (Office for Improvements in the Administration of Justice) testified before the House Judiciary Subcommittee on Courts, Civil Liberties, and the Administration of Justice. At this hearing, which dealt

with the subject of attorney fees, the Department revealed a new attorney fee proposal which would make the United States liable for attorney fees in certain civil actions and administrative adjudicatory proceedings if our position is "arbitrary, frivolous, unreasonable or groundless". We suggested combining this new proposal with a Treasury Department bill dealing with tax cases and with S. 270 and H.R. 3361, the "Public Participation in Agency Proceedings Act" to form a comprehensive attorney fee package. We are also negotiating with the Senate to achieve the same goal.

Undocumented Aliens. The Attorney General's testimony before the Senate Judiciary Committee concerning the Administration's proposed Alien Adjustment and Employment Act, S. 2252, has been rescheduled for May 10.

Death Penalty. Deputy Assistant Attorney General Mary Lawton testified before the Senate Judiciary Committee on April 27 on the impact of the Supreme Court decision in Coker v. Georgia on S. 1382, a bill to establish rational criteria for the imposition of the sentence of death in certain federal cases. Ms. Lawton testified that S. 1382, as currently written, would satisfy both the substantive and procedural requirements of the Eighth Amendment as it has been interpreted to date.

Illinois Brick. The Senate Judiciary Committee has agreed to vote by May 5 on S. 1874, legislation to overcome the Supreme Court's decision in the case of Illinois Brick, where the Court ruled that only direct purchasers may collect damages in instances of antitrust violations. The Department has supported S. 1874.

Anti-terrorism. The Senate Government Affairs Committee has indicated that it will markup S. 2236, anti-terrorism, on May 11.

Civiletti Nomination. The Senate is expected to consider the nomination of Benjamin Civiletti to be Deputy Attorney General during the week of May 1.

#### NOMINATIONS:

On April 24, 1978, the Senate received the following nominations:

Adrian G. Duplantier, to be U.S. District Judge for the Eastern District of Louisiana;

George H. Lowe, to be U.S. Attorney for the Northern District of New York.

CONFIRMATIONS:

On April 25, 1978, the Senate confirmed the following nomination:

Robert W. Sweet, to be U.S. District Judge for the Southern District of New York.

On May 1, 1978, the Senate confirmed the following nominations:

Gustave Diamond, to be U.S. District Judge for the Western District of Pennsylvania;

Donald E. Ziegler, to be U.S. District Judge for the Western District of Pennsylvania.

FEDERAL RULES OF CRIMINAL PROCEDURE

Rule 32(c)(3)(B). Sentence and Judgment. Presentence  
Investigation. Disclosure.

See Rule 609(a) this issue of the Bulletin for syllabus.

United States v. Dr. Luther Lewis Ashley, Jr. and John  
Franklin Roper, \_\_\_ F.2d \_\_\_, No. 77-5070 (5th Cir., March 20,  
1978).

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## FEDERAL RULES OF EVIDENCE

Rule 403. Exclusion of Relevant Evidence or Grounds of Prejudice, Confusion, or Waste of Time.

Rule 404(b). Character Evidence Not Admissible To Prove Conduct; Exceptions; Other Crimes. Other Crimes, Wrongs, or Acts.

On appeal from his conviction for one count of intentionally distributing Quaalude in violation of 21 U.S.C. § 841(a)(1), Dr. Augustin Jones contended the district court erred in admitting evidence concerning 478 other prescriptions he issued for Schedule II drugs. None of these prescriptions were mentioned in the indictment filed against the defendant.

The Court of Appeals reversed, finding that the evidence concerning the issuance of these prescriptions and testimony identifying some of the prescription recipients as drug addicts was inadmissible under Rule 403 because it lacked substantial probative force upon the issues actually charged in the indictments. The disputed evidence, according to the court, implied wrongdoing on the physician's part merely from the quantity of the prescriptions and the "quality" of some patients. The court also found the district court erred in finding those prescriptions admissible as evidence of other crimes, wrongs or similar acts under Rule 404(b). No evidence was presented of specific instances of unprofessional conduct in the issuance of any of these prescriptions.

(Reversed.)

United States v. Augustin Jones, M.D., \_\_\_ F.2d \_\_\_, No. 77-1490, (8th Cir., February 16, 1978).

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## FEDERAL RULES OF EVIDENCE

Rule 404(b). Character Evidence Not Admissible  
To Prove Conduct; Exceptions; Other  
Crimes. Other Crimes, Wrongs, or Acts.

See Rule 403 this issue of the Bulletin for syllabus.

United States v. Augustin Jones, M.D., \_\_\_ F.2d \_\_\_, No. 77-  
1490, (8th Cir., February 16, 1978).

## FEDERAL RULES OF EVIDENCE

- Rule 609(a). Impeachment by Evidence of Conviction of Crime. General Rule.
- Rule 609(d). Impeachment by Evidence of Conviction of Crime. Juvenile Adjudications.
- Rule 32(c)(3)(B). Sentence and Judgment. Presentence Investigation. Disclosure.

Defendants were convicted for Hobbs Act violations following their attempt to extort \$300,000 from Eastern Airlines. Defendant Luther Ashley, Jr. appealed, claiming in part that the trial court erred in denying him the opportunity to impeach a key Government witness concerning the witness' prior conviction under the Federal Youth Correction Act. The defendant argued that Rule 609(d) only requires that evidence of juvenile adjudications under the Juvenile Delinquency Act, 18 U.S.C. 5031 et seq. be inadmissible to attack a witness' credibility, and does not require inadmissibility if the conviction is under the Federal Youth Corrections Act. The Government contended the literal reading of Rule 609(d) indicated a broad interpretation applying to all proceedings involving juveniles. The Court of Appeals found the defendant's interpretation correct, but went on to hold that since the defendant was permitted to impeach the witness with evidence both of a prior burglary conviction and as a coconspirator in this case, the witness was "in effect full impeached," therefore, the trial court's error did not merit reversal.

The Fifth Circuit rejected defendant's claim that evidence of a previous state shoplifting conviction should also have been found inadmissible. The court held that a conviction for shoplifting is not within the purview of either of the general tests of Rule 609(a). It is not a crime punishable by death or by imprisonment in excess of one year as required by Rule 609(a)(1) and it does not constitute a conviction involving "dishonesty or false statement" as would be necessary under Rule 609(a)(2).

The defendant also contended the trial court judge failed to conform to the sentencing requirements of Rule 32(c)(3)(B) of the Federal Rules of Criminal Procedure. The Court of Appeals remanded for a determination of whether the district court judge actually had availed himself of information in the presentence report without summarizing to the defendant the confidential information contained therein.

(Affirmed as to one defendant; conviction of other defendant affirmed, sentence vacated and remanded.)

United States v. Dr. Luther Lewis Ashley, Jr. and John Franklin Roper, \_\_\_ F.2d \_\_\_, No. 77-5070 (5th Cir., March 20, 1978).

## FEDERAL RULES OF EVIDENCE

Rule 609(d). Impeachment by Evidence of Conviction of Crime. Juvenile Adjudications.

See Rule 609(a) this issue of the Bulletin for syllabus.

United States v. Dr. Luther Lewis Ashley, Jr. and John Franklin Roper, \_\_\_ F.2d \_\_\_, No. 77-5070 (5th Cir., March 20, 1978).

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## FEDERAL RULES OF EVIDENCE

Rule 804(b)(5). Hearsay Exceptions: Declarant Unavailable. Hearsay Exceptions. Other Exceptions.

Defendants appealed their convictions for distributing heroin and possessing heroin with the intent to distribute. On appeal, their major claim of error concerned the introduction of grand jury testimony of a witness who was murdered prior to trial. The defendants contended both that the evidence was improperly admitted under the hearsay exception of Rule 804(b)(5) and that it violated the Confrontation Clause of the Constitution.

The Court of Appeals affirmed, finding that the circumstances surrounding the witness' grand jury testimony contained sufficient guarantees of reliability to satisfy the standards of Rule 804(b)(5). The court found that under these particular circumstances the witness' testimony possessed a degree of trustworthiness substantially exceeding that inherent in many hearsay exceptions routinely admitted. The murdered witness, a government informer, had his testimony corroborated at trial by photographs and recordings taken by Government agents and by the eyewitness testimony of those agents. Furthermore, the court found the Confrontation Clause also satisfied by the "high degree of reliability and trustworthiness of the grand jury testimony." In a strong dissent, Judge Widener contended the majority erred in reducing the Confrontation Clause to the "status of a mere rule of evidence."

(Affirmed.)

United States v. Calvin West, Floyd Lee Davis and Joseph Lee Dempsey, \_\_\_ F.2d \_\_\_, No. 76-1837 thru 76-1843 (4th Cir., February 13, 1978).