

**UNITED STATES v. DAWN SCHLEGEL, SANDRA HATFIELD, DAVID BROOKS and
PATRICIA LENNEX
- CRIMINAL DOCKET #CR-06-550, USAO #2003R01830**

Before the Honorable Joanna Seybert, United States District Judge, Eastern District of New York, Central Islip, Long Island, New York

<u>DEFENDANT</u>	<u>COURT EVENT</u>	<u>RESULT</u>
DAWN SCHLEGEL	Pled guilty 10/23/07 Sentenced 11/5/14	TIME SERVED RESTITUTION: Ordered to pay \$91,496,846.93 in restitution joint and severally liable with defendants David Brooks and Sandra Hatfield SUPERVISED RELEASE: 3 years
SANDRA HATFIELD	Found guilty on 9/14/10 Pled guilty to tax evasion on 10/5/11 Sentenced 5/9/14	IMPRISONMENT: 84 months RESTITUTION: Ordered to pay \$91,496,846.93 in restitution joint and severally liable with defendants David Brooks and Dawn Schlegel SUPERVISED RELEASE: 3 years
DAVID BROOKS	Jury found guilty for securities fraud on 9/14/10 Pled guilty to tax evasion on 8/10/11 Sentenced 8/15/13	IMPRISONMENT: 17 years RESTITUTION: Ordered to pay \$91,496,846.93 in restitution joint and severally liable with defendants Dawn Schlegel and Sandra Hatfield. David Brooks has appealed his sentence and the forfeiture order. SUPERVISED RELEASE:

5 years
SPECIAL ASSESSMENT
FEE: \$1,700.00
FINE: \$8,700,000.00

Please note - After defendant David Brooks filed his appeal, he died while incarcerated and his estate and members of his family moved to abate his convictions. On September 20, 2017, with the regards to the restitution order related to the fraud and securities laws counts, the U.S. Court of Appeals for the Second Circuit vacated the restitution order payable to the fraud victims. Our office will continue to litigate the civil forfeiture action (CV-10-4750). Update – Please see press release on our website dated November 5, 2018.

PATRICIA LENNEX

Sentenced 1/6/12

PROBATION: 5 years
FINE: \$5,000.00
SPECIAL ASSESSMENT
FEE: \$100.00