



# FINANCIAL FRAUD INSTITUTE

*Learn How to Avoid Financial and Consumer Fraud*

**Open to the public and  
no cost to attend**

**Space is limited — Register  
at [www.utfraud.com](http://www.utfraud.com)  
or (801) 579-6191**



**#StopFraudUtah**

Join local and national experts from federal and state law enforcement and financial regulatory agencies for a free consumer education seminar.  
Learn how to recognize and avoid financial fraud.

## You'll Learn the Four “**Rs**” to Avoid Financial Fraud:

- **Research**: Key questions to ask before making any investment decision
- Where to find free and unbiased **Resources**
- How to spot the **Red Flags** in financial scams
- How to **Report** suspected financial fraud

**When: Thursday, November 2, 2017**  
4:00 p.m.— 7:00 p.m.

**Where: The Dixie Center**  
1835 Convention Center Dr.  
St. George, UT

**What:** Free Light Dinner and  
Presentation

**Who:** United States Attorney for the  
District of Utah  
John W. Huber

**Sponsored by: U.S. Securities & Exchange Commission, U.S. Attorney's Office,  
FBI, U.S. Commodity Futures Trading Commission, IRS, Financial Industry Regulatory  
Authority, Utah Divisions of Securities and Consumer Protection, Utah Attorney General's  
Office, and Washington County Attorney's Office**

# **FINANCIAL FRAUD INSTITUTE AGENDA**

## **St. George Event**

### **November 2, 2017**

- **4:00 – 4:30** | Registration/Check-in, Pick Up Box Dinners, Browse Informational Booths
- **4:30 – 4:40** | Welcome and Video
- **4:40 – 5:00** | Keynote Speaker: U.S. Attorney John W. Huber
- **5:00 – 5:40** | Investor Fraud Panel | Topic: Recognizing and Avoiding Investment Fraud
  - Panelists:
    - Utah Division of Securities - Keith Woodwell, Division Director
    - FINRA – Don Lopezi, Sr. Vice President and Regional Director, West Region
    - USAO – Stewart S. Walz, Assistant U.S. Attorney, Sr. Litigation Counsel
    - SEC – Cheryl Mori, Senior Advisor
- **5:40 – 6:00** | Break
- **6:00 – 6:40** | Consumer Fraud Panel | Topic: Avoiding Other Types of Fraud
  - Panelists:
    - Utah Division of Consumer Protection - Daniel O'Bannon, Director
    - Utah Attorney General's Office - David Sonnenreich, Deputy Attorney General
    - IRS – Casey Hill, Special Agent
    - FBI – Michael J. Pickett, Supervisory Special Agent
- **6:40 – 6:50** | Closing Remarks
- **6:50 – 7:00** | Tables and Booths