

**INVESTOR QUESTIONNAIRE**

All questionnaires must be submitted by no later than **June 4, 2010**. You may send your response via e-mail (to [Karin.Lucier@IC.FBI.gov](mailto:Karin.Lucier@IC.FBI.gov)) or facsimile (305-787-6261). Or you may mail it to the following address:

**Federal Bureau of Investigation  
Attention: Karin Lucier  
16320 NW 2<sup>nd</sup> Avenue  
North Miami Beach, Florida 33169**

<b>Name</b>	
<b>Corporate Entity invested through (if applicable)</b>	
<b>Date of Birth</b>	
<b>Cellular Telephone Number</b>	
<b>Work Telephone Number</b>	
<b>E-Mail Address</b>	
<b>Street Address</b>	
<b>Driver License #</b>	

1) Detail your investments in the below chart (Write on back if necessary). Please provide supporting documentation:

<b>Money Invested</b>						
<b>Date</b>	<b>Check # or Wire Transmittal #</b>	<b>Paid To (Entity &amp; Bank Acct)</b>	<b>Amount</b>	<b>Investment Number (Deal #)</b>	<b>Promised Return on Investment</b>	<b>Supporting Documentation</b>
<b>Total Money Invested</b>			<b>\$ -</b>			

**Money Received Back**

<b>Date</b>	<b>Check # or Wire Transmittal #</b>	<b>Paid From (Entity &amp; Bank Acct)</b>	<b>Amount</b>	<b>Investment Number (Deal #)</b>	<b>Supporting Documentation (including statement received with any payments)</b>
<b>Total Money Received</b>			\$ -		

**Net** \$ -

2) Who introduced you to this investment opportunity and what representations were made? What documentation did you rely on?

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3) Indicate the name of the companies and/or individuals with whom you had contact regarding these investments:

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**4) Did you attend any meetings or presentations concerning these investments? If so, who, when, where, and what? Please indicate all individuals present, which individuals made representations and what they were, and the subject matter discussed. Who was your main contact?**

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**5) Were any representations made to you concerning the following? (If yes, please explain below. Be as specific as possible and indicate who made the representation and when):**

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|---|--|
| <input type="checkbox"/> Risks associated with the investment             | <input type="checkbox"/> Due diligence performed |
| <input type="checkbox"/> Specific profit, return and/or interest payments | <input type="checkbox"/> Verifications provided  |
| <input type="checkbox"/> Investment guarantees and/or assurances          | <input type="checkbox"/> Other _____             |

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**6) Did you meet any of the purported plaintiffs or purported defendants associated with any of the confidential settlements? If so, who, when, and where? Who else was present?**

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**7) Did anyone represent to you that they or anyone else had met any of the purported plaintiffs or purported defendants associated with any of the confidential settlements? If so, who, when, and where? Who else was present?**

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**8) Materials provided to you:** (Place a check next to the materials in your possession and provide a copy to the FBI)

- Offering materials
- Private Placement Memorandums
- Settlement Agreements, Transfer Agreements, etc.
- Investment agreements
- Verification reports
- Audit reports
- Legal opinion letters
- Bank account Lock letters
- Bank account balance letters
- Monthly account statements
- Correspondence
- E-mail transmissions
- Facsimile transmissions
- Notes
- Cancelled checks
- Wire confirmations
- Bank statements
- Other \_\_\_\_\_

**9) Were you provided with any written offering materials or correspondence or account statements? If so, were any of these items (sent between you and representatives of investment) provided via letter (such as United States Mail, Federal Express), e-mail, or facsimile? From where, when and whom did you receive these materials? If e-mails were sent, please indicate who your ISP provider is.**

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**10) Did you receive any Bank Account Balance Letters or Statements and/or receive any Lock Letters? If so, who gave it to you? Where? When?**

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**11) Were you aware of anyone receiving a commission related to your investment? If so, who, how much, when, and who paid these commissions?**

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**12) Were you acting on behalf of other individuals or entities? If so, please provide names and contact information.**

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**VERIFICATION**

Pursuant to Title 28, United States Code, Section 1746, I, \_\_\_\_\_, declare under penalty of perjury that I have read the foregoing questionnaire and the facts stated herein are true and correct.

Executed: \_\_\_\_\_  
Date Name

Driver's License #: \_\_\_\_\_